

# Power-enhanced leading-logarithmic QED corrections to $B_q \rightarrow \mu^+ \mu^-$

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**ABSTRACT:** We provide a systematic treatment of the previously discovered power-enhanced QED corrections to the leptonic decay  $B_q \rightarrow \mu^+ \mu^-$  ( $q = d, s$ ) in the framework of soft-collinear effective theory (SCET). Employing two-step matching on SCET<sub>I</sub> and SCET<sub>II</sub>, and the respective renormalization group equations, we sum the leading-logarithmic QED corrections and the mixed QED-QCD corrections to all orders in the couplings for the matrix element of the semileptonic weak effective operator  $Q_9$ . We propose a treatment of the  $B$ -meson decay constant and light-cone distribution amplitude in the presence of process-specific QED corrections. Finally we include ultrasoft photon radiation and provide updated values of the non-radiative and radiative branching fractions of  $B_q \rightarrow \mu^+ \mu^-$  decay that include the double-logarithmic QED and QCD corrections.

**KEYWORDS:** Heavy Quark Physics, Precision QED

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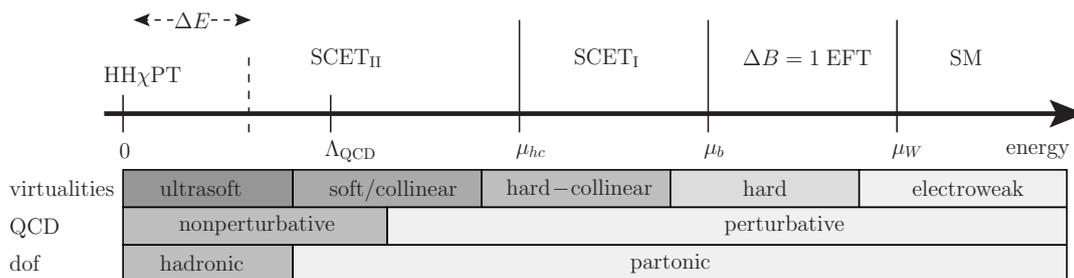
## 1 Introduction

The purely leptonic  $B$ -meson decays  $B_u \rightarrow \ell \bar{\nu}_\ell$  and  $B_{d,s} \rightarrow \ell^+ \ell^-$  ( $\ell = e, \mu, \tau$ ) are among the most valuable probes of the quark-mixing parameters in the Standard Model (SM), namely the parameters of the Cabibbo-Kobayashi-Maskawa (CKM) matrix. The charged-current mediated tree-level decays  $B_u \rightarrow \ell \bar{\nu}_\ell$  give direct access to the CKM element  $|V_{ub}|$ , whereas the flavour-changing neutral-current-mediated  $B_{d,s} \rightarrow \ell^+ \ell^-$  decays allow to determine the combination  $|V_{tb} V_{td,ts}^*|$  up to a perturbatively calculable short-distance factor that depends on the top-quark mass [1]. Moreover the helicity suppression of the decay rate leads to a high sensitivity to scalar- and pseudo-scalar interactions beyond the SM.

Their importance derives from the fact that the nonperturbative hadronic bound-state effects of the  $B_q$  mesons due to the strong interaction (QCD) appear in theoretical predictions at leading order (LO) in electromagnetic (QED) interactions only in the form of the  $B$ -meson decay constant  $f_{B_q}$ . The most recent lattice-QCD values of  $f_{B_{u,d}}$  and  $f_{B_s}$  of the FNAL/MILC Collaboration [2] have now reached the relative precision of about 0.7% and 0.5%, respectively. It is expected that this precision will be confirmed by other lattice groups and reduced even further in the future thus paving the way to very precise determinations of CKM parameters in the SM. Such a degree of theoretical control on the QCD hadronic uncertainties in FCNC flavour physics is currently only available for  $K \rightarrow \pi \nu \bar{\nu}$  decays [3] and will be for the mass differences  $\Delta M_q$  in neutral  $B$ -meson mixing once lattice calculations achieve the required precision.

Given the small uncertainties due to  $f_{B_q}$ , it is mandatory to control all other corrections, which arise from several energy scales spanned by the SM, at the percent level. Such control is already achieved for perturbatively calculable higher-order QCD and electroweak (EW) corrections in the framework of the effective theory (EFT) of electroweak interactions of the SM for  $\Delta B = 1$  decays [4]. This comprises *i*) the decoupling of the heavy  $W$  and  $Z$  bosons and the top quark at the electroweak scale  $\mu_W \sim m_W$  for  $b \rightarrow u \ell \bar{\nu}_\ell$  [5–7] and  $b \rightarrow q \ell^+ \ell^-$  [8, 9] and *ii*) the resummation of large logarithms under evolution of QCD and QED down to the scale  $\mu_b \sim m_b$  of the order of the bottom-quark mass using renormalization-group (RG) improved perturbation theory [10, 11].

On the other hand, a consistent simultaneous treatment of QCD and QED corrections is lacking for scales below  $\mu_b$ . On general grounds, it is well understood that only



**Figure 1.** Scheme of the multiple scales and the respective tower of effective theories applicable to  $B_q \rightarrow \ell^+ \ell^-$  transitions and more generally also other  $b$ -hadron decays. See text for more explanations. The range of  $\Delta E$  is indicated for the case  $\Delta E \ll \Lambda_{\text{QCD}}$  that we consider here. The degrees of freedom (dof) are hadronic at low energies in HH $\chi$ PT.

a suitably defined decay rate  $\Gamma[B_q \rightarrow \ell^+ \ell^-] + \Gamma[B_q \rightarrow \ell^+ \ell^- + n \gamma(E_\gamma < \Delta E)]$  that includes real and virtual photon radiation is infrared-finite and well-defined. It is subject to the experimental setup in the form of a photon-energy cutoff  $\Delta E$  that requires to include in theoretical predictions an arbitrary number of additional undetected real photons with energy  $E_\gamma < \Delta E$ . The soft-photon emission from the final-state leptons is currently simulated in experimental analyses [12–17] with tools like PHOTOS [18], such that the measured branching fraction is interpreted as the non-radiative one [19]. Further, the soft initial-state radiation has been estimated to be very small based on heavy-hadron chiral perturbation theory (HH $\chi$ PT) [20] provided  $\Delta E \lesssim 60$  MeV. Thus the present knowledge of QED corrections below the scale  $\mu_b$  is restricted to very low (ultrasoft) scales  $\mu_{us} \ll \Lambda_{\text{QCD}}$  below the QCD confinement scale, where virtual photons cannot resolve partons in the  $B_q$  meson. Moreover, it relies entirely on a description in terms of hadronic degrees of freedom (i.e. mesons), which, although it permits a perturbative treatment of QED effects, requires in principle the knowledge of low-energy constants (LEC). The LECs include the impact of the dynamics above the ultrasoft scales, but conceptually little is known of the consistent theoretical treatment of the scales up to  $\mu_b$  to reliably control the theoretical uncertainties to the percent level. Although one might work perturbatively in a partonic picture even below scales  $\mu_b$ , at least at the (hard-collinear) scale  $\mu_{hc} \sim 1$  GeV, a nonperturbative regime sets in below  $\mu_{hc}$  that still requires to use the partonic picture because photons continue to resolve the constituents of the hadrons. In the nonperturbative regime, QED corrections need the evaluation of non-local time-ordered products of the electromagnetic quark currents. This spoils naive factorization of the QED and QCD effects based on the soft-photon approximation. A more elaborate treatment based on effective field theory (EFT) approach is necessary to perform the systematic expansion of the higher-order QED matrix elements in powers of  $\Lambda_{\text{QCD}}/m_b$ . The theoretical treatment will also depend on the actual magnitude of  $\Delta E$  and its place within the hierarchy of the above scales. The above discussion is summarized schematically in figure 1. The nonperturbative matching to HH $\chi$ PT and hence the hadronic picture at very low virtualities is optional if one parameterizes the low-energy physics in terms of matrix elements of the previous EFT, SCET<sub>II</sub>. However, in this case the point-like coupling of ultrasoft photons to mesons is not manifest.

The first step towards a systematic treatment of QED effects below the scale  $\mu_b$  has been taken in [21] exploiting the special kinematic situation of the  $B_q \rightarrow \mu^+ \mu^-$  decays. The final-state muons are energetic, low mass (“collinear”) modes. Their dynamics at scales below  $\mu_b$  is described by soft-collinear effective theory (SCET). In a two-step decoupling, similar to the treatment of QCD effects in heavy-to-light form factors and hadronic decays (see, for instance, the review [22]), first hard virtualities  $\mathcal{O}(m_b^2)$  and subsequently hard-collinear virtualities  $\mathcal{O}(m_b \Lambda)$  are removed perturbatively to arrive at SCET<sub>II</sub> that describes muons with (collinear or soft) virtualities of at most  $\mathcal{O}(\Lambda^2)$ . The scale  $\Lambda \sim \mathcal{O}(100 \text{ MeV})$  represents a typical scale for the muon mass, the spectator quark mass and at the same time hadronic bound-state effects  $\Lambda_{\text{QCD}}$ .

The one-loop calculation of electromagnetic corrections below the scale  $\mu_b$  performed in [21] resulted in the expression (notation explained there)

$$\begin{aligned}
 i\mathcal{A} = & m_\ell f_{B_q} \mathcal{N} C_{10} [\bar{\ell} \gamma_5 \ell] + \frac{\alpha_{\text{em}}}{4\pi} Q_\ell Q_q m_\ell m_{B_q} f_{B_q} \mathcal{N} [\bar{\ell} (1 + \gamma_5) \ell] \\
 & \times \left\{ \int_0^1 du (1-u) C_9^{\text{eff}}(um_b^2) \int_0^\infty \frac{d\omega}{\omega} \phi_+(\omega) \left[ \ln \frac{m_b \omega}{m_\ell^2} + \ln \frac{u}{1-u} \right] \right. \\
 & \left. - Q_\ell C_7^{\text{eff}} \int_0^\infty \frac{d\omega}{\omega} \phi_+(\omega) \left[ \ln^2 \frac{m_b \omega}{m_\ell^2} - 2 \ln \frac{m_b \omega}{m_\ell^2} + \frac{2\pi^2}{3} \right] \right\} + \dots
 \end{aligned} \tag{1.1}$$

for the  $B_s \rightarrow \mu^+ \mu^-$  decay amplitude. A surprising feature of the electromagnetic correction in this expression is that in the expansion  $\Lambda/m_b$  it is *power-enhanced* by a factor of  $m_b/\Lambda$  relative to the well-known amplitude in the absence of QED effects, thereby partially compensating the suppression with the electromagnetic coupling  $\alpha_{\text{em}}$ . The virtual photon exchange between the final-state leptons and the spectator quark in the  $B_q$  meson leads to a non-local annihilation over distances  $(m_b \Lambda)^{-1/2}$  inside the  $B_q$  meson, different from the local annihilation through weak currents. Whereas the latter is described by  $f_{B_q}$ , the former involves the  $B$ -meson light-cone distribution amplitude (LCDA)  $\phi_+(\omega)$ , showing that strong interaction effects cannot be solely described in terms of  $f_{B_q}$  once QED effects below the scale  $\mu_b$  are included. The power-enhanced QED contribution involves two competing terms in the curly brackets, one from the semileptonic operator  $Q_9$  and one from the dipole operator  $Q_7$ . Both terms are further enhanced by large logarithms  $\ln(m_b \omega/m_\ell^2) \sim \ln(m_b \Lambda/m_\ell^2)$ , and interfere destructively, which reduces the size of the power enhancement. It was also found that in  $b \rightarrow u \ell \bar{\nu}_\ell$  the structure of the semi-leptonic weak currents does not give rise to such a power enhancement in  $B_u \rightarrow \mu \bar{\nu}_\mu$ .

In the present work, the SCET interpretation underlying the above result, which was only briefly mentioned in [21], is provided in detail, together with the EFT treatment of QED and the summation of logarithms. The SCET approach to QED differs from standard QCD applications in several details and factorization theorems for QED effects are not well established, unlike the case for the pure QCD corrections. Two crucial differences are the presence of masses for leptons that regularize the collinear divergences in QED, and the presence of electromagnetically charged external states. Additionally, the soft-photon cutoff is typically below the scale of lepton masses, and thus real collinear photon radiation may be excluded, while virtual collinear corrections can be still present. An additional

challenge is related to the proper treatment of QED radiation from light quarks, where nonperturbative QCD has to be consistently treated. Here we use SCET to resum the leading logarithms for the power-enhanced contribution, which arises entirely from virtual effects between the hard and soft/collinear scales. We focus on the contribution of the semileptonic operator  $Q_9$ , since one of the two logarithms enhancing the dipole operator  $Q_7$  term is not a standard RG logarithm, in which case the summation with SCET methods is presently not understood. However, from the numerical point of view, our main finding is that higher-order QED logarithms appear to be negligibly small. The principal effect of resummation arises from QCD evolution on top of the one-loop QED effect shown above. This observation will allow us to also estimate the effect of resummation on the contribution of the dipole operator.

As a by-product of this investigation, we find that hadronic matrix elements in the presence of QED are less universal than is usually assumed. For example, the nonperturbative matrix elements defining “the”  $B$ -meson decay constant and the LCDA depend on the charges and directions of the outgoing energetic particles through light-like electromagnetic Wilson lines.

The outline of the paper is as follows. After a short introduction to the conventions for the  $\Delta B = 1$  EFT of  $b \rightarrow q\ell^+\ell^-$  decays in section 2.1, we introduce the power counting set by the external kinematics of  $B_q \rightarrow \ell^+\ell^-$  decays in section 2.2 and provide the power-counting of the SCET fields in section 2.3. Section 2.4 briefly recapitulates and interprets the findings of the fixed-order calculation [21] relevant to the SCET approach and provides a short outlook on the various contributions in SCET, discussed in the main part later. We proceed with the decoupling of hard virtualities and the RG evolution in SCET<sub>I</sub> in section 3 and further the decoupling of hard-collinear virtualities and the RG evolution in SCET<sub>II</sub> in section 4. The definition of the  $B$ -meson decay constant and LCDA in the presence of QED corrections is discussed in section 5. The factorization of the power-enhanced amplitude is presented in section 6 and the combination with the leading amplitude together with the ultrasoft parts given in section 7. Eventually we present the numerical impact of QED corrections and updated calculations of the non-radiative and radiative branching fractions in section 8. Technical details on SCET conventions and definitions as well as the construction of SCET operators have been relegated to appendices.

## 2 Preliminaries

### 2.1 $\Delta B = 1$ effective theory for $b \rightarrow q\ell^+\ell^-$

The effective theory for  $|\Delta B| = 1$  decays  $b \rightarrow q\ell^+\ell^-$  with  $q = d, s$  in the framework of the SM,

$$\mathcal{L}_{\Delta B=1} = \mathcal{N}_{\Delta B=1} \left[ \sum_{i=1}^{10} C_i(\mu_b) Q_i + \frac{V_{ub}V_{uq}^*}{V_{tb}V_{tq}^*} \sum_{i=1}^2 C_i(\mu_b) (Q_i^u - Q_i^c) \right] + \text{h.c.}, \quad (2.1)$$

includes operators  $Q_i$ , which are charged-current ( $i = 1, 2$ ), QCD-penguin operators ( $i = 3, \dots, 6$ ), dipole operators ( $i = 7, 8$ ) and semileptonic operators ( $i = 9, 10$ ). These operators

are sufficient for the treatment of the QED effects in  $B_q \rightarrow \ell^+ \ell^-$  discussed in this paper. We follow the operator definitions of [23] and give only those of the three most relevant operators for our purposes

$$Q_7 = \frac{e}{(4\pi)^2} \bar{m}_b [\bar{q} \sigma^{\mu\nu} P_R b] F_{\mu\nu}, \quad (2.2)$$

$$Q_9 = \frac{\alpha_{\text{em}}}{4\pi} (\bar{q} \gamma^\mu P_L b) \sum_\ell \bar{\ell} \gamma_\mu \ell, \quad (2.3)$$

$$Q_{10} = \frac{\alpha_{\text{em}}}{4\pi} (\bar{q} \gamma^\mu P_L b) \sum_\ell \bar{\ell} \gamma_\mu \gamma_5 \ell, \quad (2.4)$$

where  $\alpha_{\text{em}} \equiv e^2/(4\pi)$  and  $\bar{m}_b$  denotes the running  $\overline{\text{MS}}$   $b$ -quark mass. The overall normalization factor is  $\mathcal{N}_{\Delta B=1} \equiv 2\sqrt{2}G_F V_{tb} V_{ts}^*$ . The term proportional to  $V_{ub} V_{uq}^*$  enters  $B_q \rightarrow \ell^+ \ell^-$  only through the QED correction. The Wilson coefficients  $C_i(\mu_b)$  and running quark masses need to be evaluated at the renormalization scale  $\mu_b \sim m_b$  of the order of the  $b$ -quark mass. In the SM they include NNLO QCD matching corrections [9, 24] at the electroweak scale  $\mu_W \sim m_W$  of the order of the  $W$ -boson mass, and  $C_{10}$  further includes the NLO EW matching corrections [8]. The resummation of large logarithms between the scales  $\mu_W$  and  $\mu_b$  has been taken into account to the corresponding order following [10, 11], see also [8] for further details. Especially the inclusion of NLO EW corrections [8] to  $C_{10}$  requires care in the choice of the numerical input of the electroweak parameters. It must respect the adopted renormalization scheme as for example  $m_W$  is not an independent parameter any more.

## 2.2 Kinematics of $B_q \rightarrow \ell^+ \ell^-$ and power counting

The two-body decay  $B_q(p_B) \rightarrow \ell^+(p_{\bar{\ell}}) \ell^-(p_\ell)$  implies lepton energies  $E_\ell = E_{\bar{\ell}} = m_{B_q}/2$ , such that for light leptons  $\ell = e, \mu$  the hierarchy  $m_\ell \ll E_\ell$  implies that the leptons are actually “collinear” particles. At the partonic level,

$$b(p_b) + q(l_q) \rightarrow \ell^+(p_{\bar{\ell}}) + \ell^-(p_\ell), \quad (2.5)$$

the mesonic bound state restricts the initial-state quarks to be soft. Writing  $p_b = m_b v + l_b$ , both quarks move inside the  $B_q$  meson with soft residual momenta  $l_b, l_q \sim \Lambda_{\text{QCD}}$  of the order of the strong binding energy  $\Lambda_{\text{QCD}}$ . In the decomposition of  $p_b$ ,  $v$  is a normalized time-like vector,  $v^2 = 1$ , which can be interpreted as the four-velocity of the  $B_q$  meson. The soft scaling of the residual  $b$ - and light-quark momenta can be expressed as

$$l_b, l_q \sim m_b \lambda_s^2 \quad (2.6)$$

in terms of the small dimensionless quantity

$$\lambda_s = \sqrt{\frac{\Lambda_{\text{QCD}}}{m_b}} \ll 1 \quad \text{for} \quad \Lambda_{\text{QCD}} \approx (0.2 - 0.4) \text{ GeV}. \quad (2.7)$$

In this picture both quarks are bound in the  $B_q$  and annihilate via the  $\Delta B = 1$  operators (2.1). The energy stored in the  $b$ -quark mass is released in the form of the energetic

lepton pair, which is emitted back-to-back in the  $B_q$  rest frame thereby singling out a particular direction. This direction can be described by a pair of light-like vectors  $n_+^2 = n_-^2 = 0$  and  $n_+ \cdot n_- = 2$  and any four-vector can be decomposed as

$$p^\mu = (n_+ p) \frac{n_-^\mu}{2} + p_\perp^\mu + (n_- p) \frac{n_+^\mu}{2}. \quad (2.8)$$

The components  $p \sim (n_+ p, p_\perp^\mu, n_- p)$  of the lepton momenta then exhibit the scaling

$$p_\ell \sim m_b(1, \lambda_\ell^2, \lambda_\ell^4), \quad p_{\bar{\ell}} \sim m_b(\lambda_\ell^4, \lambda_\ell^2, 1), \quad (2.9)$$

referred to as collinear and anti-collinear, respectively. Here we introduced the small dimensionless quantity

$$\lambda_\ell = \sqrt{\frac{m_\ell}{m_b}} \ll 1 \quad \text{for} \quad \ell = e, \mu. \quad (2.10)$$

The two cases of  $\ell = e$  and  $\ell = \mu$  are quite different, given that

$$\lambda_e \ll \lambda_s, \quad \lambda_\mu \approx \lambda_s \equiv \lambda. \quad (2.11)$$

Subsequently we focus on  $\ell = \mu$ . We note that experimental prospects are best for the decays  $B_q \rightarrow \mu^+ \mu^-$ , in particular for the CKM-enhanced mode  $q = s$ . The following different virtualities are set by the kinematic invariants

$$p_b^2 \sim p_\ell \cdot p_{\bar{\ell}} \sim p_b \cdot p_{\ell, \bar{\ell}} \sim m_b^2, \quad (2.12)$$

$$p_b \cdot l_q \sim l_q \cdot p_{\ell, \bar{\ell}} \sim m_b \Lambda, \quad (2.13)$$

$$l_q^2 \sim p_\ell^2 \sim p_{\bar{\ell}}^2 \sim \Lambda^2, \quad (2.14)$$

where  $\Lambda = (m_\mu, \Lambda_{\text{QCD}})$  stands for either of the two small scales, the muon mass  $m_\mu$  or  $\Lambda_{\text{QCD}}$ , which we assume to be parametrically of same size. Besides the hard virtuality  $m_b^2$  and the soft and collinear virtuality  $\Lambda^2$  there is also the hard-collinear virtuality  $m_b \Lambda$ . In consequence we will go through a two-step matching of EFTs,

$$\begin{array}{ccccc} \text{full QED} & \rightarrow & \text{SCET}_I & \rightarrow & \text{SCET}_{II} \\ \text{hard: } \mu_b^2 \sim m_b^2 & & \text{hard-collinear: } \mu_{hc}^2 \sim m_b \Lambda & & \text{soft/collinear: } \mu_s^2 \sim \mu_c^2 \sim \Lambda^2 \end{array}$$

involving two versions of SCET. We note that given the symmetry of the final state under an exchange of  $n_+$  and  $n_-$ , whenever a (hard-) collinear contribution exists the corresponding (hard-) anti-collinear contribution from the configuration with lepton and anti-lepton interchanged is implied.

The decay rate into the exclusive final state  $\ell^+ \ell^-$  discussed up to now is not infrared (IR) safe in the presence of QED. The IR-safe definition includes the emission of real photons with energies below a certain value  $\Delta E$ . Throughout we will restrict the discussion to the case of  $\Delta E \ll \Lambda$  that is we assume  $\Delta E$  to be below the soft and collinear scale of SCET<sub>II</sub>. Therefore only virtual corrections need to be considered above and at the scale  $\Lambda$ , and for the most part of the paper we therefore focus on the non-radiative amplitude. Ultra-soft photons, i.e. photons with virtuality much smaller than  $\mu_{s,c}^2 \sim \Lambda^2$ , will be taken into account at the very end when we put together the final expression for the QED-corrected decay width.

Field	heavy quark	light quark			leptons		photon (gluon)		
	$h_v$	$\chi_C$	$\chi_c$	$q_s$	$\ell_C$	$\ell_c$	$A_C(G_C)$	$A_c(G_c)$	$A_s(G_s)$
Scaling	$\lambda^3$	$\lambda$	$\lambda^2$	$\lambda^3$	$\lambda$	$\lambda^2$	$(1, \lambda, \lambda^2)$	$(1, \lambda^2, \lambda^4)$	$\lambda^2(1, 1, 1)$

**Table 1.** Fields and their power counting in SCET. In addition there are anti-hard-collinear ( $\chi_{\bar{c}}, \ell_{\bar{c}}$ ) and anti-collinear ( $\chi_{\bar{c}}, \ell_{\bar{c}}$ ) quark and lepton fields with the same scaling as their (hard)-collinear counterparts. The components for the photon field are  $(n_+A, A_\perp^\mu, n_-A)$  and the gauge-invariant building blocks  $\mathcal{A}_{C\perp}^\mu$  and  $\mathcal{A}_{c\perp}^\mu$  scale as the  $\perp$ -components of the fields  $A_{C\perp} \sim \lambda$  and  $A_{c\perp} \sim \lambda^2$ , respectively. The light quark and lepton masses scale as  $m_{q,\ell} \sim \lambda^2$ .

### 2.3 SCET: definitions and conventions

A systematic approach to the construction of SCET<sub>I</sub> operator bases was discussed in [25]. In this paper, we apply the same method, and we follow the same conventions and those of [26] when possible. Capital letters  $C$  ( $\bar{C}$ ) refer to hard-collinear (anti-hard-collinear) SCET<sub>I</sub> fields, respectively, which we assume to contain both, the hard-collinear SCET<sub>I</sub> modes and the collinear SCET<sub>II</sub> modes. Collinear (anti-collinear) fields in SCET<sub>II</sub> are denoted by the index  $c$  ( $\bar{c}$ ); these fields contain only collinear modes and thus the power-counting of the SCET<sub>II</sub> fields is homogeneous. The index  $s$  denotes the soft fields. The  $\lambda$  scaling of the heavy  $b$ -quark, light spectator quark as well as the lepton fields in SCET<sub>I</sub> and SCET<sub>II</sub> is summarized in table 1.

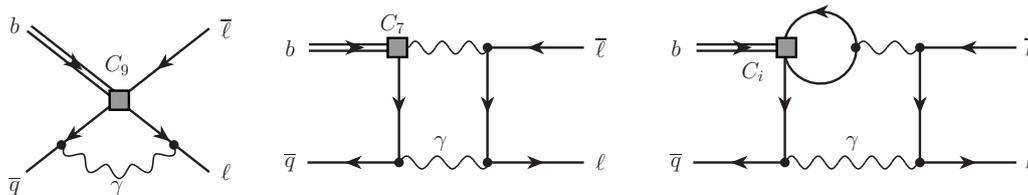
The masses of leptons and light quarks scale like  $\lambda^2$ . Accordingly, in SCET<sub>I</sub> collinear mass terms are part of the power-suppressed collinear Lagrangian, while in SCET<sub>II</sub> they are included in the leading-power collinear Lagrangian. Mass factors may also appear explicitly in the operators. More details on the relevant parts of the SCET Lagrangian are given in appendix A.1. For definitions of renormalization constants we refer to appendix A.2.

### 2.4 Heuristic discussion

Before we begin the detailed formal discussion of resummation and factorization in SCET, we recapitulate and interpret the main finding (1.1) of the one-loop calculation [21] in the framework of SCET.

The starting point is the one-loop virtual photon correction to the matrix elements of the operators  $Q_{7,9,10}$  at the scale  $\mu_b$ . The analysis based on the method of expansion by regions [27, 28] shows that only the diagrams where the photon is exchanged between the soft spectator quark and either of the final-state leptons can be power-enhanced, and that the power-enhancement cannot originate from the hard loop-momentum region. Examples are shown by the first two diagrams in figure 2. The calculation of these diagrams in full QED, solving first the integrals analytically in full generality<sup>1</sup> and performing the expansion in  $\lambda$  only afterwards confirms this result. The one-loop expression contains logarithms of the ratio of hard-collinear over collinear virtualities,  $\ln(\mu_{hc}/\mu_c)$ , for insertions of  $Q_9$  and even double-logarithms  $\ln^2(\mu_{hc}/\mu_{c,s})$  for  $Q_7$ . Note that the virtual corrections do not lift the helicity suppression of the leptonic  $B_q \rightarrow \ell^+ \ell^-$  decays.

<sup>1</sup>The analytic solutions of the one-loop integrals were also obtained with “Package X” [29, 30].



**Figure 2.** Feynman diagrams that contain the power-enhanced electromagnetic correction. Symmetric diagrams with order of vertices on the leptonic line interchanged are not displayed.

The SCET approach is used here to factorize the short-distance contributions perturbatively to the leading non-vanishing order in the expansion in  $\lambda$  and to resum the arising logarithms. Since the one-loop power-enhanced terms do not arise from the hard region, the matching from full QED to SCET<sub>I</sub> operators relevant to these terms proceeds at tree-level. Thereby the field content of the semileptonic and dipole operators changes

$$Q_{9,10} \sim [\bar{q} \dots b][\bar{\ell} \dots \ell] \quad \rightarrow \quad \mathcal{O}_i \sim [\bar{\chi}_{C,\bar{C}} \dots h_v][\bar{\ell}_C \dots \ell_{\bar{C}}] \sim \lambda^6, \quad (2.15)$$

$$Q_7 \sim [\bar{q} \dots b]F^{\mu\nu} \quad \rightarrow \quad \mathcal{O}_i \sim [\bar{\chi}_{C,\bar{C}} \dots h_v]\mathcal{A}_{\bar{C}\perp,C\perp}^\mu \sim \lambda^5, \quad (2.16)$$

where the  $b$ -quark is represented by a heavy-quark  $h_v$  in HQET and the spectator quark is (anti-) hard-collinear  $\chi_{C,\bar{C}}$ , whereas the lepton  $\bar{\ell}_C$  is hard-collinear and the anti-lepton  $\ell_{\bar{C}}$  is anti-hard-collinear. In the case of  $Q_7$  the photon  $\mathcal{A}_{\bar{C}\perp,C\perp}^\mu$  in (2.16) is anti-hard-collinear for hard-collinear  $\chi_C$  and vice versa.  $\mathcal{O}_i$  from (2.15) also appears in the matching of  $Q_7$ . The scaling of these operators in  $\lambda$  follows from the scaling of the fields as summarized in table 1. The large logarithms between the hard and hard-collinear scales are then resummed with the aid of RG equations (RGEs) in SCET<sub>I</sub>, as will be shown below. These logarithms appear only in higher orders, i.e. they dress the diagrams shown figure 2.

Let us briefly remark on the two-loop diagram in figure 2, which is generated by the four-quark operators  $Q_{1-6}$  in the effective Lagrangian (2.1). It is well-known from  $B \rightarrow X_s \ell^+ \ell^-$  decays that the quark loop can be fully absorbed into effective Wilson coefficients  $C_9^{\text{eff}}(q^2)$  and  $C_7^{\text{eff}}$ , so that these diagrams should be considered as one-loop QED corrections, as has been done in (1.1). This is implicitly understood when we refer to tree-level matching of  $Q_{7,9,10}$ .

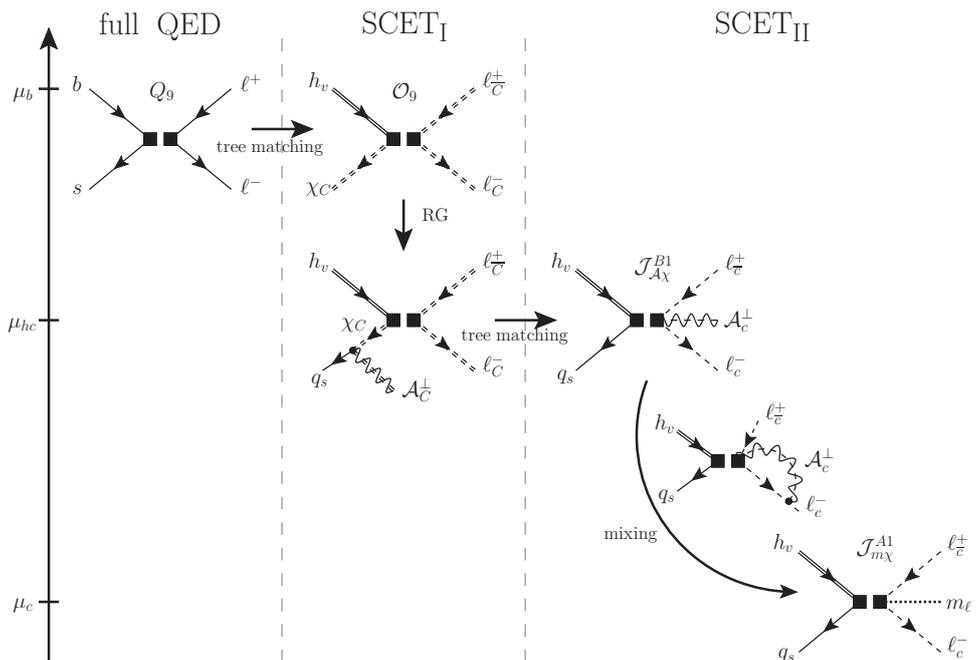
The second matching step from SCET<sub>I</sub> to SCET<sub>II</sub> produces the one-loop logarithms. In the case of  $Q_{9,10}$  (first diagram in figure 2) there is a hard-collinear and a collinear momentum region. The first belongs to a one-loop matching coefficient, while the second must be reproduced by the matrix element of a SCET<sub>II</sub> operator. The SCET<sub>I</sub> operator  $\mathcal{O}_i$  from (2.15) contains a  $C$ -antiquark, which is converted into the external soft spectator antiquark through the subleading-power SCET<sub>I</sub> interaction  $\mathcal{L}_{\xi q}^{(1)}$  [31], see (A.13), by emission of a transverse hard-collinear or collinear photon  $\mathcal{A}_{C\perp}$ . The relevant SCET<sub>II</sub> operators are

$$[\bar{\chi}_C \dots h_v][\bar{\ell}_C \dots \ell_{\bar{C}}] \quad \rightarrow \quad \mathcal{J}_{A_X}^{B1}, \mathcal{J}_{m_X}^{A1}, \quad (2.17)$$

where

$$\mathcal{J}_{A_X}^{B1} \sim [\bar{q}_s (in_- \overleftarrow{\partial})^{-1} \dots h_v][\bar{\ell}_c \mathcal{A}_{c\perp} \dots \ell_{\bar{c}}] \sim \lambda^{10}, \quad (2.18)$$

$$\mathcal{J}_{m_X}^{A1} \sim m_\ell [\bar{q}_s (in_- \overleftarrow{\partial})^{-1} \not{p}_- P_L h_v][\bar{\ell}_c P_R \ell_{\bar{c}}] \sim \lambda^{10}. \quad (2.19)$$



**Figure 3.** The scheme shows the tree-level matching steps from full QED  $\rightarrow$  SCET<sub>I</sub>  $\rightarrow$  SCET<sub>II</sub> at the two scales  $\mu_b$  and  $\mu_{hc}$  horizontally from left to right. Vertically the RG evolution in SCET<sub>I</sub> involves only self-mixing, whereas in SCET<sub>II</sub> a mixing takes place of the operators  $\mathcal{J}_{A_X}^{B1}$  into  $\mathcal{J}_{m_X}^{A1}$ . The notation and scaling of the SCET fields are given in table 1. The propagators of the fields are chosen as double-solid for the heavy quark  $h_v$ , double-dashed for hard-collinear fermions in SCET<sub>I</sub>, whereas single-dashed for collinear fermions in SCET<sub>II</sub>. The double- and single-dashed lines accompanied by a wavy line depict the hard-collinear and collinear photon fields in SCET<sub>I</sub> and SCET<sub>II</sub>, respectively. The single-solid line depicts the soft spectator quark  $q_s$ . The dotted line in  $\mathcal{J}_{m_X}^{A1}$  indicates that this operator contains a factor of the lepton mass  $m_\ell$ .

To reproduce the  $C_9^{\text{eff}}$  term in (1.1), the matching to the first of these operators is needed at tree-level. Its one-loop SCET<sub>II</sub> matrix element accounts for the collinear region. The matching coefficient of the second operator is needed at the one-loop level to reproduce the hard-collinear region. This leads to two important observations. First, the power-enhanced contribution to  $B_q \rightarrow \ell^+ \ell^-$  decays requires a power-suppressed interaction in SCET, because the usual, non-enhanced  $B_q \rightarrow \ell^+ \ell^-$  amplitude involving  $Q_{10}$  (first term on the right-hand side of (1.1)) is in fact doubly suppressed due to helicity conservation and the point-like annihilation of the heavy quark with a soft anti-quark. Second, even in the collinear loop, the anti-quark propagator has hard-collinear virtuality — only the lepton and photon propagators have collinear virtuality. This enables the perturbative calculation of the collinear contribution including the non-logarithmic terms.

Note that in SCET<sub>I</sub>  $\rightarrow$  SCET<sub>II</sub> matching,  $C$ -fields in the SCET<sub>I</sub> operator change to fields with collinear virtualities (denoted by  $c$ ) in SCET<sub>II</sub>, thereby increasing the power of the SCET<sub>II</sub> operators in  $\lambda$ . In the above two SCET<sub>II</sub> operators we included the inverse soft derivative in their definition to explicitly indicate the correct scaling of the operator.<sup>2</sup> One

<sup>2</sup>Later on, we will move this enhancement factor to the coefficient function [32], which is more convenient for calculations. This factor is responsible for the appearance of the  $1/\omega$  moment of the  $B$ -meson LCDA in (1.1).

might have expected that an operator  $[\bar{q}_s \gamma_\mu^\perp P_L h_v][\bar{\ell}_c \gamma_\perp^\mu \gamma_5 \ell_{\bar{c}}] \sim \lambda^{10}$  is generated by tree-level leading-power matching, but this operator has no overlap with the pseudo-scalar  $B$ -meson in the process  $B_q \rightarrow \ell^+ \ell^-$ . An additional helicity suppression of  $m_\ell \sim \lambda^2$  is required. In fact, also the operator (2.18) has no overlap with the external states of  $B_q \rightarrow \ell^+ \ell^-$  because of the additional photon field  $\mathcal{A}_{c\perp}$ . However, this operator mixes under QED renormalization with  $\mathcal{J}_{m\chi}^{A1}$ , which has the correct chiral properties, but nevertheless scales as  $\lambda^{10}$  due the compensating  $\lambda^{-2}$  power from the inverse soft derivative. It is precisely the anomalous dimension of this operator-mixing that reproduces the logarithms  $\ln(\mu_{hc}/\mu_c)$  in the one-loop QED correction (1.1). Below we will employ the RGEs of SCET<sub>II</sub> to resum these logarithms.

The two-step matching of the operators  $Q_{9,10}$  and the RG evolution are schematically summarized in figure 3. In the remainder of this work, we will derive the resummed result in detail for these operators.

Before proceeding, we comment on why we do not discuss the summation of logarithms for the electromagnetic dipole operator  $Q_7$ . The relevant diagram is now the second one in figure 2. While at first sight the hard-collinear and collinear regions appear similar to the case discussed above, one finds that the additional photon propagator attached to the dipole operator vertex causes an endpoint-singularity as  $u$ , that is, the virtuality  $um_b^2$  of the photon, goes to zero in the hard-collinear and collinear convolution integrals for the box diagram. In this limit, the hard photon from the electromagnetic dipole operator becomes hard-collinear. The singularity is cancelled by a soft contribution (virtuality  $\Lambda^2 \sim m_\ell^2$ ), where the leptons in the final state interact with each other through the exchange of a soft lepton [21]. The relevance of soft-fermion exchange is interesting by itself since it is beyond the standard analysis of logarithmically enhanced terms in QED. Moreover, the endpoint or rapidity divergence encountered here is of a form that defies known methods to sum such logarithms, since the breakdown of soft-collinear factorization arises from a singular matching coefficient, rather than the soft or collinear propagators themselves. A very similar phenomenon has subsequently been encountered in [33, 34]. The double logarithm in the  $C_7^{\text{eff}}$  term in (1.1) arises from this additional endpoint divergence. At the one-loop order, the endpoint singularity can be regularized by a non-dimensional regulator [25], which renders all integrals well-defined, with the result given in (1.1). We also verified this logarithm from the expansion of the full one-loop amplitude, without using the split-up into regions, as mentioned above. However, it is currently not known how to write down RGEs for suitably defined renormalized objects for this situation, and hence resummation cannot be performed.

### 3 SCET<sub>I</sub>

#### 3.1 Operators

The first decoupling step involves integrating out the hard modes of the light quark and lepton fields, as well as all other fields, in the matching on the SCET<sub>I</sub> operators. For processes described by SCET<sub>II</sub> a complication in the construction of the relevant operators in the intermediate SCET<sub>I</sub> appears, namely operators of different  $\lambda$  scaling may contribute to the same order in  $\lambda$  after matching to SCET<sub>II</sub> [25]. The power-enhanced contribution

requires only a single type of SCET<sub>I</sub> four-fermion operator where the light quark is either hard-collinear or anti-hard-collinear. In position space, denoted by a tilde, they read for a hard-collinear light quark

$$\tilde{\mathcal{O}}_9(s, t) = g_{\mu\nu}^\perp [\bar{\chi}_C(sn_+) \gamma_\perp^\mu P_L h_v(0)] [\bar{\ell}_C(tn_+) \gamma_\perp^\nu \ell_{\bar{C}}(0)], \quad (3.1)$$

$$\tilde{\mathcal{O}}_{10}(s, t) = i\varepsilon_{\mu\nu}^\perp [\bar{\chi}_C(sn_+) \gamma_\perp^\mu P_L h_v(0)] [\bar{\ell}_C(tn_+) \gamma_\perp^\nu \ell_{\bar{C}}(0)]; \quad (3.2)$$

for a anti-hard-collinear quark

$$\tilde{\mathcal{O}}_{\bar{9}}(s, t) = g_{\mu\nu}^\perp [\bar{\chi}_{\bar{C}}(sn_-) \gamma_\perp^\mu P_L h_v(0)] [\bar{\ell}_C(0) \gamma_\perp^\nu \ell_{\bar{C}}(tn_-)], \quad (3.3)$$

$$\tilde{\mathcal{O}}_{\bar{10}}(s, t) = i\varepsilon_{\mu\nu}^\perp [\bar{\chi}_{\bar{C}}(sn_-) \gamma_\perp^\mu P_L h_v(0)] [\bar{\ell}_C(0) \gamma_\perp^\nu \ell_{\bar{C}}(tn_-)]. \quad (3.4)$$

The definitions of  $g_{\mu\nu}^\perp$  and  $\varepsilon_{\mu\nu}^\perp$  are given in appendix A. In the classification scheme of [26, 31] these are operators of the B1-type with two hard-collinear (or anti-hard-collinear) fields in one of the directions, and of the A0-type in the opposite direction. The operators  $i = \bar{9}, \bar{10}$  contain an anti-hard-collinear light quark field  $\chi_{\bar{C}}$  instead of a  $\chi_C$  in operators  $i = 9, 10$ .

The Fourier-transformed SCET<sub>I</sub> operators are defined as

$$\mathcal{O}_i(u) = n_+ p_C \int \frac{dr}{2\pi} e^{-iur(n_+ p_C)} \tilde{\mathcal{O}}_i(0, r). \quad (3.5)$$

Hard-collinear momentum conservation has been used to drop the dependence on the total hard-collinear momentum  $n_+ p_C = n_+(p_\chi + p_\ell)$  on the left-hand side and the first argument of  $\tilde{\mathcal{O}}_i$  is set to zero. The variable  $u$  should be interpreted as the fraction  $n_+ p_\ell / n_+ p_C$  of  $n_+ p_C$  carried by the lepton field, while the hard-collinear light anti-quark has momentum fraction  $\bar{u} \equiv (1 - u) = n_+ p_\chi / n_+ p_C$ . For the operators  $\tilde{\mathcal{O}}_{\bar{i}}$  similar definitions apply after replacing  $n_+$  by  $n_-$ .

The SCET<sub>I</sub> Wilson coefficients of these operators, the so-called ‘‘hard functions’’, are introduced in momentum space as

$$\mathcal{L}_{\Delta B=1}^I = \sum_i \int du H_i(u, \mu) \mathcal{O}_i(u). \quad (3.6)$$

They are found by matching full QED+QCD  $\rightarrow$  SCET<sub>I</sub> at the hard scale  $\mu = \mu_b \sim \mathcal{O}(m_b)$  as described in section 3.2 below.

A complete basis of four-fermion operators when naive dimensional regularization with anti-commuting  $\gamma_5$  is employed would include in addition also operators with Dirac matrices vanishing in four dimensions, the so-called evanescent operators. However, the logarithms that we aim to sum in this paper in SCET<sub>I</sub> are derived from one-loop anomalous dimensions, which are given by the pole parts in  $1/\epsilon$ , where  $\epsilon = (4 - D)/2$  in terms of the number of space-time dimension  $D$ , of the one-loop diagrams that are independent of the definition of evanescent operators.

### 3.2 Matching

For the leading logarithmic accuracy it is sufficient to perform only the tree-level matching of  $Q_9$  and  $Q_{10}$  operators on the SCET<sub>I</sub> operators  $\mathcal{O}_i$ . One-loop matching is needed for the

four-quark operators  $Q_i$  ( $i = 1, \dots, 6$ ), which can be included as is commonly done by the replacement  $C_9 \rightarrow C_9^{\text{eff}}$  [35] as mentioned above. The hard matching condition at the scale  $\mu_b$  is given by

$$\mathcal{N}_{\Delta B=1} \sum_k C_k(\mu_b) Q_k = \sum_i \int du H_i(u, \mu_b) \mathcal{O}_i(u). \quad (3.7)$$

Evaluating this equation in the appropriate matrix element with a hard-collinear (anti-hard-collinear) light quark state, we find, at tree-level

$$\begin{aligned} H_9(u, \mu_b) &= \mathcal{N} C_9^{\text{eff}}(u, \mu_b), & H_{\bar{9}} &= H_9, \\ H_{10}(u, \mu_b) &= \mathcal{N} C_{10}(\mu_b), & H_{\bar{10}} &= H_{10}. \end{aligned} \quad (3.8)$$

Here

$$\mathcal{N} \equiv \mathcal{N}_{\Delta B=1} \frac{\alpha_{\text{em}}(\mu_b)}{4\pi}, \quad (3.9)$$

and

$$\begin{aligned} C_9^{\text{eff}}(u, \mu_b) &= C_9(\mu_b) + Y(us_{\ell\bar{\ell}}, \mu_b) \\ &\quad - \frac{V_{ub}V_{uq}^*}{V_{tb}V_{tq}^*} \left( \frac{4}{3}C_1 + C_2 \right) [h(0, us_{\ell\bar{\ell}}) - h(m_c, us_{\ell\bar{\ell}})], \end{aligned} \quad (3.10)$$

with the dilepton invariant mass  $s_{\ell\bar{\ell}} \equiv (n_+ p_{\ell})(n_- p_{\bar{\ell}})$ . We use the definition of the function  $Y(us_{\ell\bar{\ell}})$  from [36]. The function  $h(m_q, q^2)$  [24] depends on the light quark masses  $m_{u,d}$  that are set to zero, or the charm-quark mass  $m_c$ .

### 3.3 RG evolution

The RGE in SCET<sub>I</sub> governs the evolution of the matching coefficient  $H_i(u, \mu)$  from the hard scale  $\mu_b$  to the hard-collinear scale  $\mu_{hc}$ . The renormalization constants and the anomalous dimensions of the operators  $\mathcal{O}_i$  can be computed similarly to the ones for  $N$ -jet operators [26, 37], with the addition of a soft heavy-quark field. Our conventions follow [26] and are summarized in appendix A.2. We take into account both QCD and QED effects. The evolution of the hard function is determined by

$$\frac{dH_i(u, \mu)}{d \ln \mu} = \Gamma_{\text{cusp}}^{\text{I}} \left( \ln \frac{m_{B_q}}{\mu} - \frac{i\pi}{2} \right) H_i(u, \mu) + \int du' \Gamma_i(u', u) H_i(u', \mu). \quad (3.11)$$

The  $B$ -meson mass in the cusp logarithm arises from the kinematic constraint  $s_{\ell\bar{\ell}} = m_{B_q}^2$ . The imaginary parts arise from  $\ln[-(s_{\ell\bar{\ell}} + i0^+)/\mu^2] = \ln(m_{B_q}^2/\mu^2) - i\pi$ , and will be neglected throughout, as they do not contribute at the leading logarithmic accuracy. For the summation of the leading logarithms (LL) we require the one-loop cusp anomalous dimension

$$\Gamma_{\text{cusp}}^{\text{I}}(\alpha_s, \alpha_{\text{em}}) = \Gamma_c(\alpha_{\text{em}}) + \Gamma_s(\alpha_s, \alpha_{\text{em}}), \quad (3.12)$$

that has been split for later convenience into a part  $\Gamma_c \propto Q_{\ell}^2$  and the remainder  $\Gamma_s$  that includes also the QCD cusp anomalous dimension,

$$\Gamma_c = \frac{\alpha_{\text{em}}}{\pi} 2Q_{\ell}^2, \quad \Gamma_s = \frac{\alpha_s}{\pi} C_F + \frac{\alpha_{\text{em}}}{\pi} Q_q(2Q_{\ell} + Q_q), \quad (3.13)$$

expressed in terms of the electric quark and lepton charges,  $Q_q$  and  $Q_\ell$ , respectively, and the QCD Casimir  $C_F = 4/3$ . At the next-to-leading logarithmic (NLL) accuracy one would also include

$$\Gamma_i(x, y) = \frac{\alpha_s C_F}{4\pi} [4 \ln(1-x) - 5] \delta(x-y) + \frac{\alpha_{\text{em}}}{4\pi} \gamma_i(x, y), \quad (i = 9, 10), \quad (3.14)$$

and the two-loop cusp part. The function  $\gamma_i(x, y)$  is provided for completeness in (A.31). The general solution of the RGE (3.11) when only the cusp anomalous dimension is kept (and the imaginary part neglected) reads

$$H_i(u, \mu) = \exp \left[ \int_{\mu_b}^{\mu} \frac{d\mu'}{\mu'} \Gamma_{\text{cusp}}^{\text{I}}(\mu') \ln \frac{m_{B_q}}{\mu'} \right] H_i(u, \mu_b), \quad (3.15)$$

and amounts to a global, momentum-fraction independent rescaling of the hard functions  $H_i(u, \mu)$  by a Sudakov factor. This property is particular to the LL approximation. From NLL accuracy, when the non-cusp anomalous dimension  $\Gamma_i(u', u)$  is included, the QCD logarithms lead to a momentum-fraction dependent rescaling from the  $\ln(1-x)$  term in (3.14), while the QED corrections governed by  $\gamma_i$  reshuffle the momentum fractions carried by the spectator quark and lepton.

The integral in (3.15) can in general be evaluated only numerically. When the running of the strong coupling  $\alpha_s$  is included, but the one of  $\alpha_{\text{em}}$  as well as the influence of  $\alpha_{\text{em}}$  on the QCD running is neglected, we obtain the solution given in (A.33). However, our aim is to sum leading logarithms in QED to all orders. When the solution is written in the form of (3.15) “LL accuracy” is defined by including all terms of the form  $\log \times (\alpha \log)^n$  for any  $n$  in the exponent, where  $\alpha$  can be  $\alpha_s$  or  $\alpha_{\text{em}}$ . The “double logarithmic” approximation corresponds to keeping only the first term  $n = 1$  in the LL series.

In the LL approximation the one-loop cusp anomalous dimension is the sum of a QCD and a QED term (not to be confused with the split into  $\Gamma_c$  and  $\Gamma_s$  above, which will be useful later). The exponential factorizes into a QCD and a QED contribution. Even in this approximation it is convenient to perform the integrals numerically, when the coupling runs through flavour thresholds. We shall use such numerical solutions in the final numerical results in section 8. For the purpose of discussion, we present the analytic solution, when flavour thresholds in the interval  $[\mu, \mu_b]$  are neglected,

$$\frac{H_i(u, \mu)}{H_i(u, \mu_b)} = \exp \left[ \frac{4\pi}{\alpha_s(\mu_b)} \frac{C_F}{\beta_0^2} g_0(\eta_s) \right] \exp \left[ \frac{4\pi}{\alpha_{\text{em}}(\mu_b)} \frac{[2Q_\ell^2 + Q_q(2Q_\ell + Q_q)]}{\beta_{0,\text{em}}^2} g_0(\eta_{\text{em}}) \right], \quad (3.16)$$

where  $g_0(x) = 1 - x + \ln x$  and  $\eta_i$  stands for  $\eta_i(\mu_b, \mu) \equiv \alpha_i(\mu_b)/\alpha_i(\mu)$  with  $i = s, \text{em}$ . To obtain this expression from (3.15) we replace  $m_{B_q}$  in the cusp logarithm by  $\mu_b$  and neglect the non-enhanced logarithm  $\ln(m_{B_q}/\mu_b)$ . The ambiguity in choosing the precise value of the hard-matching scale  $\mu_b \sim m_b$  is resolved only beyond the LL approximation.

Neglecting the running of the QED coupling in (3.16) amounts to the QED double-logarithmic (DL) approximation and the approximation  $g_0(x) = -(1-x)^2/2 + \mathcal{O}((1-x)^3)$ . In the above and similar expressions below we can always switch between the LL (left) and DL (right) QED resummation by the replacement

$$\exp \left[ \frac{4\pi}{\alpha_{\text{em}}(\mu_1)} \frac{\mathcal{Q}}{\beta_{0,\text{em}}^2} g_0(\eta_{\text{em}}) \right] \longleftrightarrow \exp \left[ -\frac{\alpha_{\text{em}}}{2\pi} \mathcal{Q} \ln^2 \frac{\mu_1}{\mu_2} \right], \quad (3.17)$$

where now  $\eta_{\text{em}}$  denotes  $\eta_{\text{em}}(\mu_1, \mu_2) = \alpha_{\text{em}}(\mu_1)/\alpha_{\text{em}}(\mu_2)$ , and  $\mathcal{Q}$  stands for the appropriate charge factor.

For later purposes it is convenient to pull out the part of the exponent with  $\Gamma_c \propto \alpha_{\text{em}} Q_\ell^2$  as follows

$$H_i(u, \mu) = \exp [S_\ell(\mu_b, \mu) + S_q(\mu_b, \mu)] H_i(u, \mu_b), \quad (3.18)$$

thereby introducing the Sudakov exponents

$$S_\ell(\mu_b, \mu) = \frac{4\pi}{\alpha_{\text{em}}(\mu_b)} \frac{2Q_\ell^2}{\beta_{0,\text{em}}^2} g_0(\eta_{\text{em}}) \xrightarrow{\text{DL}} -\frac{\Gamma_c}{2} \ln^2 \frac{\mu_b}{\mu}, \quad (3.19)$$

$$S_q(\mu_b, \mu) = \frac{4\pi}{\alpha_s(\mu_b)} \frac{C_F}{\beta_0^2} g_0(\eta_s) + \frac{4\pi}{\alpha_{\text{em}}(\mu_b)} \frac{[Q_q(2Q_\ell + Q_q)]}{\beta_{0,\text{em}}^2} g_0(\eta_{\text{em}}) \xrightarrow{\text{DL}} \frac{4\pi C_F}{\alpha_s(\mu_b) \beta_0^2} (1 - \eta_s + \ln \eta_s) - \frac{\alpha_{\text{em}}}{2\pi} [Q_q(2Q_\ell + Q_q)] \ln^2 \frac{\mu_b}{\mu}. \quad (3.20)$$

## 4 SCET<sub>II</sub>

The above equations are used to evolve the SCET<sub>I</sub> operators  $\tilde{\mathcal{O}}_{9,10}$ ,  $\tilde{\mathcal{O}}_{\bar{9},\bar{10}}$  to the hard-collinear scale  $\mu_{hc}$ , at which the hard-collinear modes with virtuality  $\mathcal{O}(m_b \Lambda)$  are removed and the SCET<sub>I</sub> operators are matched to SCET<sub>II</sub>.

An important distinction between SCET<sub>I</sub> and SCET<sub>II</sub> for the problem at hand is the treatment of the lepton mass. Parametrically the muon mass is of the same order as the soft/collinear scale  $m_\mu \sim \Lambda_{\text{QCD}}$ . Thus the lepton mass terms are part of the leading-power collinear Lagrangian in SCET<sub>II</sub>, see (A.5). In consequence the muon mass is retained in the denominators of the collinear lepton propagators and serves as a regulator of the collinear divergences.

To develop an idea of operator matching to SCET<sub>II</sub>, we recall that the SCET<sub>I</sub> operators  $\mathcal{O}_i$  contain hard-collinear light quark fields, while the  $B$ -meson contains only soft fields. The hard-collinear field in the SCET<sub>I</sub> operators must be converted into a soft quark field through emission of a (hard-) collinear photon by the power-suppressed SCET<sub>I</sub> Lagrangian  $\mathcal{L}_{\xi q}^{(1)}$  (definition in (A.13)) to obtain a non-vanishing overlap with the  $B$ -meson state. Therefore, we match the time-ordered product of the SCET<sub>I</sub> operators  $\mathcal{O}_i$  with  $\mathcal{L}_{\xi q}^{(1)}$  to SCET<sub>II</sub> operators. The tree-level matching relation is depicted in the second line labelled “tree matching” in figure 3. Starting from the one-loop order, pure four-fermion operators without collinear photons also appear (not shown). The systematic construction of the SCET<sub>II</sub> operator basis is substantially more complicated than for SCET<sub>I</sub>, since one must control the degree of non-locality of soft fields [25]. In the following we discuss the operators, their renormalization and matching coefficients relevant to LL resummation. Some further details are provided in appendices A and B.

### 4.1 Operators

We note that, quite generally, in SCET<sub>II</sub> operators also the soft fields are delocalized along the direction of the light-cone. The small component  $n_- p$  of the hard-collinear mode,

which is integrated out, is of the same order as the soft momentum, hence the soft field can be at any position in the  $n_-$  direction. The roles of  $n_-$  and  $n_+$  are reversed when the anti-hard-collinear mode is integrated out.

A power-counting analysis similar to the one performed in [25] for heavy-to-light meson form factors shows that only two different SCET<sub>II</sub> operators for each collinear direction are relevant to the power-enhanced correction from the  $Q_9$  operator. The two SCET<sub>II</sub> operators mix under renormalization. The technical arguments can be found in appendix B. In position space, the two operators are defined as

$$\tilde{\mathcal{J}}_{m_X}^{A1}(v) = \bar{q}_s(vn_-)Y(vn_-, 0) \frac{\not{n}_-}{2} P_L h_v(0) [Y_+^\dagger Y_-](0) [\bar{\ell}_c(0)(4m_\ell P_R) \ell_{\bar{c}}(0)], \quad (4.1)$$

$$\begin{aligned} \tilde{\mathcal{J}}_{A_X}^{B1}(v, t) &= \bar{q}_s(vn_-)Y(vn_-, 0) \frac{\not{n}_-}{2} P_L h_v(0) [Y_+^\dagger Y_-](0) [\bar{\ell}_c(0)(g_{\mu\nu}^\perp + i\varepsilon_{\mu\nu}^\perp) \mathcal{A}_{c\perp}^\mu(tn_+) \gamma_\perp^\nu \ell_{\bar{c}}(0)] \\ &= \bar{q}_s(vn_-)Y(vn_-, 0) \frac{\not{n}_-}{2} P_L h_v(0) [Y_+^\dagger Y_-](0) [\bar{\ell}_c(0)(2\mathcal{A}_{c\perp}(tn_+) P_R) \ell_{\bar{c}}(0)]. \end{aligned} \quad (4.2)$$

For  $\tilde{\mathcal{J}}_{A_X}^{B1}$  the second line provides an equivalent representation which makes the chirality of the leptons explicit. The analogous operators generated from the matching of  $\mathcal{O}_{9,10}$  are defined as

$$\tilde{\mathcal{J}}_{m_X}^{A1}(v) = \bar{q}_s(vn_+)Y(vn_+, 0) \frac{\not{n}_+}{2} P_L h_v(0) [Y_+^\dagger Y_-](0) [\bar{\ell}_c(0)(4m_\ell P_R) \ell_{\bar{c}}(0)], \quad (4.3)$$

$$\begin{aligned} \tilde{\mathcal{J}}_{A_X}^{B1}(v, t) &= \bar{q}_s(vn_+)Y(vn_+, 0) \frac{\not{n}_+}{2} P_L h_v(0) [Y_+^\dagger Y_-](0) [\bar{\ell}_c(0)(g_{\mu\nu}^\perp - i\varepsilon_{\mu\nu}^\perp) \mathcal{A}_{c\perp}^\mu(tn_-) \gamma_\perp^\nu \ell_{\bar{c}}(0)] \\ &= \bar{q}_s(vn_+)Y(vn_+, 0) \frac{\not{n}_+}{2} P_L h_v(0) [Y_+^\dagger Y_-](0) [\bar{\ell}_c(0)(2P_R \mathcal{A}_{c\perp}(tn_-)) \ell_{\bar{c}}(0)]. \end{aligned} \quad (4.4)$$

The A1-type operators are constructed from leading-power building blocks and multiplied by a factor of the lepton mass where the factor of 4 is introduced for convenience. The B1-type operators contain the (anti-) collinear photon field  $\mathcal{A}_{c\perp}^\mu(\bar{c}_\perp)$ . Both operators have the same  $\lambda$  scaling. The product of Wilson lines  $[Y_+^\dagger Y_-](0) \equiv Y_+^\dagger(0)Y_-(0)$  appears after decoupling of soft photons from the collinear and anti-collinear leptons in SCET<sub>I</sub>, see also (A.15). These electromagnetic Wilson lines are defined as

$$Y_\pm(x) = \exp \left[ -ie Q_\ell \int_0^\infty ds n_\mp A_s(x + sn_\mp) \right]. \quad (4.5)$$

For the quark current the usual finite-distance Wilson line

$$Y(x, y) = \exp \left[ ie Q_q \int_y^x dz_\mu A_s^\mu(z) \right] \mathcal{P} \exp \left[ ig_s \int_y^x dz_\mu G_s^\mu(z) \right] \quad (4.6)$$

appears, which is necessary to maintain the QCD and QED gauge invariance of non-local operators. Here  $\mathcal{P}$  is the path-ordering operator and  $G_s^\mu = G_s^{\mu A} T^A$  is the soft gluon field. The integral is evaluated along the straight line connecting the points  $x$  and  $y$ . We define the Fourier transforms

$$\mathcal{J}_i^{A1}(\omega) = \int \frac{dv}{2\pi} e^{i\omega v} \tilde{\mathcal{J}}_i^{A1}(v), \quad (4.7)$$

$$\mathcal{J}_i^{B1}(\omega, w) = (n \cdot p) \int \frac{dv}{2\pi} e^{i\omega v} \int \frac{dt}{2\pi} e^{-i\bar{w}t(n \cdot p)} \tilde{\mathcal{J}}_i^{B1}(v, t) \quad (4.8)$$

of the operators, where  $w$  corresponds to the collinear momentum fraction carried by the lepton, and  $\omega$  may be interpreted as the soft momentum of the light quark along the  $n_+$  or  $n_-$  direction, depending on the operator. Further  $(n \cdot p) = n_+ p_c = n_+(p_\ell + p_{\mathcal{A}_{c\perp}})$  for  $i = \mathcal{A}_\chi$  and  $(n \cdot p) = n_- p_{\bar{c}} = n_-(p_{\bar{\ell}} + p_{\mathcal{A}_{\bar{c}\perp}})$  for  $i = \mathcal{A}_{\bar{\chi}}$ , respectively. In this way, after taking the matrix element,  $w = n_+ p_\ell / n_+ p_c$  denotes the momentum fraction of  $n_+ p_c$  carried by the collinear lepton and analogously for the anti-collinear case with appropriate replacements  $n_+ \rightarrow n_-$  and  $c \rightarrow \bar{c}$ . We further defined  $\bar{w} \equiv 1 - w$ .

## 4.2 Renormalization

The SCET<sub>II</sub> operators (4.1)–(4.4) are composed of soft, collinear and anti-collinear field products

$$\tilde{\mathcal{J}}_i = \hat{\mathcal{J}}_{i,s} \otimes \hat{\mathcal{J}}_{i,c} \otimes \hat{\mathcal{J}}_{i,\bar{c}}, \quad (4.9)$$

where the  $\otimes$  symbol indicates potential summation/contractions over spinorial and/or Lorentz indices. In SCET<sub>II</sub>, the soft, collinear and anti-collinear fields do not interact with one another, which implies that the matrix elements of the SCET<sub>II</sub> operators factorize accordingly into matrix elements of the separate factors on the right-hand side of (4.9) in the respective soft, collinear and anti-collinear Hilbert space. The UV counterterms can also be defined separately for each sector. However, a rearrangement is necessary due to the factorization anomaly as discussed below. The renormalization of SCET<sub>II</sub> operators then proceeds similarly to the SCET<sub>I</sub> case, see [26, 34, 37]. We next discuss the renormalization of each sector separately and then present the combined result for the SCET<sub>II</sub> operators.

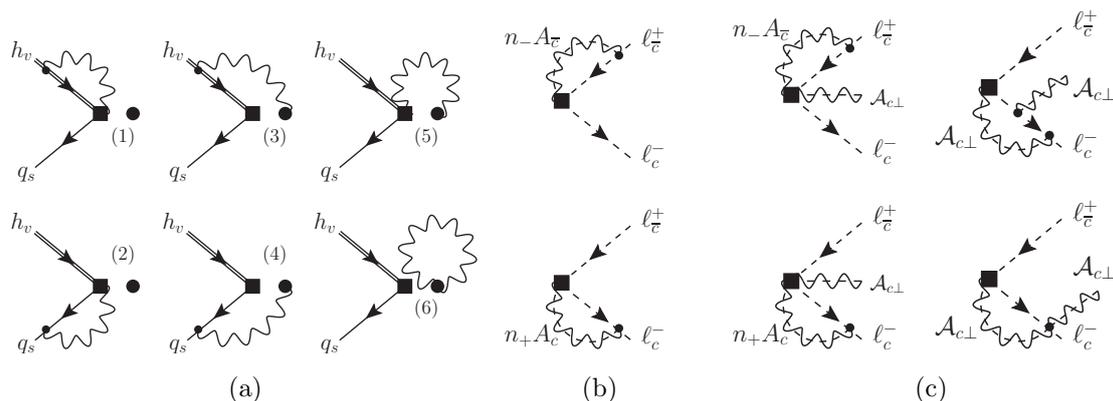
### 4.2.1 Soft sector

The soft part of the operators  $\mathcal{J}_{m_\chi}^{A1}$  and  $\mathcal{J}_{\mathcal{A}_\chi}^{B1}$ ,

$$\hat{\mathcal{J}}_s(v) = \bar{q}_s(vn_-) Y(vn_-, 0) \frac{\not{n}_-}{2} P_L h_v(0) [Y_+^\dagger Y_-](0), \quad (4.10)$$

is common to both. We thus omit the subindex  $i$ , and write  $\hat{\mathcal{J}}_{i,s} = \hat{\mathcal{J}}_s$ . The discussion for  $\mathcal{J}_{m_\chi}^{A1}$  and  $\mathcal{J}_{\mathcal{A}_\chi}^{B1}$  proceeds analogously after exchanging  $n_- \leftrightarrow n_+$  in the  $\bar{q}_s[\dots]h_v$  part of the operator. The QED one-loop diagrams due to soft photons from the soft Wilson lines contributing to the renormalization of  $\hat{\mathcal{J}}_s(v)$  are shown in figure 4(a). Not shown is the vertex diagram from photon exchange between the heavy and light quark, and the field renormalization contribution. The QCD one-loop diagrams are the same as those that appear in the calculation of the renormalization of the leading-twist  $B$ -meson light-cone distribution amplitude [38].

To find the UV poles in dimensional regularization, we evaluate the operator between a heavy-light quark state and the vacuum, and regulate the infrared (IR) divergences by taking the external lines slightly off-shell. See appendix A.4 for more details. Calculating in Feynman gauge, the dependence on the off-shell IR regulators cancels except for the tadpole-type diagram (6) of figure 4(a). The remaining IR-regulator dependence is cancelled by the diagrams in figure 4(b) and figure 4(c) with collinear and anti-collinear



**Figure 4.** The diagrams in figure 4(a) show the parts of the SCET<sub>II</sub> operators  $\mathcal{J}_i$  ( $i = m\chi, \mathcal{A}\chi$ ) with soft photon exchange (wavy lines) from  $i$  the Wilson lines in the soft fields  $h_v$  (double line) and  $q_s$  (single line) depicted by the square and  $ii$  the product of soft Wilson lines  $Y_+^\dagger(0)Y_-(0)$  depicted by the solid blob. They contribute to the  $Z_s^{\text{QED}}$  (diagrams 1–5), and  $Z_{\bar{c}}, Z_{m\chi}^c$  and  $Z_{\mathcal{A}\chi}^c$  (diagram 6  $\propto Q_\ell^2$ ). Figure 4(b) shows diagrams relevant for  $Z_{\bar{c}}, Z_{m\chi}^c$  and figure 4(c) diagrams relevant for  $Z_{\mathcal{A}\chi}^c$ . The dashed lines depict the lepton and anti-lepton and the wavy-dashed lines the (anti-) collinear photons from the corresponding Wilson lines.

photons  $n_+A_c$  and  $n_-A_{\bar{c}}$ , respectively. While at first sight, this appears to be in conflict with the factorization of the soft and (anti-) collinear sectors, we can subtract the overlap between soft and collinear and anti-collinear regions by defining and renormalizing the soft operator

$$\tilde{\mathcal{J}}_s(v) \equiv \frac{\hat{\mathcal{J}}_s(v)}{\langle 0|[Y_+^\dagger Y_-](0)|0\rangle}. \quad (4.11)$$

For the operators  $i = m\bar{\chi}, \mathcal{A}\bar{\chi}$  we proceed in complete analogy using the respective soft field product. The operator (4.11) is divided by the vacuum expectation value of the gauge-invariant product of Wilson lines

$$\langle 0|[Y_+^\dagger Y_-](0)|0\rangle \equiv R_+R_-. \quad (4.12)$$

At the one-loop order, this subtraction simply removes the tadpole diagram (6) in figure 4(a) from the soft operator. Beyond one-loop it ensures that the UV counterterm for the soft operator is independent of the IR regulator as is required for consistent operator renormalization. Further it ensures that the renormalization of the soft sector does not depend on the structure of the (anti-) collinear parts of the SCET<sub>II</sub> operators, but only on the total charge of the final state associated to the (anti-) collinear direction.

Using separate IR regulators for collinear and anti-collinear fields, we further factorized the vacuum expectation value of the Wilson lines into factors  $R_+$  and  $R_-$ , which depend only on the collinear and anti-collinear IR regulators, respectively. This split can always be performed. At the one-loop order one obtains the sum of two terms, each of which depends only on one of the regulators; beyond, the one-loop IR divergence exponentiates. There is a freedom in the choice of splitting the product  $R_+R_-$  into the separate factors

$R_+$  and  $R_-$ , which affects the definition of the collinear and anti-collinear renormalization constants discussed below.<sup>3</sup> We adopt the symmetric convention, such that  $R_+$  equals  $R_-$  upon exchanging  $n_+ \leftrightarrow n_-$ . This corresponds to rearranging the SCET<sub>II</sub> operator (4.9) as

$$\tilde{\mathcal{J}}_i = \frac{\hat{\mathcal{J}}_s}{R_+ R_-} \otimes R_+ \hat{\mathcal{J}}_{i,c} \otimes R_- \hat{\mathcal{J}}_{i,\bar{c}} \equiv \tilde{\mathcal{J}}_s \otimes \tilde{\mathcal{J}}_{i,c} \otimes \tilde{\mathcal{J}}_{i,\bar{c}}, \quad (4.13)$$

where now in the soft, collinear and anti-collinear factors  $\tilde{\mathcal{J}}$  can be renormalized consistently in contrast to the original  $\hat{\mathcal{J}}$ .

We denote by  $Z_s$  the UV renormalization factor of the Fourier transform  $\mathcal{J}_s(\omega) = \int \frac{dv}{2\pi} e^{i\omega v} \tilde{\mathcal{J}}_s(v)$  of the soft operator. At the one-loop order,  $Z_s^{(1)}$  is the sum

$$Z_s^{(1)} = \frac{\alpha_{\text{em}}}{4\pi} Z_s^{\text{QED}} + \frac{\alpha_s}{4\pi} Z_s^{\text{QCD}} \quad (4.14)$$

of the QED and QCD contribution. The expressions for  $Z_s^{\text{QED}}$  and  $Z_s^{\text{QCD}}$  are given in (A.36) and (A.37), respectively. As explained above, the tadpole diagram 6 of figure 4(a) cancels with the corresponding diagram in the denominator of (4.11). With the help of (A.28), the corresponding anomalous dimension reads

$$\begin{aligned} \Gamma^s(\omega, \omega') = & \left[ -\Gamma_s \ln \frac{\omega}{\mu} - 5 \left( \frac{\alpha_s}{4\pi} C_F + \frac{\alpha_{\text{em}}}{4\pi} Q_q^2 \right) \right] \delta(\omega - \omega') \\ & - 4 \left[ \frac{\alpha_s}{4\pi} C_F + \frac{\alpha_{\text{em}}}{4\pi} Q_q(Q_q + Q_\ell) \right] F(\omega, \omega'), \end{aligned} \quad (4.15)$$

where  $F(\omega, \omega')$  is given in (A.38). The anomalous dimension contains the cusp part  $\Gamma_s$ , which appeared already in the anomalous dimension (3.13) of the SCET<sub>I</sub> operators. However, here it enters with the opposite sign and is multiplied by  $\ln(\omega/\mu)$ . Note that the QED part of the anomalous dimension is proportional to the light-quark charge  $Q_q$ .

The soft operator fulfils the RGE<sup>4</sup>

$$\frac{d}{d \ln \mu} \mathcal{J}_s(\omega; \mu) = - \int d\omega' \Gamma^s(\omega, \omega') \mathcal{J}_s(\omega'; \mu), \quad (4.16)$$

which at the LL accuracy, i.e. keeping only the cusp part of the anomalous dimension, admits a solution of the form

$$\mathcal{J}_s(\omega; \mu) = U_s(\mu, \mu_s; \omega) \mathcal{J}_s(\omega; \mu_s). \quad (4.17)$$

The LL soft RG evolution factor  $U_s$  from an initial soft scale  $\mu_s \sim \omega$  to  $\mu$  is given by

$$U_s(\mu, \mu_s; \omega) = \exp \left[ \frac{4\pi}{\alpha_s(\mu_s)} \frac{C_F}{\beta_0^2} \left( g_0(\eta_s) + \frac{\alpha_s(\mu_s)}{2\pi} \beta_0 \ln \eta_s \ln \frac{\omega}{\mu_s} \right) \right] \quad (4.18)$$

$$\begin{aligned} & \times \exp \left[ \frac{4\pi}{\alpha_{\text{em}}(\mu_s)} \frac{Q_q(2Q_\ell + Q_q)}{\beta_{0,\text{em}}^2} \left( g_0(\eta_{\text{em}}) + \frac{\alpha_{\text{em}}(\mu_s)}{2\pi} \beta_{0,\text{em}} \ln \eta_{\text{em}} \ln \frac{\omega}{\mu_s} \right) \right] \\ & \xrightarrow{\text{DL}} \exp \left[ \frac{\Gamma_s}{2} \left( \ln^2 \frac{\omega}{\mu_s} - \ln^2 \frac{\omega}{\mu} \right) \right], \end{aligned} \quad (4.19)$$

<sup>3</sup>We note the similarity to the factorization of the soft function in the definition of the transverse momentum-dependent parton distribution functions, see, for example, [39].

<sup>4</sup>The minus sign on the right-hand side appears in accordance with the general convention (A.29) for the RGEs of operators and coefficient functions.

where for soft evolution  $\eta_i$  stands for  $\eta_i(\mu_s, \mu) \equiv \alpha_i(\mu_s)/\alpha_i(\mu)$  with  $i = s, \text{em}$ . In the last line we have taken the double-logarithmic approximation of the QCD and QED factor, but we will not make use of this approximation later on.

The above result can be simplified by noting that  $\omega, \mu_s \sim \Lambda$ , hence  $\ln(\omega/\mu_s)$  is never a large logarithm. Similar to the solution of the SCET<sub>1</sub> evolution equation, we may drop such  $\mathcal{O}(1)$  logarithms. In the present case, this renders the evolution factor independent of the momentum variable  $\omega$ , resulting in

$$U_s(\mu, \mu_s) = \exp\left[\frac{4\pi}{\alpha_s(\mu_s)} \frac{C_F}{\beta_0^2} g_0(\eta_s)\right] \exp\left[\frac{4\pi}{\alpha_{\text{em}}(\mu_s)} \frac{Q_q(2Q_\ell + Q_q)}{\beta_{0,\text{em}}^2} g_0(\eta_{\text{em}})\right] \\ \xrightarrow{\text{DL}} \exp\left[\frac{4\pi}{\alpha_s(\mu_s)} \frac{C_F}{\beta_0^2} g_0(\eta_s)\right] \exp\left[-\frac{\alpha_{\text{em}}}{2\pi} [Q_q(2Q_\ell + Q_q)] \ln^2 \frac{\mu_s}{\mu}\right]. \quad (4.20)$$

We note the same form as (3.16) for the hard-collinear evolution, except now the evolution starts at  $\mu_s$ , and there is no  $Q_\ell^2$  term in the anomalous dimension.

#### 4.2.2 Anti-collinear sector

The anti-collinear sector is the same for both operators and given by the anti-collinear lepton field  $\widehat{\mathcal{J}}_{\bar{c}} = \ell_{\bar{c}}(0)$ .<sup>5</sup> We define the anti-collinear operator

$$\mathcal{J}_{\bar{c}} \equiv \mathcal{J}_{i,\bar{c}} = R_- \ell_{\bar{c}}(0), \quad (4.21)$$

including the  $R_-$  factor from the soft subtraction. The operator has a single open spinor index which is omitted for simplicity, as the anomalous dimension is diagonal.

The one-loop diagrams needed to compute the anomalous dimension of the above operator correspond to the anti-collinear part of the two diagrams in figure 4(b) and figure 4(c) involving  $n_- A_{\bar{c}}$ . The factor  $R_-$ , which originates from the soft tadpole diagram (6), ensures the cancellation of the off-shell IR regulator in the UV divergent part. We introduce the renormalization constant  $Z_{\bar{c}}$  associated with the UV counterterm, for which the one-loop result is given in (A.40).

The anti-collinear part obeys the RG equation

$$\frac{d}{d \ln \mu} \mathcal{J}_{\bar{c}}(\mu) = -\Gamma_{\bar{c}} \mathcal{J}_{\bar{c}}(\mu), \quad (4.22)$$

with the one-loop anomalous dimension

$$\Gamma_{\bar{c}} = \frac{\Gamma_c}{2} \left( \ln \frac{m_{B_q}}{\mu} - \frac{i\pi}{2} \right) - \frac{\alpha_{\text{em}}}{4\pi} 3Q_\ell^2, \quad (4.23)$$

and the cusp anomalous dimension  $\Gamma_c$  previously defined in (3.13). The solution to LL accuracy is

$$\mathcal{J}_{\bar{c}}(\mu) = U_{\bar{c}}(\mu, \mu_c) \mathcal{J}_{\bar{c}}(\mu_c), \quad (4.24)$$

---

<sup>5</sup>We note that this refers to the field including the anti-collinear Wilson line, which is invariant under anti-collinear gauge transformations, see (A.10).

with

$$U_{\bar{c}}(\mu, \mu_c) = \exp \left[ - \frac{4\pi}{\alpha_{\text{em}}(\mu_c)} \frac{Q_\ell^2}{\beta_{0,\text{em}}^2} \left( g_0(\eta_{\text{em}}) + \frac{\alpha_{\text{em}}(\mu_c)}{2\pi} \beta_{0,\text{em}} \ln \eta_{\text{em}} \ln \frac{m_{B_q}}{\mu_c} \right) \right] \quad (4.25)$$

$$\xrightarrow{\text{DL}} \exp \left[ - \frac{\Gamma_c}{4} \left( \ln^2 \frac{m_{B_q}}{\mu_c} - \ln^2 \frac{m_{B_q}}{\mu} \right) \right]. \quad (4.26)$$

Here  $\eta_{\text{em}}$  is  $\eta_{\text{em}}(\mu_c, \mu) = \alpha_{\text{em}}(\mu_c)/\alpha_{\text{em}}(\mu)$  for (anti-) collinear evolution. Note that we cannot neglect the first term in the exponent in this case, since  $\ln(m_{B_q}/\mu_c)$  is a large logarithm.

### 4.2.3 Collinear sector

The collinear part of operators (4.1) and (4.2) consists of the two operators

$$\mathcal{J}_c^{A1} \equiv \mathcal{J}_{m_\chi, c}^{A1} = R_+ \bar{\ell}_c(0) 4m_\ell P_R, \quad (4.27)$$

$$\mathcal{J}_c^{B1}(w) \equiv \mathcal{J}_{\mathcal{A}_\chi, c}^{B1} = R_+ (n_+ p) \int \frac{dt}{2\pi} e^{-i\bar{w}t n_+ p} \bar{\ell}_c(0) 2\mathcal{A}_{c\perp}(tn_+) P_R, \quad (4.28)$$

with  $\bar{w} \equiv 1 - w$ , which mix under renormalization. Similar to the anti-collinear part, the factor  $R_+$  must be included to cancel the IR regulator dependence in the anomalous dimension. The  $2 \times 2$  renormalization matrix has the structure

$$\begin{pmatrix} [\mathcal{J}_c^{A1}]_{\text{ren}} \\ [\mathcal{J}_c^{B1}]_{\text{ren}} \end{pmatrix} = \begin{pmatrix} Z_{m_\chi}^c & 0 \\ Z_{\mathcal{A}_\chi, m_\chi}^c & Z_{\mathcal{A}_\chi}^c \end{pmatrix} \otimes_{w'} \begin{pmatrix} [\mathcal{J}_c^{A1}]_{\text{bare}} \\ [\mathcal{J}_c^{B1}]_{\text{bare}} \end{pmatrix}, \quad (4.29)$$

where  $\otimes_{w'}$  indicates the presence of the convolution with respect to the collinear momentum fraction. Both operators have in common the collinear lepton field  $\bar{\ell}_c(0)$ , for which the associated one-loop diagrams due to the collinear Wilson lines are the diagrams in figure 4(b) and figure 4(c) which involve  $n_+ A_c$ . Further, the operator  $\mathcal{J}_c^{A1}$  contains an explicit factor of  $m_\ell$  in the  $\overline{\text{MS}}$  scheme, which we assign to the collinear sector as can be motivated by the one-loop matching calculation for this operator in section 4.3. The operator  $\mathcal{J}_c^{B1}$  contains the additional collinear photon field  $\mathcal{A}_{c\perp}^\mu(tn_+)$ , which gives two more one-loop diagrams shown in figure 4(c). The one-loop result of the diagonal elements  $Z_{m_\chi}^{c,(1)}$  and  $Z_{\mathcal{A}_\chi}^{c,(1)}$  are given in (A.41) and (A.42), respectively.

For massless fermions in SCET<sub>I</sub>, the mixing of B1-type operators into A1-type operators is absent. In SCET<sub>II</sub> with non-zero fermion mass, we find the non-vanishing one-loop off-shell collinear matrix element of B1-type operator shown as the middle diagram in the column labelled ‘‘SCET<sub>II</sub>’’ in figure 3. Its divergent part is proportional to the tree-level matrix element of the mass-suppressed A1-type operator. Explicitly, the matrix element is given by

$$\begin{aligned} n_+ p \int \frac{dt}{2\pi} e^{-i\bar{w}t(n_+ p)} \langle \ell(p) | \bar{\ell}_c(0) \mathcal{A}_{c\perp}^\mu(tn_+) | 0 \rangle \\ = - \frac{\alpha_{\text{em}}}{4\pi} Q_\ell \bar{w} \left[ \frac{1}{\epsilon} + \ln \frac{\mu^2}{\bar{w}(m_\ell^2 - p^2 w)} \right] m_\ell \bar{u}_c(p) \gamma_\perp^\mu, \end{aligned} \quad (4.30)$$

yielding the mixing counterterm

$$Z_{\mathcal{A}^\mu \chi_\alpha, m_\chi \beta}^{c,(1)}(w) = \frac{\alpha_{\text{em}}}{4\pi} \frac{Q_\ell}{\epsilon} \bar{w} [\gamma_\perp^\mu]_{\alpha\beta} \quad (4.31)$$

for the general case with open Dirac and Lorentz indices. Contracting them with  $2\gamma^{\perp\mu}P_R$ , the SCET<sub>II</sub> mixing counterterm pertinent to the operators (4.27) and (4.28) is

$$Z_{\mathcal{A}\chi, m\chi}^{c(1)}(w) = \frac{\alpha_{\text{em}}}{4\pi} \frac{Q_\ell}{\epsilon} \bar{w}. \quad (4.32)$$

The renormalization of the collinear fields leads to the coupled system of RGEs,

$$\frac{d}{d \ln \mu} \begin{pmatrix} \mathcal{J}_c^{A1}(\mu) \\ \mathcal{J}_c^{B1}(w; \mu) \end{pmatrix} = - \begin{pmatrix} \Gamma_{m\chi}^c & 0 \\ \Gamma_{\mathcal{A}\chi, m\chi}^c & \Gamma_{\mathcal{A}\chi}^c \end{pmatrix} \otimes_{w'} \begin{pmatrix} \mathcal{J}_c^{A1}(\mu) \\ \mathcal{J}_c^{B1}(w'; \mu) \end{pmatrix}, \quad (4.33)$$

with the one-loop collinear anomalous dimensions given by<sup>6</sup>

$$\Gamma_{m\chi}^c = \frac{\Gamma_c}{2} \left( \ln \frac{m_{B_q}}{\mu} - \frac{i\pi}{2} \right) + \frac{\alpha_{\text{em}}}{4\pi} 3Q_\ell^2, \quad (4.34)$$

$$\Gamma_{\mathcal{A}\chi, m\chi}^c(w) = \frac{\alpha_{\text{em}}}{4\pi} 2Q_\ell \bar{w}, \quad (4.35)$$

$$\begin{aligned} \Gamma_{\mathcal{A}\chi}^c(w, w') &= \delta(w - w') \left[ \frac{\Gamma_c}{2} \left( \ln \frac{m_{B_q}}{\mu} - \frac{i\pi}{2} \right) + \frac{\alpha_{\text{em}}}{4\pi} Q_\ell^2 (4 \ln w - 6) \right] \\ &\quad - \frac{\alpha_{\text{em}}}{4\pi} 2Q_\ell^2 \gamma_{\mathcal{A}\chi, \mathcal{A}\chi}(w, w'). \end{aligned} \quad (4.36)$$

The non-cusp anomalous dimension  $\gamma_{\mathcal{A}\chi, \mathcal{A}\chi}(w, w')$  is provided for completeness in (A.43). The opposite sign of the non-cusp term in (4.34) compared to (4.23) arises from the anomalous dimension of the  $\overline{\text{MS}}$  lepton mass in the definition of  $\mathcal{J}_c^{A1}$ .

At LL accuracy, keeping only the cusp anomalous dimension terms, the system of RGEs (4.33) is easily solved first for  $\mathcal{J}_c^{A1}(\mu)$ , and subsequently for  $\mathcal{J}_c^{B1}(w, \mu)$ , yielding

$$\mathcal{J}_c^{A1}(\mu) = U_c(\mu, \mu_c) \mathcal{J}_c^{A1}(\mu_c), \quad (4.37)$$

$$\mathcal{J}_c^{B1}(w; \mu) = U_c(\mu, \mu_c) \left[ \mathcal{J}_c^{B1}(w; \mu_c) - \frac{Q_\ell \bar{w}}{\beta_{0,\text{em}}} \ln \eta_{\text{em}} \mathcal{J}_c^{A1}(\mu_c) \right]. \quad (4.38)$$

Here  $\eta_{\text{em}}$  equals  $\eta_{\text{em}}(\mu_c, \mu) = \alpha_{\text{em}}(\mu_c)/\alpha_{\text{em}}(\mu)$  and  $U_c(\mu, \mu_c) = U_{\bar{c}}(\mu, \mu_c)$  defined in (4.24) with LL accuracy, because the cusp part of the anomalous dimensions  $\Gamma_{m\chi}^c$ ,  $\Gamma_{\mathcal{A}\chi}^c$  is the same as of  $\Gamma_{\bar{c}}$  in (4.23).

Naively, the second term in the bracket in (4.38) appears suppressed as it contains  $\alpha_{\text{em}}$  times a single logarithm. However, the tree-level matrix element of the operator  $\mathcal{J}_c^{B1}(\mu_c)$  vanishes for  $B_q \rightarrow \mu^+ \mu^-$ . Hence, the second term is actually the leading term, as outlined in figure 3. The matrix element of the B1-operator contributes at the one-loop order, and does not contain large logarithms because it is evaluated at the collinear scale. For the LL accuracy, it is then enough to choose the initial condition  $\mathcal{J}_c^{B1}(w; \mu_c) = 0$ . In the double-logarithmic approximation, we could further replace

$$\frac{1}{\beta_{0,\text{em}}} \ln \eta_{\text{em}} \xrightarrow{\text{DL}} \frac{\alpha_{\text{em}}}{2\pi} \ln \frac{\mu}{\mu_c}. \quad (4.39)$$

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<sup>6</sup>A very similar operator mixing calculation appears in the SCET analysis of power-suppressed two-jet operators sourced by a new heavy particle [33]. In this application, the insertion of an external Higgs field operator corresponds to the lepton mass factor. The off-diagonal anomalous dimension in [33] misses the factor  $\bar{w}$ , because the one-particle reducible diagram with the Higgs insertion on the external leg was not included.

#### 4.2.4 Complete SCET<sub>II</sub> operator and evolution

For convenience, we summarize the renormalization and RGEs for the full SCET<sub>II</sub> operators  $\mathcal{J}_{m_\chi}^{A1}$  and  $\mathcal{J}_{\mathcal{A}_\chi}^{B1}$ . The operator mixing in the collinear sector leads to a  $2 \times 2$  renormalization matrix

$$\begin{pmatrix} [\mathcal{J}_{m_\chi}^{A1}]_{\text{ren}} \\ [\mathcal{J}_{\mathcal{A}_\chi}^{B1}]_{\text{ren}} \end{pmatrix} = \begin{pmatrix} Z_{m_\chi, m_\chi} & 0 \\ Z_{\mathcal{A}_\chi, m_\chi} & Z_{\mathcal{A}_\chi, \mathcal{A}_\chi} \end{pmatrix} \otimes_{\omega', \omega'} \begin{pmatrix} [\mathcal{J}_{m_\chi}^{A1}]_{\text{bare}} \\ [\mathcal{J}_{\mathcal{A}_\chi}^{B1}]_{\text{bare}} \end{pmatrix}, \quad (4.40)$$

where appropriate convolutions are indicated by  $\otimes$ . The renormalization constants are the products of the soft, collinear and anti-collinear factors discussed before,

$$Z_{m_\chi, m_\chi}(\omega, \omega') = Z_s(\omega, \omega') Z_{\bar{c}} Z_{m_\chi}^c, \quad (4.41)$$

$$Z_{\mathcal{A}_\chi, \mathcal{A}_\chi}(\omega, \omega'; w, w') = Z_s(\omega, \omega') Z_{\bar{c}} Z_{\mathcal{A}_\chi}^c(w, w'), \quad (4.42)$$

$$Z_{\mathcal{A}_\chi, m_\chi}(\omega, \omega'; w) = Z_s(\omega, \omega') Z_{\bar{c}} Z_{\mathcal{A}_\chi, m_\chi}^c(w), \quad (4.43)$$

and the anomalous dimension matrix becomes the sum of the soft, collinear, and anti-collinear anomalous dimensions. We can write this in the form

$$\Gamma^{\text{II}} = \Gamma^s(\omega, \omega') \begin{pmatrix} 1 & 0 \\ 0 & \delta(w - w') \end{pmatrix} + \delta(\omega - \omega') \begin{pmatrix} \Gamma_{\bar{c}} + \Gamma_{m_\chi}^c & 0 \\ \Gamma_{\mathcal{A}_\chi, m_\chi}^c & \delta(w - w') \Gamma_{\bar{c}} + \Gamma_{\mathcal{A}_\chi}^c(w, w') \end{pmatrix}, \quad (4.44)$$

with entries defined in (4.15), (4.23) and (4.34)–(4.36). Since the soft part is independent of the collinear and anti-collinear building blocks, it enters only the diagonal entries. Both operators  $\mathcal{J}_{m_\chi}^{A1}$  and  $\mathcal{J}_{\mathcal{A}_\chi}^{B1}$  contain the cusp anomalous dimension parts  $\Gamma_s$  and  $\Gamma_c$  from (3.13), which appeared already in the anomalous dimension of the SCET<sub>I</sub> operators. Contrary to SCET<sub>I</sub>, in SCET<sub>II</sub>  $\Gamma_s$  enters with the opposite sign and is multiplied by  $\ln(\omega/\mu)$ , but  $\Gamma_c$  is multiplied by  $\ln(m_{B_q}/\mu)$  as in SCET<sub>I</sub>. Finally we note that the soft and (anti-)collinear anomalous dimensions are separately gauge invariant.

We collect at this point all evolution factors, including the evolution in SCET<sub>I</sub>. From  $H_i(\mu_b)\mathcal{O}_i(\mu_b) = H_i(\mu)\mathcal{O}_i(\mu)$  and (3.18), we obtain

$$\begin{aligned} \mathcal{O}_i(\mu_b) &= \exp[S_\ell(\mu_b, \mu) + S_q(\mu_b, \mu)] \mathcal{O}(\mu) \\ &= \exp[S_\ell(\mu_b, \mu) + S_q(\mu_b, \mu)] \left[ \mathcal{J}_s \otimes \mathcal{J}_c^{B1} \otimes \mathcal{J}_{\bar{c}} \right](\mu). \end{aligned} \quad (4.45)$$

In passing to the second line, we have matched the SCET<sub>I</sub> operator at the scale  $\mu \ll \mu_b$  at tree level to the SCET<sub>II</sub> operator. We also omitted the SCET<sub>II</sub> matching coefficient, which does not change the structure of the result. (The precise matching relation will be given in the following subsection.) Next we use the SCET<sub>II</sub> evolution factors to write

$$\begin{aligned} \mathcal{O}_i(\mu_b) &= \exp[S_\ell(\mu_b, \mu) + S_q(\mu_b, \mu)] U_s(\mu, \mu_s) \mathcal{J}_s(\mu_s) \otimes U_{\bar{c}}(\mu, \mu_c) \mathcal{J}_{\bar{c}}(\mu_c) \\ &\quad \otimes U_c(\mu, \mu_c) \left[ \mathcal{J}_c^{B1}(w; \mu_c) - \frac{Q_\ell \bar{w}}{\beta_{0, \text{em}}} \ln \eta_{\text{em}} \mathcal{J}_c^{A1}(\mu_c) \right] \\ &= \exp[S_\ell(\mu_b, \mu_c)] \exp[S_q(\mu_b, \mu)] U_s(\mu, \mu_s) \mathcal{J}_s(\mu_s) \mathcal{J}_{\bar{c}}(\mu_c) \\ &\quad \otimes \left[ \mathcal{J}_c^{B1}(w; \mu_c) - \frac{Q_\ell \bar{w}}{\beta_{0, \text{em}}} \ln \eta_{\text{em}} \mathcal{J}_c^{A1}(\mu_c) \right]. \end{aligned} \quad (4.46)$$

In the final expression we combined the part of the hard-collinear evolution contained in  $S_\ell(\mu_b, \mu)$  with the collinear and anti-collinear factors making use of<sup>7</sup>

$$\exp[S_\ell(\mu_b, \mu)] U_{\bar{c}}(\mu, \mu_c) U_c(\mu, \mu_c) = \exp[S_\ell(\mu_b, \mu_c)]. \quad (4.47)$$

This shows that the logarithms proportional to  $Q_\ell^2$  involving only the final-state leptons arise from uniform evolution from the hard scale  $m_{B_q}$  to the collinear scale  $m_\ell$ . In the final expression (4.46), we may drop the term  $\mathcal{J}_c^{B1}(w; \mu_c)$ , since in the LL approximation the initial condition of the B1-operator at the collinear scale can be set to zero, as discussed above. When one takes the matrix element of (4.46), no large logarithms appear in the matrix elements of the  $\mathcal{J}$ , since they are evaluated at their natural scale, and all large logarithms are already summed.

### 4.3 Matching

We match the SCET<sub>I</sub> operators  $\mathcal{O}_i$  at the hard-collinear scale  $\mu_{hc}$  on the SCET<sub>II</sub> operators in momentum space. The matching equations read

$$\mathcal{O}_i(u) = \int d\omega \left[ J_m(u; \omega) \mathcal{J}_{m\bar{\chi}}^{A1}(\omega) + \int dw J_A(u; \omega, w) \mathcal{J}_{A\bar{\chi}}^{B1}(\omega, w) \right], \quad (4.48)$$

$$\mathcal{O}_{\bar{i}}(u) = \int d\omega \left[ J_{\bar{m}}(u; \omega) \mathcal{J}_{\bar{m}\bar{\chi}}^{A1}(\omega) + \int dw J_{\bar{A}}(u; \omega, w) \mathcal{J}_{\bar{A}\bar{\chi}}^{B1}(\omega, w) \right], \quad (4.49)$$

with perturbative matching coefficients  $J_i$ , also called “jet functions”, which account for the (anti-) hard-collinear modes. There are no leading-power interactions between soft and hard-collinear fermions in SCET<sub>I</sub>, hence to obtain the power-enhanced contribution we must include a single insertion of the power-suppressed Lagrangian  $\mathcal{L}_{\xi q}^{(1)}(x)$ , given in (A.13), to convert the hard-collinear quark into a soft quark. The jet functions  $J_{m, \bar{m}}(u; \omega)$  start at the one-loop order, while  $J_{A, \bar{A}}(u; \omega, w)$  coincide at tree level with expressions

$$J_A^{(0)}(u; \omega, w, \mu) = J_{\bar{A}}^{(0)}(u; \omega, w, \mu) = -\frac{Q_q}{\omega} \delta(u - w) \quad (4.50)$$

from the lower diagram depicted in the column labelled “SCET<sub>I</sub>” in figure 3. The explicit calculation shows that both operators  $\mathcal{O}_i$  for  $i = 9, 10$  contribute equally to  $\mathcal{J}_{A\bar{\chi}}^{B1}$ , whereas  $i = \bar{9}, \bar{10}$  contribute to  $\mathcal{J}_{\bar{A}\bar{\chi}}^{B1}$  with an opposite sign. Summarizing, we find

$$H_9 \otimes_u \mathcal{O}_9 + H_{10} \otimes_u \mathcal{O}_{10} \quad \rightarrow \quad (H_9 + H_{10}) \otimes_u J_A \otimes_{\omega, w} \mathcal{J}_{A\bar{\chi}}^{B1}, \quad (4.51)$$

$$H_{\bar{9}} \otimes_u \mathcal{O}_{\bar{9}} + H_{\bar{10}} \otimes_u \mathcal{O}_{\bar{10}} \quad \rightarrow \quad (H_{\bar{9}} - H_{\bar{10}}) \otimes_u J_{\bar{A}} \otimes_{\omega, w} \mathcal{J}_{\bar{A}\bar{\chi}}^{B1}. \quad (4.52)$$

Here we anticipate that the relative minus sign in front of  $H_{10}$  and  $H_{\bar{10}}$  to the right-hand side of the arrows, together with (3.8), is the origin of the cancellation of the Wilson coefficient  $C_{10}$  at the amplitude level after adding the collinear and anti-collinear contributions. Thus we reproduce in the SCET approach our previous finding [21] that the power-enhanced contribution (1.1) does not depend on  $C_{10}$ .

<sup>7</sup>To obtain the following identity exactly, we make use of the freedom to replace  $m_{B_q}$  by  $\mu_b$  in (4.25) in the LL approximation. Alternatively, we may choose  $\mu_b = m_{B_q}$ .

As an aside, we note that for the charged  $B$ -meson leptonic decay  $B_u \rightarrow \mu \bar{\nu}_\mu$ , the anti-collinear parts are not present because the anti-lepton is replaced by the chargeless neutrino, thus (4.52) will not contribute. Further, there is only a single operator with Wilson coefficient  $C$  and the Dirac structure  $\gamma_\mu(1 - \gamma_5)$  in the lepton current. This implies the replacements  $C_9 = C$  and  $C_{10} = -C$ , such that  $H_9 + H_{10} = 0$  and no power-enhanced contribution arises for this process.

Returning to  $B_q \rightarrow \mu^+ \mu^-$ , let us briefly discuss also the one-loop matching of the coefficients  $J_{m, \bar{m}}(u; \omega)$ . The one-loop matrix elements of the left-hand sides of (4.51) and (4.52) in a  $\langle \ell \bar{\ell} | \dots | \bar{q} b \rangle$  state used to extract  $J_{m, \bar{m}}(u; \omega)$  contain an IR divergence. This divergence is reproduced on the right-hand side in SCET<sub>II</sub> by the scaleless one-loop matrix element and the renormalization constant (4.32) convoluted with the tree-level (anti-) hard-collinear jet function (4.50). After we include these contributions in the matching, the IR divergence cancels and we find

$$J_m^{(1)}(u; \omega; \mu) = \frac{\alpha_{\text{em}} Q_\ell Q_q}{4\pi} \frac{1-u}{\omega} \left[ \ln \left( \frac{\omega n_+ p_\ell}{\mu^2} \right) + \ln [u(1-u)] \right] \theta(u) \theta(1-u). \quad (4.53)$$

The result for  $J_{\bar{m}}^{(1)}$  is obtained by the replacement  $n_+ p_\ell \rightarrow n_- p_{\bar{\ell}}$ . The cancellation of the IR divergence in the matching of SCET<sub>I</sub> on SCET<sub>II</sub> confirms the short-distance nature of the jet function, and serves as a check of the EFT setup. We note that when  $\mu \ll \mu_{hc}$ , the one-loop expression above contains a large logarithm. This is precisely the logarithm that is generated by RG evolution and correctly taken into account by the LL result (4.38), (4.39). The non-logarithmic term  $\ln [u(1-u)]$  enters (1.1) together with the non-logarithmic contributions from the collinear matrix element (6.4) below.

## 5 QED effects and the $B$ -meson decay constant and LCDA

Before turning to the factorized matrix element for the power-enhanced part of the  $B_q \rightarrow \mu^+ \mu^-$  amplitude, we discuss the hadronic matrix element of the soft operator  $\tilde{\mathcal{J}}_s(v)$  (4.11), which is related to the  $B$ -meson decay constant and the leading-twist  $B$ -meson LCDA [40, 41]. However, the additional soft Wilson lines in the SCET<sub>II</sub> operators (4.1)–(4.4) and  $\tilde{\mathcal{J}}_s(v)$  imply that the hadronic matrix element does not coincide with the universal  $B$ -meson LCDA that would appear in the absence of electromagnetic interactions, and indicate a dependence on the final-state particles of the specific process. We discuss these issues in this section.

We thus define the generalized and process-dependent  $B$ -meson LCDA  $\Phi_+(\omega)$  by the soft matrix element of the operator  $\tilde{\mathcal{J}}_s(v)$

$$\begin{aligned} (-4) \langle 0 | \tilde{\mathcal{J}}_s(v) | \bar{B}_q(p) \rangle &= \frac{\langle 0 | \bar{q}_s(v n_-) Y(v n_-, 0) \not{v}_- \gamma_5 h_v(0) Y_+^\dagger(0) Y_-(0) | \bar{B}_q(p) \rangle}{\langle 0 | [Y_+^\dagger Y_-](0) | 0 \rangle} \\ &\equiv i m_{B_q} \int_0^\infty d\omega e^{-i\omega v} \mathcal{F}_{B_q} \Phi_+(\omega). \end{aligned} \quad (5.1)$$

The analogous definition holds for the anti-collinear case after interchanging  $n_+ \leftrightarrow n_-$  in the  $\bar{q}_s[\dots] h_v$  part of the operator, but with the same function  $\Phi_+(\omega)$ . As an overall factor

we include the generalized process-dependent  $B$ -meson decay constant  $\mathcal{F}_{B_q}$  in the presence of electromagnetic corrections, defined through the local matrix element

$$\frac{\langle 0 | \bar{q}_s(0) \gamma^\mu \gamma_5 h_v(0) Y_+^\dagger(0) Y_-(0) | \bar{B}_q(p) \rangle}{\langle 0 | [Y_+^\dagger Y_-](0) | 0 \rangle} = i \mathcal{F}_{B_q} m_{B_q} v^\mu, \quad (5.2)$$

where  $p = m_{B_q} v$ , and  $v^\mu$  is the four-velocity label of the heavy-quark field. Since we are working with the heavy quark field in HQET,  $\mathcal{F}_{B_q}$  is the so-called static  $B$ -meson decay constant. It is related to the decay constant in full QCD and QED by matching corrections at the hard scale. The generalized  $B$ -meson LCDA satisfies the RGE (4.16),

$$\frac{d}{d \ln \mu} [\mathcal{F}_{B_q}(\mu) \Phi_+(\omega; \mu)] = - \int_0^\infty d\omega' \Gamma^s(\omega, \omega') \mathcal{F}_{B_q}(\mu) \Phi_+(\omega'; \mu), \quad (5.3)$$

with the anomalous dimension kernel  $\Gamma^s$  given in (4.15) at the one-loop order. Note that it depends on the charges  $Q_\ell$  of the leptons in the final state. Keeping only the cusp part as before, the solution is

$$\mathcal{F}_{B_q}(\mu) \Phi_+(\omega; \mu) = U_s(\mu, \mu_s; \omega) \mathcal{F}_{B_q}(\mu_s) \Phi_+(\omega; \mu_s) \quad (5.4)$$

with  $U_s(\mu, \mu_s; \omega)$  from (4.18).

In practice, owing to the smallness of  $\alpha_{\text{em}}$ , we can treat QED effects on hadronic matrix elements perturbatively. Since we wish to sum logarithmic QED effects to all orders, the expansion of the matrix element in  $\alpha_{\text{em}}$  must be done at the soft scale  $\mu_s \sim \Lambda$ , where the matrix element contains no large logarithms. We can then use the RGE including the QED anomalous dimension to sum the large logarithms between the soft and the hard-collinear and hard scale. We therefore define the expansions

$$\mathcal{F}_{B_q}(\mu_s) = \sum_{n=0}^{\infty} \left( \frac{\alpha_{\text{em}}(\mu_s)}{4\pi} \right)^n F_{B_q}^{(n)}(\mu_s), \quad (5.5)$$

$$\mathcal{F}_{B_q}(\mu_s) \Phi_+(\omega; \mu_s) = \sum_{n=0}^{\infty} \left( \frac{\alpha_{\text{em}}(\mu_s)}{4\pi} \right)^n F_{B_q}^{(n)}(\mu_s) \phi_+^{(n)}(\omega; \mu_s), \quad (5.6)$$

of the  $B$ -meson decay constant and LCDA. The leading terms in the expansion coincide with the standard  $B$ -meson decay constant  $F_{B_q}(\mu)$  and LCDA  $\phi_+(\omega; \mu)$  defined in the absence of QED *at the soft scale*, that is,  $F_{B_q}^{(0)}(\mu_s) \equiv F_{B_q}(\mu_s)$  and  $\phi_+^{(0)}(\omega; \mu_s) \equiv \phi_+(\omega; \mu_s)$ , respectively. However, they evolve differently to  $\mu \gg \mu_s$ , since the RGE for  $\phi_+(\omega; \mu)$  does not include QED effects. To be specific, write

$$U_s(\mu, \mu_s; \omega, \omega') = U_s^{\text{QCD}}(\mu, \mu_s; \omega, \omega') U_s^{\text{QED}}(\mu, \mu_s; \omega, \omega'), \quad (5.7)$$

where  $U_s^{\text{QCD}}(\mu, \mu_s; \omega, \omega')$  is defined as  $U_s(\mu, \mu_s; \omega, \omega')$  with the electromagnetic coupling  $\alpha_{\text{em}}$  set to zero, and  $U_s^{\text{QED}}(\mu, \mu_s; \omega, \omega')$  as the rest.<sup>8</sup> In other words,  $U_s^{\text{QED}}(\mu, \mu_s)$  fulfils the

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<sup>8</sup>Note that this definition implies that in general  $U_s^{\text{QED}}(\mu, \mu_s; \omega, \omega')$  depends on the strong coupling, although not at the LL accuracy. It is defined as the additional evolution caused by QED, and therefore includes mixed QED-QCD effects. We also added the second argument  $\omega'$ , such that these general definitions are valid beyond the LL approximation.

RGE

$$\frac{d}{d \ln \mu} U_s^{\text{QED}}(\mu, \mu_s; \omega, \omega') = - \left[ \Gamma^s - \Gamma^s|_{\alpha_{\text{em}} \rightarrow 0} \right] U_s^{\text{QED}}(\mu, \mu_s; \omega, \omega') \quad (5.8)$$

with initial condition  $U_s^{\text{QED}}(\mu_s, \mu_s; \omega, \omega') = \delta(\omega - \omega')$ . Since  $U_s^{\text{QCD}}(\mu, \mu_s; \omega, \omega')$  is the evolution factor for the standard  $B$ -meson LCDA in the absence of QED, we have the relation

$$\begin{aligned} F_{B_q}^{(0)}(\mu) \phi_+^{(0)}(\omega; \mu) &= U_s^{\text{QCD}}(\mu, \mu_s; \omega, \omega') U_s^{\text{QED}}(\mu, \mu_s; \omega, \omega') \otimes_{\omega'} [F_{B_q}^{(0)}(\mu_s) \phi_+^{(0)}(\omega; \mu_s)] \\ &= U_s^{\text{QED}}(\mu, \mu_s; \omega, \omega') U_s^{\text{QCD}}(\mu, \mu_s; \omega, \omega') \otimes_{\omega'} [F_{B_q}(\mu_s) \phi_+(\omega; \mu_s)] \\ &= U_s^{\text{QED}}(\mu, \mu_s; \omega, \omega') \otimes_{\omega'} [F_{B_q}(\mu) \phi_+(\omega'; \mu)], \end{aligned} \quad (5.9)$$

at an arbitrary scale.

Higher-order terms in the expansion (5.5), (5.6) define non-universal, non-local QCD (more precisely, HQET) matrix elements that have to be evaluated nonperturbatively. Since  $\alpha_{\text{em}}$  is small, only a few terms will be needed in practice. For example, the computation of the time-ordered product of the electromagnetic current  $j_\mu^{\text{em}}(x)$  with the soft quark fields contained in the SCET<sub>II</sub> operators contributes to  $\phi_+^{(1)}(\omega)$  and  $F_{B_q}^{(1)}$ . The decay constants  $F_{B_q}^{(n)}(\mu_s)$  and LCDAs  $\phi_+^{(n)}(\omega)$  at the scale  $\mu_s$  provide a basis of initial conditions for the systematic inclusion and resummation of QED effects. At the leading and next-to-leading logarithmic (NLL) accuracy, only the universal objects  $F_{B_q}(\mu_s)$  and  $\phi_+(\omega)$  need to be known. For  $N^{k+1}\text{LL}$  or fixed-order  $N^k\text{LO}$  accuracy, the expansions (5.5), (5.6) can be truncated at  $n = k$ .

The above discussion, applicable to  $B_q \rightarrow \ell^+ \ell^-$ , illustrates some complications related to the factorization of QED corrections for exclusive  $B$ -meson decays. Only the leading and next-to-leading QED logarithms can be computed without introducing new QED-specific nonperturbative hadronic matrix elements. To be more explicit on the process dependence of the  $B$ -meson LCDA and the decay constant in the presence of QED, we consider defining the QED gauge-invariant generalization of the standard LCDA by

$$\langle 0 | \bar{q}_s(vn_-) Y(vn_-, 0) \not{n}_- \gamma_5 h_v(0) | \bar{B}_q(p) \rangle \equiv i m_{B_q} \int_0^\infty d\omega e^{-i\omega v} \mathcal{F}_{B_q}^0 \Phi_+^0(\omega), \quad (5.10)$$

where the matrix element should be evaluated with the QCD and QED Lagrangians. At least the local matrix elements, defining  $\mathcal{F}_{B_q}^0$ , could be computed with lattice QCD. This is indeed a valid definition, however, it would be relevant in factorization theorems for processes like  $B_q \rightarrow \gamma\gamma$  or  $B_q \rightarrow \nu\bar{\nu}$  with no charged particles in the final state. It cannot be used for  $B_q \rightarrow \ell^+ \ell^-$ . In fact, the functions  $\Phi_+^0$  and  $\Phi_+$ , when evolved to scales  $\mu \gg \mu_s$  differ already in the LL approximation, since they have different cusp anomalous dimensions. The one for  $\Phi_+^0$  does not contain the terms proportional to  $Q_q Q_\ell$ ; in particular, at the one-loop order, the diagrams 3–5 in figure 4(a) are absent. In general, the presence of non-local Wilson lines even in the definition of naively local objects such as the  $B$ -meson decay constant, see (5.2), provides a serious obstacle to any attempt to include QED effects in lattice computations of hadronic matrix elements for processes with energetic, charged particles in the final state.

Another interesting example is the leptonic charged  $B$ -meson decay  $B_u \rightarrow \ell \bar{\nu}_\ell$ . In this case, we need to introduce an auxiliary Wilson line to achieve soft-collinear factorization. The LCDA is then defined via the soft matrix element

$$\frac{\langle 0 | \bar{q}'_s(vn_-) \tilde{Y}(vn_-, 0) \not{n}_- \gamma_5 h_v(0) Y_+^\dagger(0) | \bar{B}_u(p) \rangle}{\langle 0 | Y_v(0) Y_+^\dagger(0) | 0 \rangle} \equiv im_{B_u} \int_0^\infty d\omega e^{-i\omega v} \mathcal{F}_{B_u}^\pm \Phi_+^\pm(\omega). \quad (5.11)$$

The QCD+QED Wilson line that ensures gauge invariance is now given by

$$\tilde{Y}(vn_-, 0) = \bar{Y}_{q'+}(vn_-) \bar{Y}_{\text{QCD}+}(vn_-) \bar{Y}_{\text{QCD}+}^\dagger(0) \bar{Y}_{q'+}^\dagger(0), \quad (5.12)$$

where  $Q_{q'}$  is the charge of the soft  $u$ -quark denoted by  $q'_s$  in the  $B_u$  meson. The explicit definitions of soft Wilson lines can be found in appendix A.1. The new auxiliary Wilson line  $Y_v(0)$  is defined with the time-like vector  $v$  and carries the charge of the  $B_u$  meson. The dependence of the LCDA on the arbitrary vector  $v$  cancels after convolution with the collinear matrix element. It is clear that the arbitrary vector  $v$  breaks the boost invariance of the collinear matrix element, which includes the factor  $R_{v+} \equiv \langle 0 | Y_v(0) Y_+^\dagger(0) | 0 \rangle$  that was removed above from the soft matrix element. The same breaking occurs also for  $B_q \rightarrow \ell^+ \ell^-$  since the boost-invariant vacuum expectation value of the Wilson lines is factorized into the boost non-invariant quantities  $R_\pm$ . This is a consequence of the SCET<sub>II</sub> factorization anomaly [39, 42–44], which frequently appears when there are collinear and soft modes with equal invariant mass, which cannot be uniquely separated in dimensional regularization.

Finally, let us comment on the dipole operator contribution proportional to  $C_7$ . From appendix B, we expect that for this case yet another generalized LCDA should be defined containing the soft leptons of the operator in (B.16). Thus, the set of required LCDAs is not only process-dependent but also depends on the operator at the hard scale.

## 6 Resummed power-enhanced $B_q \rightarrow \ell^+ \ell^-$ amplitude

### 6.1 Factorization of the amplitude

Having defined the soft matrix element in terms of the generalized  $B$ -meson LCDA, we now focus on the collinear and anti-collinear matrix elements. As they involve only the leptons and their interactions with collinear/anti-collinear photons, they are free of QCD effects at the considered order. As is the case for other low-energy electromagnetic quantities, hadronic vacuum polarization and other strong interaction effects would become relevant in higher orders in the electromagnetic coupling. In SCET<sub>II</sub>, the A1-type operators contain either a single collinear (anti-collinear) lepton field, and B1-type operators a product of both collinear (anti-collinear) lepton and photon fields. In each case, we are interested only in the matrix element of  $\bar{B}_q(p) \rightarrow \ell^+(p_\ell) \ell^-(p_\ell)$  with only leptons in the final state.<sup>9</sup> Thus,

<sup>9</sup>We recall that the power-enhanced QED corrections are purely virtual. By assumption, we define the IR finite observable through a narrow signal window in the di-muon invariant mass around the mass of the  $B_q$  meson. This allows photons with ultrasoft energy  $E_\gamma < \Delta E \ll \Lambda$  in the final state, but excludes final-state radiation of real collinear photons with virtuality of order  $\Lambda^2$ . We consider ultrasoft photons in section 7.

we define the *renormalized* collinear and anti-collinear on-shell matrix elements related to  $\mathcal{J}_{m\chi}^{A1}$  as

$$\langle \ell^-(p_\ell) | R_+ \bar{\ell}_c(0) | 0 \rangle = Z_\ell \bar{u}_c(p_\ell), \quad \langle \ell^+(p_{\bar{\ell}}) | R_- \ell_{\bar{c}}(0) | 0 \rangle = Z_{\bar{\ell}} v_{\bar{c}}(p_{\bar{\ell}}), \quad (6.1)$$

and those related to  $\mathcal{J}_{A\chi}^{B1}$  as

$$\begin{aligned} R_+ \int \frac{dt}{2\pi} e^{-it\bar{w}(n_+p_\ell)} \langle \ell^-(p_\ell) | \bar{\ell}_c(0) \mathcal{A}_{c\perp}^\mu(tn_+) | 0 \rangle &= Z_\ell M_A(w) m_\ell [\bar{u}_c(p_\ell) \gamma_\perp^\mu], \\ R_- \int \frac{dt}{2\pi} e^{-it\bar{w}(n_-p_{\bar{\ell}})} \langle \ell^+(p_{\bar{\ell}}) | \mathcal{A}_{\bar{c}\perp}^\mu(tn_-) \ell_{\bar{c}}(0) | 0 \rangle &= Z_{\bar{\ell}} M_{\bar{A}}(w) m_\ell [\gamma_\perp^\mu v_{\bar{c}}(p_{\bar{\ell}})]. \end{aligned} \quad (6.2)$$

We note that the second equation in (6.1) simply defines the matrix element of  $\mathcal{J}_{\bar{c}}$  from (4.21), while the first and (6.2) gives the matrix elements of (4.27), (4.28) after straightforward multiplications and contractions. Explicit computation to the required order gives

$$Z_\ell = Z_{\bar{\ell}} = 1 + \mathcal{O}(\alpha_{\text{em}}), \quad (6.3)$$

$$M_A^{(1)}(w; \mu) = M_{\bar{A}}^{(1)}(w; \mu) = -\frac{\alpha_{\text{em}}}{4\pi} Q_\ell \bar{w} \left( \ln \frac{\mu^2}{m_\ell^2} - \ln \bar{w}^2 \right), \quad (6.4)$$

with  $\bar{w} \equiv 1 - w$ . In the case of  $\mathcal{J}_{A\chi}^{B1}$ , the matrix element starts at the one-loop order, as indicated by the superscript. The bare matrix element is UV divergent and rendered finite by the operator mixing counterterm (4.32). When evaluated at the collinear scale  $\mu \sim \Lambda$ , the matrix elements do not contain large logarithmic corrections.

With the above collinear matrix elements and the parametrization (5.1) of the soft matrix element at hand, we can now derive the factorized expression for the matrix elements of the Fourier transforms (4.7), (4.8) of the SCET<sub>II</sub> operators (4.1), (4.2) in the form

$$\langle \ell^+(p_{\bar{\ell}}) \ell^-(p_\ell) | \mathcal{J}_{m\chi}^{A1}(\omega) | \bar{B}_q(p) \rangle = T_+ m_{B_q} \mathcal{F}_{B_q} \Phi_+(\omega), \quad (6.5)$$

$$\langle \ell^+(p_{\bar{\ell}}) \ell^-(p_\ell) | \mathcal{J}_{A\chi}^{B1}(\omega, w) | \bar{B}_q(p) \rangle = T_+ M_A(w) m_{B_q} \mathcal{F}_{B_q} \Phi_+(\omega). \quad (6.6)$$

All scale-dependent quantities are understood to be evaluated at the scale  $\mu$ , and we defined the common factor

$$T_+(\mu) \equiv (-i) m_\ell(\mu) Z_\ell(\mu) Z_{\bar{\ell}}(\mu) [\bar{u}_c(p_\ell) P_R v_{\bar{c}}(p_{\bar{\ell}})]. \quad (6.7)$$

Note that  $\langle \mathcal{J}_{m\chi}^{A1} \rangle$  contributes at tree level, whereas  $\langle \mathcal{J}_{A\chi}^{B1} \rangle$  starts to contribute only from the one-loop order. The same result holds for the anti-collinear operators  $i = m\bar{\chi}, A\bar{\chi}$  owing to (6.4) and the definition of the soft matrix element (5.1).

The complete expression for the power-enhanced  $B_q \rightarrow \ell^+ \ell^-$  amplitude due to the operators  $Q_{9,10}$  of the effective weak interaction Lagrangian is now obtained by adding the hard ( $H_{9,10}$ ) and hard-collinear ( $J_{m,A}$ ) matching coefficients according to (3.7) and (4.48), and by summing over all contributions  $i = 9, \bar{9}, 10, \bar{10}$  in the general factorized form

$$\begin{aligned} i\mathcal{A}_9 = T_+ \left[ (H_9 + H_{10}) \otimes_u (J_m + J_A \otimes_w M_A) \right. \\ \left. + (H_{\bar{9}} - H_{\bar{10}}) \otimes_u (J_{\bar{m}} + J_{\bar{A}} \otimes_w M_{\bar{A}}) \right] \otimes_\omega m_{B_q} \mathcal{F}_{B_q} \Phi_+, \end{aligned} \quad (6.8)$$

where we have suppressed all arguments, which will be shown explicitly below. The formula simplifies considerably when accounting for several relations between the matching coefficients of the collinear and anti-collinear sectors, which show up at tree level: for the hard functions  $H_9^{(0)} = H_{\bar{9}}^{(0)}$  and  $H_{10}^{(0)} = H_{\bar{10}}^{(0)}$  from (3.8) and for the jet functions  $J_A^{(0)} = J_{\bar{A}}^{(0)}$  from (4.50), and  $J_m^{(0)} = J_{\bar{m}}^{(0)} = 0$ . In fact, higher-order QED corrections are symmetric under the exchange of the collinear and anti-collinear sectors once hard fluctuations are decoupled, such that the relations  $H_9 = H_{\bar{9}}$  and  $H_{10} = H_{\bar{10}}$  are valid even beyond tree level. Thus the hard functions in both sectors will exhibit the same  $u$ -dependence. Concerning the jet functions and matrix elements of the SCET<sub>II</sub> operators, the explicit one-loop results show that  $J_m^{(1)} = J_{\bar{m}}^{(1)}$  upon the identification of  $n_+ p_\ell = n_- p_{\bar{\ell}} = m_{B_q}$  in (4.53), while  $M_A^{(1)} = M_{\bar{A}}^{(1)}$  according to (6.4). Again we expect these relations to extend to higher orders in QED, because of the symmetry between the collinear and anti-collinear sectors. Making use of these relations we find that (6.8) simplifies to

$$i\mathcal{A}_9 = T_+ \int_0^1 du 2H_9(u) \int_0^\infty d\omega \left[ J_m(u; \omega) + \int_0^1 dw J_A(u; \omega, w) M_A(w) \right] m_{B_q} \mathcal{F}_{B_q} \Phi_+(\omega) \tag{6.9}$$

even beyond leading logarithmic approximation. The contribution from the operator  $Q_{10}$  has cancelled and a factor of two arises for the  $Q_9$  term, as anticipated earlier. All momentum fraction arguments and convolutions have now been made explicit. Every factor is understood to be evaluated at the same scale  $\mu$ . In this form there is no value of  $\mu$  in which not at least one of the factors contains large logarithms. For example, if  $\mu$  is chosen of order of the soft and collinear scale  $\Lambda$ , large logarithms occur in the hard and hard-collinear coefficients functions. On the other hand, if  $\mu$  is chosen at the hard-collinear scale  $\sqrt{m_b \Lambda}$ ,  $H_9(u)$  and the matrix element factors  $T_+$ ,  $M_A(w)$  and  $\mathcal{F}_{B_q} \Phi_+(\omega)$  contain large logarithms.

## 6.2 Resummed amplitude

We will now use the solutions to the renormalization group equations derived earlier to convert (6.9) into a formula in which large logarithms are summed. The explicit result is given in the LL approximation, but the essence of the manipulations is general. We shall take the common scale to be the hard-collinear scale  $\mu_{hc} \sim \sqrt{m_b \Lambda}$ , hence we have to evolve the hard function from  $\mu_b \sim m_b$  down to  $\mu_{hc}$  and the soft and collinear functions up from  $\mu_s \sim \mu_c \sim \Lambda$  to  $\mu_{hc}$ .

To implement this procedure into (6.9), we use (3.18), and include the hard-function evolution to  $\mu_{hc}$  via the substitution

$$H_9(u) \rightarrow \exp [S_\ell(\mu_b, \mu_{hc}) + S_q(\mu_b, \mu_{hc})] H_9(u, \mu_b). \tag{6.10}$$

For the soft matrix element, we use (5.4), (5.9) to obtain

$$\begin{aligned} \mathcal{F}_{B_q} \Phi_+(\omega) &\rightarrow U_s(\mu_{hc}, \mu_s; \omega) \mathcal{F}_{B_q}(\mu_s) \Phi_+(\omega; \mu_s) \\ &\rightarrow U_s^{\text{QED}}(\mu_{hc}, \mu_s; \omega) F_{B_q}(\mu_{hc}) \phi_+(\omega; \mu_{hc}). \end{aligned} \tag{6.11}$$

After the second arrow, we expressed the initial condition for the generalized  $B$ -meson LCDA at the soft scale in terms of the standard LCDA in the absence of QED corrections, which can be done at LL accuracy, as discussed section 5, and evolved the latter back to the hard collinear scale. The advantage of this procedure is that while pure QED quantities can be evaluated perturbatively at low scales of order  $\Lambda \sim m_\ell$ , the soft scale is generally nonperturbative in QCD. The above form requires only that the standard  $B$ -meson LCDA  $\phi_+(\omega; \mu_{hc})$  is provided at the hard-collinear scale by some nonperturbative method, or by extracting it from data directly at this scale [45]. Finally, for the anti-collinear part we use (4.24) to substitute  $Z_{\bar{\ell}} \rightarrow U_{\bar{c}}(\mu_{hc}, \mu_c) Z_{\bar{\ell}}(\mu_c)$ , which together with (4.37), (4.38) for the collinear part amounts to

$$T_+ \rightarrow U_c(\mu_{hc}, \mu_c) U_{\bar{c}}(\mu_{hc}, \mu_c) T_+(\mu_c), \quad (6.12)$$

$$T_+ M_A(w) \rightarrow U_c(\mu_{hc}, \mu_c) U_{\bar{c}}(\mu_{hc}, \mu_c) T_+(\mu_c) \left[ M_A(w; \mu_c) - \frac{Q_{\ell\bar{w}}}{\beta_{0,\text{em}}} \ln \eta_{\text{em}} \right], \quad (6.13)$$

where  $\eta_{\text{em}} = \alpha_{\text{em}}(\mu_c)/\alpha_{\text{em}}(\mu_{hc})$ . After these replacements, the result contains the scales  $\mu_b$ ,  $\mu_c$  and  $\mu_s$  where the initial conditions of the various evolutions are set. This dependence cancels between the evolution factors, matching coefficients and matrix elements up to residual dependence of higher order than LL accuracy.

Putting this together in (6.9) and making use of (4.47) results in the all-order LL-resummed amplitude

$$\begin{aligned} i\mathcal{A}_9 &= e^{S_\ell(\mu_b, \mu_c)} T_+(\mu_c) \\ &\times \int_0^1 du e^{S_q(\mu_b, \mu_{hc})} 2H_9(u; \mu_b) \int_0^\infty d\omega U_s^{\text{QED}}(\mu_{hc}, \mu_s; \omega) m_{B_q} F_{B_q}(\mu_{hc}) \phi_+(\omega; \mu_{hc}) \\ &\times \left[ J_m(u; \omega; \mu_{hc}) + \int_0^1 dw J_A(u; \omega, w; \mu_{hc}) \left( M_A(w; \mu_c) - \frac{Q_{\ell\bar{w}}}{\beta_{0,\text{em}}} \ln \eta_{\text{em}} \right) \right]. \end{aligned} \quad (6.14)$$

We note that the prefactor  $\exp[S_\ell(\mu_b, \mu_c)]$  sums the purely leptonic leading-logarithms proportional to  $Q_\ell^2$  between the hard scale  $\mu_b$  and the collinear scale  $\mu_c$ . They originate from virtual QED corrections in SCET<sub>I</sub> and SCET<sub>II</sub>. In section 7 it will be combined with the remaining final-state contributions due to ultrasoft photons to provide the radiative  $B_q \rightarrow \ell^+ \ell^-$  branching fraction including the fully resummed double-logarithmic QED corrections to all orders in perturbation theory.

The resummation of the leading-logarithmic QED (and QCD) corrections to all orders in perturbation theory is achieved by keeping the one-loop expressions of the cusp part of the anomalous dimensions together with tree-level results for the hard and jet functions. In addition, due to the presence of operator mixing, the leading off-diagonal elements in the anomalous dimension matrix must also be kept. Otherwise,  $i\mathcal{A}_9 = 0$ , because  $M_{A,\bar{A}}^{(0)}(\mu_c) = 0$  and  $J_{m,\bar{m}}^{(0)}(\mu) = 0$  for all  $\mu$  when the one-loop mixing of  $\Gamma_{\mathcal{A}_X, m_X}^c$  in (4.33) is neglected.

In the following we obtain from (6.14) an expression that is both LL-accurate and NLO-accurate, thus generalizing the previous NLO result (1.1) to include the leading logarithms to all orders. This can be achieved by keeping the non-logarithmic one-loop corrections to

$J_m$  and  $M_A$  given in (4.53) and (6.4), respectively. First using (4.50) removes the  $w$ -integral in the second line of (6.14), such that the square bracket turns into

$$J_m(u; \omega; \mu_{hc}) - \frac{Q_q}{\omega} J_A(u; \omega, u; \mu_{hc}) \left( M_A(u; \mu_c) - \frac{Q_\ell \bar{u}}{\beta_{0,\text{em}}} \ln \eta_{\text{em}} \right). \quad (6.15)$$

With LL accuracy it is also justified to apply (4.39) with  $\mu = \mu_{hc}$  in the last term. Inserting (4.53) and (6.4) produces

$$\frac{\alpha_{\text{em}}}{4\pi} Q_\ell Q_q \frac{1-u}{\omega} \left[ \ln \frac{\omega n_{+p_\ell}}{\mu_{hc}^2} + \ln u \bar{u} + \left[ \ln \frac{\mu_c^2}{m_\ell^2} - 2 \ln \bar{u} \right] + \ln \frac{\mu_{hc}^2}{\mu_c^2} \right]. \quad (6.16)$$

After combining the logarithms and setting  $n_{+p_\ell} = m_{B_q}$ , we recognize the factor that appears in (1.1).<sup>10</sup> This allows us to put (6.14) into the final form

$$\begin{aligned} i\mathcal{A}_9 &= \frac{\alpha_{\text{em}}(\mu_c)}{4\pi} Q_\ell Q_q m_\ell (-i) m_{B_q} f_{B_q} e^{S_\ell(\mu_b, \mu_c)} \mathcal{N} [\bar{u}_c (1 + \gamma_5) v_{\bar{c}}] \\ &\times e^{S_q(\mu_b, \mu_{hc})} \int_0^1 du (1-u) C_9^{\text{eff}}(u, \mu_b) \\ &\times \int_0^\infty \frac{d\omega}{\omega} U_s^{\text{QED}}(\mu_{hc}, \mu_s; \omega) \phi_+(\omega; \mu_{hc}) \left[ \ln \frac{\omega m_{B_q}}{m_\ell^2} + \ln \frac{u}{1-u} \right]. \end{aligned} \quad (6.17)$$

At LL accuracy the scale of the overall factor of  $\alpha_{\text{em}}$  is arbitrary and we have chosen the collinear scale. Within the same LL approximation, we can also replace the HQET decay constant by the QCD decay constant  $f_{B_q}$ . The one-loop QED result of [21] in (1.1) is obtained from the above expression when setting the Sudakov exponentials and the soft evolution factor  $U_s^{\text{QED}}$  to unity, apart from the term proportional to  $C_7^{\text{eff}}$  that was not considered up to now. The explicit result for  $S_\ell(\mu_b, \mu_c)$  and  $S_q(\mu_b, \mu_{hc})$  can be inferred from (3.19) and (3.20), respectively. The residual QED evolution from the  $B$ -meson LCDA is obtained from (4.18) or the simpler version (4.20) by setting  $\alpha_s = 0$ , see (5.8). Explicitly

$$\begin{aligned} U_s^{\text{QED}}(\mu_{hc}, \mu_s; \omega) &= \exp \left[ \frac{4\pi}{\alpha_{\text{em}}(\mu_s)} \frac{Q_q(2Q_\ell + Q_q)}{\beta_{0,\text{em}}^2} \left( g_0(\eta_{\text{em}}) + \frac{\alpha_{\text{em}}(\mu_s)}{2\pi} \beta_{0,\text{em}} \ln \eta_{\text{em}} \ln \frac{\omega}{\mu_s} \right) \right] \\ &\xrightarrow{\text{DL}} \exp \left[ \frac{\Gamma_s^{\text{QED}}}{2} \left( \ln^2 \frac{\omega}{\mu_s} - \ln^2 \frac{\omega}{\mu_{hc}} \right) \right], \end{aligned} \quad (6.18)$$

where for soft evolution  $\eta_{\text{em}}$  stands for  $\eta_{\text{em}}(\mu_s, \mu_{hc}) \equiv \alpha_{\text{em}}(\mu_s)/\alpha_{\text{em}}(\mu_{hc})$ , or, more simply, by dropping the  $\mathcal{O}(1)$  logarithm of  $\omega/\mu_s$ ,

$$\begin{aligned} U_s^{\text{QED}}(\mu_{hc}, \mu_s) &= \exp \left[ \frac{4\pi}{\alpha_{\text{em}}(\mu_s)} \frac{Q_q(2Q_\ell + Q_q)}{\beta_{0,\text{em}}^2} g_0(\eta_{\text{em}}) \right] \\ &\xrightarrow{\text{DL}} \exp \left[ - \frac{\alpha_{\text{em}}}{2\pi} [Q_q(2Q_\ell + Q_q)] \ln^2 \frac{\mu_s}{\mu_{hc}} \right]. \end{aligned} \quad (6.19)$$

Here, similarly to (5.8),  $\Gamma_s^{\text{QED}} \equiv \Gamma_s(\alpha_s, \alpha_{\text{em}}) - \Gamma_s(\alpha_s, \alpha_{\text{em}} = 0)$  is obtained at the one-loop order from  $\Gamma_s$  in (3.13) by setting  $\alpha_s = 0$ .

<sup>10</sup>The present analysis clarifies that  $m_{B_q}$  should appear in the logarithm, because it arises from the kinematic lepton momentum rather than the bottom quark mass.

## 7 $B_q \rightarrow \mu^+ \mu^-$ decay width

In the decay  $B_q \rightarrow \mu^+ \mu^-$  we encounter the peculiar situation that the numerically leading amplitude at tree-level in  $\alpha_{\text{em}}$ , discussed in more detail below as  $\mathcal{A}_{10} \propto C_{10}$ , is power-suppressed compared to the amplitude  $\mathcal{A}_9$  in (6.9), which on the other hand is suppressed by  $\alpha_{\text{em}}$ , hence

$$\mathcal{A}_{10} \sim 1 \cdot \lambda^{12}, \quad \mathcal{A}_9 \sim \frac{\alpha_{\text{em}}}{\pi} \cdot \lambda^{10} \cdot \ln \frac{\mu_{hc}}{\mu_c}. \quad (7.1)$$

Indeed the hierarchy  $\alpha_{\text{em}}/\pi \sim 1/420$  compared to  $\lambda^2 \sim \Lambda_{\text{QCD}}/m_b \sim 1/20$  confirms that  $\mathcal{A}_{10}$  is numerically the most relevant amplitude, but in an imaginary world with a much larger value of  $m_b$  or a much larger electromagnetic coupling, the amplitude  $\mathcal{A}_9$  would be largest. As the decay width is proportional to  $|\mathcal{A}_{10} + \mathcal{A}_9|^2$ , the dominant effect of  $\mathcal{A}_9$  is the interference with  $\mathcal{A}_{10}$ . The investigation of the full QED effects at the subleading power in  $1/m_b$ , as would be required for  $\mathcal{A}_{10}$  in the SCET approach, is a rather daunting task and we leave it for the future. However, based on our derivations in the previous sections, we discuss the leading effect, which requires only tree-level matching and leading-logarithmic resummation.

### 7.1 Tree-level amplitude to $B_q \rightarrow \mu^+ \mu^-$

Here we derive the LL resummation of the formally power-suppressed but numerically dominant amplitude  $\mathcal{A}_{10}$  for  $B_q \rightarrow \ell^+ \ell^-$ . At this accuracy it is sufficient to match at tree level and to employ the one-loop cusp anomalous dimensions of the relevant operators. The fact that we restrict ourselves to the operators obtained from tree-level matching in  $\alpha_{\text{em}}$  simplifies the operator structure; in particular it implies that in the SCET<sub>I</sub> operator the light quark field must be soft, since otherwise the operator could not overlap with the  $B$ -meson state at tree level. Due to the chiral structure of the weak EFT operators, the lepton-mass term appears already after the hard matching leading to the well-known helicity suppression of the amplitude. Hence, the relevant SCET<sub>I</sub> operator is

$$\tilde{\mathcal{O}}_m = m_\ell [\bar{q}_s(0) P_R h_v(0)] [\bar{\ell}_C(0) \gamma_5 \ell_{\bar{C}}(0)], \quad (7.2)$$

with the matching coefficient

$$H_m(\mu_b) = \mathcal{N} \frac{2 C_{10}(\mu_b)}{m_{B_q}} \quad (7.3)$$

at the hard scale  $\mu_b$ . As one works to subleading order in  $\lambda$ , one must use the  $\mathcal{O}(\lambda)$  relation between the full theory fields and SCET fields, as derived for example in [25], to obtain the above result. The SCET<sub>I</sub> RGE of the coefficient  $H_m$  at LL accuracy, i.e. neglecting non-cusp parts of the anomalous dimension and possible operator mixing, reads

$$\frac{d}{d \ln \mu} H_m(\mu) = \Gamma_c \ln \frac{m_{B_q}}{\mu} H_m(\mu). \quad (7.4)$$

It is governed by the collinear cusp anomalous dimension (3.13) encountered previously for the power-enhanced amplitude in SCET<sub>I</sub> and SCET<sub>II</sub>.

The decoupling of the hard-collinear modes of SCET<sub>I</sub> is trivial and yields the SCET<sub>II</sub> operator (in position space)

$$\tilde{\mathcal{J}}_m^{A1} = m_\ell \bar{q}_s(0) P_R h_v(0) [Y_+^\dagger Y_-](0) [\bar{\ell}_c(0) \gamma_5 \ell_{\bar{c}}(0)], \quad (7.5)$$

with unit matching coefficient. Note that the jet function cannot depend on the soft quark position along the light-cone at tree level, hence the operator remains local, unlike for SCET<sub>I</sub> to SCET<sub>II</sub> matching of the power-enhanced amplitude  $\mathcal{A}_9$ . The RG evolution of the matrix element of  $\mathcal{J}_m^{A1}$  is governed by the same cusp anomalous dimension as in SCET<sub>I</sub> in (7.4). The amplitude in SCET<sub>II</sub> at the collinear scale is then given by

$$\begin{aligned} i \mathcal{A}_{10} &= \frac{1}{2} (-i) m_{B_q} \mathcal{F}_{B_q}(\mu_{hc}) m_\ell [Z_\ell Z_{\bar{\ell}}](\mu_{hc}) H_m(\mu_{hc}) [\bar{u}_c(p_\ell) \gamma_5 v_{\bar{c}}(p_{\bar{\ell}})] \\ &= \frac{1}{2} (-i) m_{B_q} m_\ell f_{B_q} e^{S_\ell(\mu_b, \mu_c)} H_m(\mu_b) [\bar{u}_c(p_\ell) \gamma_5 v_{\bar{c}}(p_{\bar{\ell}})]. \end{aligned} \quad (7.6)$$

In the first line the hard function is meant to be evaluated at the hard-collinear scale by means of its SCET<sub>I</sub> RGE and further a SCET<sub>II</sub> RG evolution is implied for the matrix element of  $\langle \mathcal{J}_m^{A1} \rangle \propto Z_\ell Z_{\bar{\ell}} \mathcal{F}_{B_q}$  from the soft and collinear scale to the hard-collinear scale. In the second line, we make use of the LL solution of the RGEs discussed in section 6.2 and set  $[Z_\ell Z_{\bar{\ell}}](\mu_c) = 1$ . In particular, the same Sudakov factor  $e^{S_\ell(\mu_b, \mu_c)}$  between the hard and collinear scales as in the power-enhanced amplitude (6.14) appears as an overall factor. Moreover the hard function  $H_m$  enters now at the hard scale with value given in (7.3). The static  $B$ -meson decay constant  $\mathcal{F}_{B_q}(\mu_{hc}) = F_{B_q}^{(0)}(\mu_{hc}) + \alpha_{\text{em}}/(4\pi) F_{B_q}^{(1)}(\mu_{hc}) + \mathcal{O}(\alpha_{\text{em}}^2)$  contains the term  $F_{B_q}^{(1)}$  that contributes at the same order in  $\alpha_{\text{em}}$  as  $\mathcal{A}_9$ , but is power-suppressed by  $\lambda^2$  compared to  $\mathcal{A}_9$ , and for this reason will be omitted. Further we replace  $F_{B_q}^{(0)}(\mu_{hc})$  by  $F_{B_q}(\mu_{hc})$ , the static decay constant in the absence of QED, because the difference in RG evolution does not contribute double logarithms. For the same reason, we equate  $F_{B_q}(\mu_{hc})$  to the full QCD decay constant  $f_{B_q}$ , which is usually calculated on the lattice within QCD.<sup>11</sup> This is exact to the considered accuracy of tree-level matching at the hard scale  $\mu_b$ .

Since both,  $\mathcal{A}_9$  and  $\mathcal{A}_{10}$ , share the same overall leptonic Sudakov factor, it proves advantageous for later purposes to factor it from the sum of both amplitudes. We write

$$i(\mathcal{A}_{10} + \mathcal{A}_9) \equiv e^{S_\ell(\mu_b, \mu_c)} \left( A_{10} [\bar{u}_c \gamma_5 v_{\bar{c}}] + A_9 [\bar{u}_c (1 + \gamma_5) v_{\bar{c}}] \right), \quad (7.7)$$

where we introduced the scalar reduced amplitudes  $A_{9,10}$ , which can be extracted from (6.14) and (7.6). An analogous reduced amplitude  $A_7$  is defined for the part of the amplitude proportional to  $C_7^{\text{eff}}$ . Its non-resummed one-loop expression can be read off from (1.1). Moreover, the resummation of the leading logarithmic QCD (but not QED) corrections in SCET<sub>I</sub> is also possible for the  $A_7$  contribution, because the operators which give rise to the  $A_7$  part in SCET<sub>I</sub> have the same QCD anomalous dimension as the corresponding operators of the  $A_9$  part. Therefore, the amplitude  $A_7$  also receives the factor  $e^{S_q(\mu_b, \mu_{hc})}$  defined in (3.20), but its QED part should be dropped.

<sup>11</sup>The lattice calculation [2] includes electromagnetic contributions to meson masses to fix quark masses, which leads to some isospin breaking for the  $B_q$  meson decay constants.

## 7.2 Decay width and ultrasoft photons

So far we ignored ultrasoft photons below the soft scale  $\mu_s$ . We now turn to the radiative  $B_q \rightarrow \ell^+ \ell^-$  decay amplitude, and consider the matrix element with an arbitrary ultrasoft state  $X_s$  consisting of photons and possibly electrons and positrons. It factorizes into the non-radiative amplitude  $\mathcal{A}_i$  discussed before and an ultrasoft matrix element

$$\mathcal{N}_{\Delta B=1} C_i \langle \ell \bar{\ell} X_s | Q_i | \bar{B}_s \rangle = \mathcal{A}_i \langle X_s | S_{v_\ell}^\dagger(0) S_{v_{\bar{\ell}}}(0) | 0 \rangle, \quad i = 9, 10. \quad (7.8)$$

To prove this factorization formally we should match SCET<sub>II</sub> at a scale of order  $\Lambda_{\text{QCD}} \sim m_\ell$  to an effective theory that contains the  $B$ -meson field and heavy lepton fields with fixed velocity label, in analogy with heavy-quark effective theory. In this theory ultrasoft photons with virtuality much below  $m_\ell^2 \sim \Lambda_{\text{QCD}}^2$  have leading-power couplings to the charged leptons but not to the electrically neutral  $B$ -meson. The decoupling of the ultrasoft photons from the heavy leptons,  $\ell_C \rightarrow S_{v_\ell} \ell_C^{(0)}$ , gives rise to the ultrasoft Wilson lines  $S_{v_\ell}$  in (7.8). The lepton-velocity  $v_\ell$  is defined via  $p_\ell = E_\ell v_\ell$  and similarly for  $v_{\bar{\ell}}$ . At leading power in the  $1/m_b$  expansion, the radiation originates only from the final-state leptons as the ultrasoft photons do not couple to the neutral initial state. Formally, the matching of SCET<sub>II</sub> with quark fields to the EFT with point-like meson fields is nonperturbative. We can nevertheless sum the leading logarithms, because the  $B$ -meson is neutral and decoupled in the far infrared, so we know that the IR logarithms arise from perturbative QED only.

The partial decay width is obtained after squaring the full amplitude (7.8) and summing over all ultrasoft final states with total energy less than  $\Delta E$

$$\Gamma[B_q \rightarrow \mu^+ \mu^-](\Delta E) = \frac{m_{B_q}}{8\pi} \beta_\mu \left( |A_{10} + A_9 + A_7|^2 + \beta_\mu^2 |A_9 + A_7|^2 \right) \times \left| e^{S_\ell(\mu_b, \mu_c)} \right|^2 \mathcal{S}(v_\ell, v_{\bar{\ell}}, \Delta E), \quad (7.9)$$

where  $\beta_\mu = \sqrt{1 - 4m_\mu^2/m_{B_q}^2}$ . We include here the amplitude  $A_7$  even though we do not attempt to sum QED corrections for this amplitude. However we compute the leading logarithmic QCD corrections to  $A_7$  and comment on this in section 8. The terms proportional to  $|A_9 + A_7|^2$  are formally of  $\mathcal{O}(\alpha_{\text{em}}^2)$ . The first term in the parenthesis is due to the pseudo-scalar lepton current  $[\bar{u}_c \gamma_5 v_{\bar{c}}]$  in (7.7), the second term  $\beta_\mu^2 |A_9 + A_7|^2$  due to the scalar term  $[\bar{u}_c v_{\bar{c}}]$ . The ultrasoft function

$$\mathcal{S}(v_\ell, v_{\bar{\ell}}, \Delta E) = \sum_{X_s} \left| \langle X_s | S_{v_\ell}^\dagger(0) S_{v_{\bar{\ell}}}(0) | 0 \rangle \right|^2 \theta(\Delta E - E_{X_s}) \quad (7.10)$$

accounts for the emission of an arbitrary number of ultrasoft photons with total energy  $E_{X_s} < \Delta E$ .

The ultrasoft function should be further factorized to sum large logarithmic corrections with the RG technique. This could be achieved by introducing another EFT below the muon-mass scale similar to the SCET treatment of soft radiation in top-quark jets [46]. Instead, to avoid further technical complications, we use the QED exponentiation theorem to write the full soft function as the exponent of the one-loop result

$$\mathcal{S}(v_\ell, v_{\bar{\ell}}, \Delta E) = \exp \left[ \frac{\alpha_{\text{em}}}{4\pi} Q_\ell^2 S^{(1)}(v_\ell, v_{\bar{\ell}}, \Delta E) \right]. \quad (7.11)$$

The one-loop result in the appropriate limit  $E_\ell \gg m_\mu \gg \Delta E$  is given by (for a result in dimensional regularization, see e.g. [47])

$$S^{(1)}(v_\ell, v_{\bar{\ell}}, \Delta E) = 8 \left( 1 + \ln \frac{m_\mu^2}{s_{\ell\bar{\ell}}} \right) \ln \left( \frac{\mu}{2\Delta E} \right) - 2 \left( 2 + \ln \frac{m_\mu^2}{s_{\ell\bar{\ell}}} \right) \ln \frac{m_\mu^2}{s_{\ell\bar{\ell}}} - \frac{4}{3}\pi^2, \quad (7.12)$$

where  $s_{\ell\bar{\ell}}$  denotes the invariant mass squared of the lepton pair. The  $\mu$  dependence of the ultrasoft function is cancelled by the explicit  $\mu_c$  dependence of the non-radiative amplitude, as seen in (7.13) below to the accuracy considered here, hence we set  $\mu = \mu_c$ . The second line of (7.9), which multiplies the reduced amplitude squared, can be rewritten as the single exponential. In the leading approximation we neglect the constant factor  $-\frac{4}{3}\pi^2$  in  $S^{(1)}$ . Then we find

$$\begin{aligned} & \left| e^{S_\ell(\mu_b, \mu_c)} \right|^2 \mathcal{S}(v_\ell, v_{\bar{\ell}}, \Delta E, \mu_c) \\ &= \exp \left\{ \frac{\alpha_{\text{em}}}{4\pi} Q_\ell^2 \left[ 8 \left( 1 + \ln \frac{m_\mu^2}{s_{\ell\bar{\ell}}} \right) \ln \left( \frac{\mu_c}{2\Delta E} \right) - 2 \left( 2 + \ln \frac{m_\mu^2}{s_{\ell\bar{\ell}}} \right) \ln \frac{m_\mu^2}{s_{\ell\bar{\ell}}} - \frac{4}{3}\pi^2 - 8 \ln^2 \frac{\mu_b}{\mu_c} \right] \right\} \\ &= \exp \left\{ \frac{2\alpha_{\text{em}}}{\pi} Q_\ell^2 \left[ \left( 1 + \ln \frac{m_\mu^2}{m_{B_q}^2} \right) \ln \left( \frac{m_{B_q}}{2\Delta E} \right) \right. \right. \\ & \quad \left. \left. + 2 \ln \frac{m_{B_q}}{\mu_b} \ln \frac{\mu_b}{\mu_c} + \ln^2 \frac{m_{B_q}}{\mu_b} - \left( 1 + \ln \frac{m_\mu}{\mu_c} \right) \ln \frac{m_\mu}{\mu_c} - \frac{\pi^2}{6} \right] \right\}. \end{aligned} \quad (7.13)$$

Notice that since (7.12) is given only in the one-loop and not the formal LL approximation, we use the double-logarithmic approximation (3.19) for  $S_\ell(\mu_b, \mu_c)$ , which gives the last term in square brackets after the first equality. Within the same DL approximation, all terms in the last line should be dropped, since they are at most single-logarithmic, given  $\mu_c \sim m_\mu$ ,  $\mu_b \sim m_{B_q}$ . We also set  $s_{\ell\bar{\ell}} = m_{B_q}^2$ ,<sup>12</sup> since the dependence of the RG evolution factors and ultrasoft function on the hard scale arises from the kinematic variables entering the cusp anomalous dimension, rather than from  $m_b$  quark mass factors. This allows us to rewrite (7.9) in the conventional form ( $Q_\ell^2 = 1$ )

$$\Gamma[B_q \rightarrow \mu^+ \mu^-](\Delta E) = \Gamma^{(0)}[B_q \rightarrow \mu^+ \mu^-] \left( \frac{2\Delta E}{m_{B_q}} \right)^{-\frac{2\alpha_{\text{em}}}{\pi} \left( 1 + \ln \frac{m_\mu^2}{m_{B_q}^2} \right)}, \quad (7.14)$$

with the non-radiative decay width

$$\Gamma^{(0)}[B_q \rightarrow \mu^+ \mu^-] \equiv \frac{m_{B_q}}{8\pi} \beta_\mu \left( |A_{10} + A_9 + A_7|^2 + \beta_\mu^2 |A_9 + A_7|^2 \right). \quad (7.15)$$

The universal ultrasoft photon corrections, which depend on  $\Delta E$ , are now explicitly factorized in the usual manner [19]. In [19] and other works based on Yennie-Frautschi-Suura exponentiation [48], the scale  $m_{B_q}$  in the base  $2\Delta E/m_{B_q}$  of the exponential is obtained by extrapolating the cutoff of the point-like meson theory, which should be below  $\Lambda_{\text{QCD}}$ , to  $m_{B_q}$ . The EFT framework developed in this paper justifies this extrapolation in part, but

<sup>12</sup>The dependence of  $s_{\ell\bar{\ell}}$  on  $\Delta E$  is a negligible power-suppressed effect.

it also shows that there are additional, structure-dependent double logarithms. In (7.14), these process-specific resummed leading-logarithmic QED corrections that depend on the soft/collinear and hard-collinear scales are included in the amplitudes  $A_{9,10}$ . The decay rate is then written as the product of the resummed non-radiative decay width  $\Gamma^{(0)}[B_q \rightarrow \mu^+ \mu^-]$  and the exponentiated ultrasoft photon corrections. The SCET framework can in principle be systematically extended to cover next-to-leading and higher-order logarithms, as well as power corrections  $m_\mu/m_{B_q}$ .

## 8 Numerical results

The framework of SCET allows for a systematic factorization and resummation of leading logarithmic QED and QCD corrections to the amplitude (7.7). In particular it allowed the identification of three resummed contributions:

- i)* common virtual SCET<sub>I</sub> and SCET<sub>II</sub> QED corrections among the final-state leptons to the amplitudes  $\mathcal{A}_9$  and  $\mathcal{A}_{10}$  between hard and soft/collinear scales in the Sudakov factor  $e^{S_\ell(\mu_b, \mu_c)}$ , which are combined with the contributions of ultrasoft photons at the level of the decay width in section 7.2.
- ii)* virtual SCET<sub>I</sub> QED and QCD corrections to the power-enhanced amplitude  $\mathcal{A}_9$  between the hard and hard-collinear scales given by the overall Sudakov factor  $e^{S_q(\mu_b, \mu_{hc})}$  in (6.17).
- iii)* virtual QED and QCD corrections within SCET<sub>II</sub> between the hard-collinear and soft/collinear scales, for which the RG equation was used such as to arrange that the input of the nonperturbative quantities is required at the hard-collinear scale, avoiding in this way the necessity of QCD RG evolution below the hard-collinear scale, as explained section 6.2. This part is given by the  $\omega$ -dependent soft Sudakov factor  $U_s^{\text{QED}}(\mu_{hc}, \mu_s; \omega)$  in (6.18) or the  $\omega$ -independent version (6.19), which are both equivalent at the LL accuracy.

We will start with the impact of points *ii)* and *iii)* on the power-enhanced amplitude  $\mathcal{A}_9$  in section 8.1 and turn to point *i)* afterwards in section 8.2 when considering the branching fraction of  $B_q \rightarrow \mu^+ \mu^-$ .

Throughout  $\alpha_s$  and  $\alpha_{\text{em}}$  denote the running couplings in the  $\overline{\text{MS}}$  scheme with RGEs given in appendix A.3. We use as initial value  $\alpha_s(m_Z) = 0.1181$ , with  $m_Z = 91.1876$  GeV and number of quark flavours  $n_f = 5$ , and perform the running with the four-loop expressions, including threshold corrections from quark masses ( $\overline{\text{MS}}$  scheme) at the threshold crossings at  $\mu_4 = \mu_b$  ( $n_f = 4$ ) and  $\mu_3 = 1.2$  GeV ( $n_f = 3$ ). The RGEs for the hard function in SCET<sub>I</sub> (3.16) and the matrix elements in SCET<sub>II</sub> (6.12)–(6.13) had been solved to LL accuracy. In the numerical evaluation we will use values of  $\alpha_{\text{em}}$  at the typical scales of SCET<sub>I</sub> and SCET<sub>II</sub>. For this purpose, we use as initial value  $1/\alpha_{\text{em}}(m_Z) = 127.955$ , and perform the RG evolution to lower scales with the one-loop expression. In addition to the quark thresholds given above, the  $\tau$ -lepton is decoupled at its mass  $\mu_\tau \approx 1.777$  GeV.

$\mu_{hc}$ [GeV]	$\frac{\lambda_B(\mu_0)}{\lambda_B(\mu_{hc})} e^{S_q(\mu_b, \mu_{hc})}$		$e^{S_q(\mu_b, \mu_{hc})}$	$\alpha_s$	$1/\alpha_{em}$
	QCD+QED	only QCD	QCD+QED		
1.0	0.815	0.817	0.815	0.474	134.05
1.5	0.815	0.817	0.904	0.350	133.65
2.0	0.769	0.769	0.946	0.302	133.29

**Table 2.** The size of the SCET<sub>I</sub> Sudakov factor for fixed  $\mu_b = 5.0$  GeV and three different choices of  $\mu_{hc}$ . The column “only QCD” shows the effect when setting  $\alpha_{em} = 0$  in the cusp anomalous dimensions in (3.15). For convenience we provide  $\alpha_s$  and  $1/\alpha_{em}$  ( $\overline{MS}$  scheme) at the scale  $\mu_{hc}$ .

### 8.1 Resummation effects for power-enhanced amplitude

The resummation of virtual QED and QCD corrections in SCET<sub>I</sub> to  $\mathcal{A}_9$  in (6.17) is given by the overall Sudakov factor  $e^{S_q(\mu_b, \mu_{hc})}$  from (3.20). Evaluating this factor thus provides a direct measure of the size of these corrections compared to the fixed-order result (1.1).

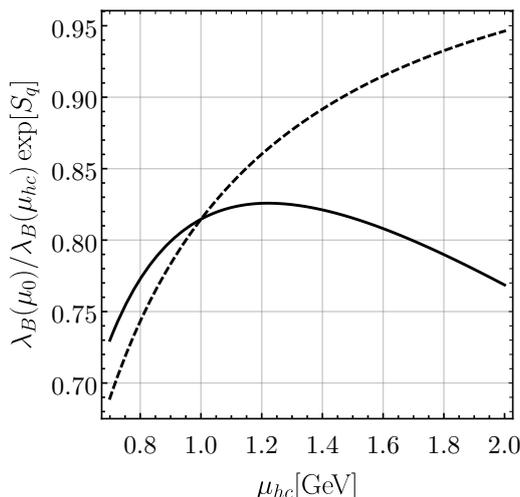
We calculate the Sudakov factor via numerical integration of the part of (3.15) which contains  $Q_q$ , i.e. that corresponds to  $e^{S_q(\mu_b, \mu_{hc})}$ . The numerical integration includes the scale dependence and threshold crossings of both gauge couplings. Since the  $\mu_{hc}$  dependence of the Sudakov factor is cancelled in large parts by the one of the  $B$ -meson LCDA  $\phi_+(\omega; \mu_{hc})$ , which in turn is mainly driven by the scale dependence of its first inverse moment  $\lambda_B(\mu_{hc})$ ,

$$\frac{1}{\lambda_B(\mu)} \equiv \int_0^\infty \frac{d\omega}{\omega} \phi_+(\omega; \mu), \tag{8.1}$$

the relevance of resummation is better assessed by multiplying  $e^{S_q(\mu_b, \mu_{hc})}$  with the ratio  $\lambda_B(\mu_0)/\lambda_B(\mu_{hc})$ , where  $\mu_0 = 1$  GeV is a fixed reference scale. The  $\mu_{hc}$  dependence of  $\lambda_B(\mu_{hc})$  due to QCD is approximated following [45], using as numerical inputs  $\lambda_B(\mu_0)$  and  $\sigma_1(\mu_0)$  given in table 3 below.

The numerical impact of the resummation in SCET<sub>I</sub> is a suppression of the fixed-order result of  $\mathcal{A}_9$  by about 20% as tabulated in the second column of table 2. The dependence on  $\mu_{hc}$  is also shown in figure 5 (solid line), where it reaches a maximal value of about 0.82 around  $\mu_{hc} \approx 1.2$  GeV. In the relevant hard-collinear scale range  $\mu_{hc} \in [1, 2]$  GeV, the scale variation is relatively small. Note that for  $\mu_{hc} < 1$  GeV the strong coupling  $\alpha_s$  increases rapidly, reaching for example  $\alpha_s \approx 0.75$  at  $\mu_{hc} \approx 0.7$  GeV, such that the perturbative evaluation becomes unreliable. As expected, the Sudakov factor itself has a larger  $\mu_{hc}$  dependence, varying in the larger range (0.80 – 0.95), as listed in the fourth column of table 2 and shown in figure 5 (dashed line). The resummation effect is by far dominated by the QCD evolution as is evident from comparing the columns “QCD+QED” and “only QCD”. The difference of both implies that the resummation of only QED effects yields tiny suppression of (0.1 – 0.3)% in agreement with the natural expectation of the size of a logarithmically enhanced QED correction  $Q_q Q_\ell \times \alpha_{em}/\pi \times \ln^2(\mu_b/\mu_{hc}) \sim 0.2\%$ .

The residual dependence on  $\mu_{hc}$  displayed by the results in table 2 appears due to the missing next-to-leading logarithmic corrections, as well as the approximation made to



**Figure 5.** The SCET<sub>I</sub> Sudakov factor  $\frac{\lambda_B(\mu_0)}{\lambda_B(\mu_{hc})} e^{S_q(\mu_b, \mu_{hc})}$  (solid) and  $e^{S_q(\mu_b, \mu_{hc})}$  (dashed).

compute the scale-dependence of  $\lambda_B$ . In addition, the QED correction in (6.17) depends also on the first logarithmic moment of the LCDA,

$$\frac{\sigma_1(\mu)}{\lambda_B(\mu)} \equiv \int_0^\infty \frac{d\omega}{\omega} \ln\left(\frac{\mu_0}{\omega}\right) \phi_+(\omega; \mu), \quad (8.2)$$

where the latter involves the reference scale  $\mu_0 = 1$  GeV. The scale dependence due to  $\sigma_1$  is not captured by the results in table 2. Further, a small residual QED  $\mu_{hc}$ -dependence is compensated by the SCET<sub>II</sub> QED evolution  $U_s^{\text{QED}}(\mu_{hc}, \mu_s; \omega)$  in (6.17).

Turning to point *iii*), we recall that the resummation of virtual QED and QCD corrections in SCET<sub>II</sub> to  $\mathcal{A}_9$  has been organized in (6.17) such that the nonperturbative input to the  $B$ -meson LCDA is required at the scale  $\mu_{hc}$  to avoid QCD evolution below  $\mu_{hc}$ . The resummation of QED effects from the soft/collinear to the hard-collinear scales are contained in the Sudakov factor  $B$ -meson LCDA momentum-fraction dependent factor  $U_s^{\text{QED}}(\mu_{hc}, \mu_s; \omega)$  given in (6.18) or the LL-equivalent momentum-fraction independent version (6.19).

To estimate the effect of QED resummation in SCET<sub>II</sub>, we use the simple exponential model for the LCDA (see e.g. [40])

$$\phi_+(\omega) = \frac{\omega}{\omega_0^2} e^{-\omega/\omega_0}, \quad (8.3)$$

where  $\omega_0 = \lambda_B$  and evaluate the ratio of the amplitude (6.17) with the evolution factor to the amplitude without it,

$$r_\omega \equiv \frac{\int_0^\infty \frac{d\omega}{\omega} U_s^{\text{QED}}(\mu_{hc}, \mu_s; \omega) \phi_+(\omega) \left[ \ln \frac{\omega m_{B_q}}{m_\ell^2} - 1 \right]}{\int_0^\infty \frac{d\omega}{\omega} \phi_+(\omega) \left[ \ln \frac{\omega m_{B_q}}{m_\ell^2} - 1 \right]}. \quad (8.4)$$

For simplicity we assumed that  $C_9^{\text{eff}}$  is constant. On the other hand, if we use the  $\omega$ -independent and equally valid expression (6.19) for the evolution factor, we simply obtain

$r = U_s^{\text{QED}}(\mu_{hc}, \mu_s)$ , independent of the form of the  $B$ -meson LCDA. Evaluation of (8.4) results in very small deviations of  $r_\omega$  from unity. For  $\mu_s = \lambda_B$  and  $\mu_{hc} = 1 \text{ GeV}$ , the  $r_\omega$  is a unity minus  $(1 - 3)\%$  depending on the value of  $\lambda_B$ . For  $\mu_{hc} = 2 \text{ GeV}$ , the  $r_\omega$  differs from unity by about  $(3 - 5)\%$ . The simpler expression  $r$  agrees with these deviations from unity within about 25%, independent of whether one uses the LL or the DL versions of (6.18) and (6.19). We conclude that the numerical impact of the resummation of QED corrections in SCET<sub>II</sub> compared to the fixed-order result is very small. As reference value we quote  $U_s^{\text{QED}}(\mu_{hc}, \mu_s) = 1 - 0.0015$  at  $\mu_{hc} = 1 \text{ GeV}$  for  $\lambda_B = 275 \text{ MeV}$ .

In summary, the discussion of points *ii*) and *iii*) has shown that the main numerical effect of resummation on the power-enhanced amplitude  $\mathcal{A}_9$  comes from the resummation of QCD corrections in SCET<sub>I</sub> together with QCD running of the LCDA, constituting an overall suppression factor

$$S_9 \equiv \frac{\lambda_B(\mu_0)}{\lambda_B(\mu_{hc})} e^{S_q(\mu_b, \mu_{hc})} \in [0.77, 0.82], \quad (8.5)$$

that leads to a reduction of  $\mathcal{A}_9$  of about 20%, whereas SCET<sub>II</sub> QED resummation from (6.18) can be safely neglected in (6.17). Thus, from the phenomenological perspective it is justified to consider only QCD resummation on top of the one-loop QED correction, once the leptonic Sudakov factor  $e^{S_\ell(\mu_b, \mu_c)}$  is extracted, see point *i*).

This observation allows us to give a result for the  $\mathcal{A}_7$  amplitude including QCD resummation, while the combined QCD and QED resummation is not yet feasible. Indeed, the endpoint divergences, which spoil the factorization of the  $\mathcal{A}_7$  amplitude are only related to the QED effects.<sup>13</sup> From the QCD perspective, the problem is equivalent to resummation for the heavy-to-light tensor current instead of the (axial-) vector current relevant to  $\mathcal{A}_9$ . This implies that the QCD cusp anomalous dimension is the same as in the  $\mathcal{A}_9$  case<sup>14</sup> and accordingly the leading-logarithmic Sudakov factors are equal,  $S_7 = S_9$ . The result is then a uniform reduction of the power-enhanced QED correction “ $\mathcal{A}_9 + \mathcal{A}_7$ ” relative to the fixed-order result [21].

## 8.2 Branching fractions $B_q \rightarrow \mu^+ \mu^-$

We first provide the so-called non-radiative branching fraction for  $B_q \rightarrow \mu^+ \mu^-$  that is found from the non-radiative decay width (7.15) as

$$\overline{\text{Br}}_{q\mu}^{(0)} \equiv \frac{\Gamma^{(0)}[B_q \rightarrow \mu^+ \mu^-]}{\Gamma_q^{\text{tot}}}. \quad (8.6)$$

For the  $B_d$  meson the total decay width  $\Gamma_d^{\text{tot}}$  is given in by the average width of the light and heavy  $B_d$  mass eigenstates. In case of the  $B_s$  meson the large decay-width difference

<sup>13</sup>The operator basis for the amplitude  $\mathcal{A}_7$  is more complicated than for  $\mathcal{A}_9$ , see appendix B, but one can prove that additional soft, collinear and hard-collinear fields that enter the SCET<sub>I</sub> and SCET<sub>II</sub> operators relevant to  $\mathcal{A}_7$  do not carry color charge.

<sup>14</sup>The non-cusp QCD anomalous dimensions are different for  $\mathcal{A}_9$  and  $\mathcal{A}_7$ , due to different Dirac structure and normalization, but in principle next-to-leading logarithmic resummation of QCD effects could be performed as well for both amplitudes, see for example [49].

Parameter	Value	Ref.	Parameter	Value	Ref.
$G_F$	$1.166379 \cdot 10^{-5} \text{ GeV}^{-2}$	[51]	$m_Z$	91.1876(21) GeV	[51]
$\alpha_s^{(5)}(m_Z)$	0.1181(11)	[51]	$m_\mu$	105.658... MeV	[51]
$\alpha_{\text{em}}^{(5)}(m_Z)$	1/127.955(10)	[51]	$m_t$	173.1(6) GeV	[51]
$m_{B_s}$	5366.89(19) MeV	[51]	$m_{B_d}$	5279.63(15) MeV	[51]
$f_{B_s} _{N_f=2+1}$	228.4(3.7) MeV	[52]	$f_{B_d} _{N_f=2+1}$	192.0(4.3) MeV	[52]
$f_{B_s} _{N_f=2+1+1}$	230.3(1.3) MeV	[52]	$f_{B_d} _{N_f=2+1+1}$	190.0(1.3) MeV	[52]
$1/\Gamma_H^s$	1.615(9) ps	[53]	$2/(\Gamma_H^d + \Gamma_L^d)$	1.520(4) ps	[53]
$ V_{cb} _{\text{incl}}$	0.04200(64)	[54]	$\lambda_B(\mu_0)$	275(75) MeV	[45]
$ V_{tb}V_{ts}^*/V_{cb} $	0.982(1)	[55, 56]	$\sigma_1(\mu_0)$	1.5(1.0)	[45]
$ V_{tb}V_{td}^* $	0.0087(2)	[55, 56]	$\sigma_2(\mu_0)$	3(2)	[45]
$V_{ub}V_{ud}^*/V_{tb}V_{td}^*$	0.018 - $i$ 0.414	[55, 56]			

**Table 3.** Numerical input values for parameters: note that  $\alpha_{\text{em}}^{(5)}(m_Z)$  has been determined with  $\alpha_s^{(5)}(m_Z) = 0.1187(16)$  in [51] and the corresponding  $\Delta\alpha_{\text{em,hadr}}^{(5)}(m_Z) = 0.02764(7)$ . The  $B$ -meson decay constants are averages from the FLAG group for  $N_f = 2 + 1$  from [57–61] and for  $N_f = 2 + 1 + 1$  from [2, 62–64]. The  $B_{s,d}$  lifetimes are prepared by HFLAV for the PDG 2018 review [51]. The same numerical values of  $\lambda_B$  and  $\sigma_{1,2}$  at the reference scale  $\mu_0 = 1 \text{ GeV}$  are used for  $B_s$  and  $B_d$  mesons. The values of the Wilson coefficients at  $\mu_b = 5.0 \text{ GeV}$  are  $C_{1-6} = \{-0.25, 1.01, -0.005, -0.077, 0.0003, 0.0009\}$ ,  $C_7^{\text{eff}} = -0.302$ ,  $C_9 = 4.344$  and  $C_{10} = -4.198$  from [21].

requires a time-integration that can be accounted for by using instead of  $\Gamma_s^{\text{tot}}$  the decay width  $\Gamma_s^H$  of the heavy  $B_s$ -mass eigenstate [50]. According to the results of section 8.1, the non-radiative part of the amplitude (7.7) is

$$A_{10} [\bar{u}_c \gamma_5 v_{\bar{c}}] + \left( S_9 A_9^{\text{fix}} + S_7 A_7^{\text{fix}} \right) [\bar{u}_c (1 + \gamma_5) v_{\bar{c}}], \quad (8.7)$$

where the numerically relevant resummation is now factored out explicitly as  $S_{7,9}$  from the one-loop QED amplitudes  $A_{7,9}^{\text{fix}}$ , which were found in [21], see (1.1). We have added the fixed-order result of  $A_7^{\text{fix}}$  in the numerical analysis and keep  $S_7$  separately, although at leading logarithmic order in QCD  $S_7 = S_9$  as mentioned above.

The non-radiative time-integrated branching fraction of  $B_s \rightarrow \mu^+ \mu^-$  for central values of the parameters collected in table 3 and using the  $N_f = 2 + 1 + 1$  lattice result of  $f_{B_s}$  is

$$\begin{aligned} \overline{\text{Br}}_{s\mu}^{(0)} &= 3.677 \cdot 10^{-9} \times \left( 1 + \frac{\text{GeV}}{10^3 \cdot \lambda_B} [S_9 (-6.46 + 1.27\sigma_1) + S_7 (4.74 - 1.54\sigma_1 + 0.15\sigma_2)] \right) \\ &= 3.677 \cdot 10^{-9} \times (1 - 0.0166 S_9 + 0.0105 S_7) = 3.660 \cdot 10^{-9}. \end{aligned} \quad (8.8)$$

In the first line we keep the  $B$ -meson LCDA parameters and the Sudakov factors unevaluated. The second line shows that  $\mathcal{A}_9$  interferes destructively with  $\mathcal{A}_{10}$  whereas  $\mathcal{A}_7$  interferes constructively. The separate contributions to the branching fraction due to  $\mathcal{A}_9$  and  $\mathcal{A}_7$  are

rather large, about  $-1.7 S_9 \%$  and  $+1.0 S_7 \%$  as found previously [21]. Both contributions cancel in part and lead to an overall reduction of the branching fraction of 0.5%, when accounting for the Sudakov factor  $S_9 \approx S_7 \approx 0.8$ . The non-radiative branching fraction of  $B_d \rightarrow \mu^+ \mu^-$  for central values of the parameters is

$$\begin{aligned} \overline{\text{Br}}_{d\mu}^{(0)} &= 1.031 \cdot 10^{-10} \times \left( 1 + \frac{\text{GeV}}{10^3 \cdot \lambda_B} [S_9 (-6.04 + 1.18\sigma_1) + S_7 (4.67 - 1.51\sigma_1 + 0.15\sigma_2)] \right) \\ &= 1.031 \cdot 10^{-10} \times (1 - 0.0155 S_9 + 0.0103 S_7) = 1.027 \cdot 10^{-10}. \end{aligned} \quad (8.9)$$

The numerical difference between  $B_s$  and  $B_d$  decays for the contribution proportional to  $S_9$  is due to the terms proportional to the CKM factor  $V_{ub}V_{uq}^*$  in (3.10).

Let us for completeness provide also a detailed error budget of the non-radiative branching fractions  $B_q \rightarrow \mu^+ \mu^-$ . We find

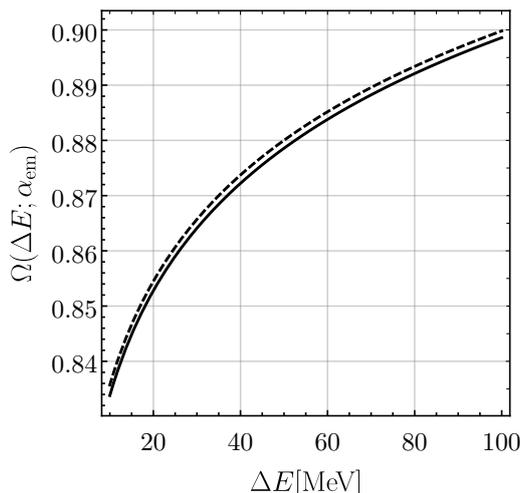
$$\begin{aligned} \overline{\text{Br}}_{s\mu}^{(0)} &= \begin{pmatrix} 3.599 \\ 3.660 \end{pmatrix} \left[ 1 + \begin{pmatrix} 0.032 \\ 0.011 \end{pmatrix}_{f_{B_s}} + 0.031|_{\text{CKM}} + 0.011|_{m_t} \right. \\ &\quad \left. + 0.006|_{\text{pmr}} + 0.012|_{\text{non-pmr}} \begin{matrix} +0.003 \\ -0.005 \end{matrix} |_{\text{LCDA}} \right] \cdot 10^{-9}, \end{aligned} \quad (8.10)$$

$$\begin{aligned} \overline{\text{Br}}_{d\mu}^{(0)} &= \begin{pmatrix} 1.049 \\ 1.027 \end{pmatrix} \left[ 1 + \begin{pmatrix} 0.045 \\ 0.014 \end{pmatrix}_{f_{B_d}} + 0.046|_{\text{CKM}} + 0.011|_{m_t} \right. \\ &\quad \left. + 0.003|_{\text{pmr}} + 0.012|_{\text{non-pmr}} \begin{matrix} +0.003 \\ -0.005 \end{matrix} |_{\text{LCDA}} \right] \cdot 10^{-10}, \end{aligned} \quad (8.11)$$

where we group uncertainties as follows:

- i)* main parametric long-distance ( $f_{B_q}$ ) and short-distance (CKM and  $m_t$ );
- ii)* remaining non-QED parametric ( $\Gamma_q, \alpha_s$ ) and non-QED non-parametric ( $\mu_W, \mu_b$  and higher order, see [4]);
- iii)* from the  $B$ -meson LCDA parameters  $\lambda_B$  and  $\sigma_{1,2}$  entering the power-enhanced QED correction.

We provide two values of the branching fraction depending on the choice of the lattice calculation of  $f_{B_q}$  for  $N_f = 2 + 1$  (upper) and  $N_f = 2 + 1 + 1$  (lower), employing averages from FLAG 2019 [52]. Note that the small uncertainties of the  $N_f = 2 + 1 + 1$  results are currently dominated by a single group [2] and confirmation by other lattice groups in the future is desirable. It can be observed that in this case the largest uncertainties are due to CKM parameters, such that in principle they can be determined, provided the experimental accuracy of the measurements is at the one-percent level. Still fairly large errors are due to the top-quark mass  $m_t = (173.1 \pm 0.6) \text{ GeV}$ , here assumed to be in the pole scheme [4], where an additional non-parametric uncertainty of 0.2% is included (in “non-pmr”) for the conversion to the  $\overline{\text{MS}}$  scheme as in [4]. Further “non-pmr” contains a



**Figure 6.** The radiative factor in (8.13) for  $B_s \rightarrow \mu^+\mu^-$  in the range  $\Delta E \in [10, 100]$  MeV for the two values  $\alpha_{\text{em}}^{-1} = 134.28$  (solid) and  $\alpha_{\text{em}}^{-1} = 136.0$  (dashed).

0.4% uncertainty from  $\mu_W$  variation and 0.5% further higher order uncertainty, all linearly added, see also [4].

The radiative  $B_q \rightarrow \mu^+\mu^-$  branching fraction including ultrasoft radiation with total energy  $E_{X_s} < \Delta E$  is obtained from (7.15) and (8.6) as

$$\overline{\text{Br}}_{q\mu}(\Delta E) \equiv \overline{\text{Br}}_{q\mu}^{(0)} \times \Omega(\Delta E; \alpha_{\text{em}}), \quad (8.12)$$

with radiative factor

$$\Omega(\Delta E; \alpha_{\text{em}}) \equiv \left( \frac{2\Delta E}{m_{B_q}} \right)^{-\frac{2\alpha_{\text{em}}}{\pi}} \left( 1 + \ln \frac{m_\mu^2}{m_{B_q}^2} \right) \quad (8.13)$$

from (7.14).  $\Delta E$  corresponds to a window in the dilepton invariant mass  $s_{\ell\bar{\ell}} = (p_\ell + p_{\bar{\ell}})^2$  around  $s_{\ell\bar{\ell}} = m_{B_q}^2$  defining the signal region in the experimental analysis for which our effective theory framework is set up. The dependence of the radiative factor  $\Omega$  on  $\Delta E = (m_{B_q}^2 - s_{\ell\bar{\ell}})^{1/2}$  is shown in figure 6 for  $B_s$  mesons. One might consider  $\Delta E \simeq 60$  MeV as an example of a larger value for the theory framework that requires  $\Delta E \ll \Lambda_{\text{QCD}}$ . In this case the signal window contains about 88% of the non-radiative rate, whereas for example the smaller signal window with  $\Delta E \simeq 10$  MeV still contains 84%. The sizes of the signal windows in the first LHCb [12, 65] and CMS [13] analyses of  $B_s \rightarrow \mu^+\mu^-$  were about  $\Delta E \simeq \pm 60$  MeV and  $\Delta E \simeq {}_{-67}^{+83}$  MeV around  $m_{B_s}$ , respectively.<sup>15</sup> In comparison, the experimental resolution in the dilepton-invariant mass is reported to be about 25 MeV in LHCb and depending on the muon direction about (32 – 75) MeV in CMS. More recent experimental analyses do not use signal windows, but rather fit the modelled signal components of  $B_s \rightarrow \mu^+\mu^-$  and  $B_d \rightarrow \mu^+\mu^-$  simultaneously with background components over a wide

<sup>15</sup>In the experimental analysis the signal window extends also above the upper boundary  $(s_{\ell\bar{\ell}})_{\text{max}} = m_{B_q}^2$  of the phase space for  $B_q \rightarrow \ell^+\ell^- + n\gamma$  due to resolution effects in the reconstruction of  $s_{\ell\bar{\ell}}$ .

range of  $s_{\ell\bar{\ell}}$ . The modelling of the components involves also the simulation of photon radiation with the help of PHOTOS [18]. We note that the systematic framework developed in this work makes it advantageous to compare experimental data in a signal window to corresponding theoretical predictions without the need for modeling or simulation.

Finally, we point out that the dependence on the value of  $\alpha_{\text{em}}$  in the exponent of  $\Omega(\Delta E; \alpha_{\text{em}})$  slightly changes the value of the radiative factor. The associated parametric uncertainty for  $\Delta E = 60 \text{ MeV}$  and the two values  $\alpha_{\text{em}}^{-1} = \{134.28, 136.0\}$

$$\Omega(60 \text{ MeV}; \alpha_{\text{em}}) = \begin{cases} \{0.8838, 0.8852\} & \text{for } B_s \\ \{0.8848, 0.8862\} & \text{for } B_d \end{cases} \quad (8.14)$$

amounts to less than 0.2% for the two choices of  $\alpha_{\text{em}}$ . The first choice corresponds to  $\alpha_{\text{em}}(1.0 \text{ GeV})$ , whereas  $1/\alpha_{\text{em}}(\mu_c) = 136.0$  represents the running at one-loop to the muon mass scale, spanning a range of values that covers the renormalization scheme dependence of  $\alpha_{\text{em}}$ . This uncertainty is comparable to the parametric QED uncertainties due to the  $B$ -meson LCDA parameters in (8.10) and (8.11). It must be added when comparing the predictions of  $\overline{\text{Br}}_{q\mu}(\Delta E)$  with measurements, i.e. it must be accounted for when extracting the non-radiative rate by experiments.

### 8.3 Rate asymmetries in $B_q \rightarrow \mu^+ \mu^-$

The decay of neutral  $B_q$  mesons into a muon pair  $\mu_\lambda^+ \mu_\lambda^-$  in a helicity configuration  $\lambda = L, R$  allows to investigate further observables in measurements of its time-dependent rate asymmetry

$$\frac{\Gamma[B_q(t) \rightarrow \mu_\lambda^+ \mu_\lambda^-] - \Gamma[\overline{B}_q(t) \rightarrow \mu_\lambda^+ \mu_\lambda^-]}{\Gamma[B_q(t) \rightarrow \mu_\lambda^+ \mu_\lambda^-] + \Gamma[\overline{B}_q(t) \rightarrow \mu_\lambda^+ \mu_\lambda^-]} = \frac{C_q^\lambda \cos(\Delta m_{B_q} t) + S_q^\lambda \sin(\Delta m_{B_q} t)}{\cosh(y_q t / \tau_{B_q}) + A_q^\lambda \sinh(y_q t / \tau_{B_q})}, \quad (8.15)$$

provided the initial flavour of the  $B_q$  is tagged. The decay-width difference  $\Delta\Gamma_q$  enters via  $y_q = \tau_{B_q} \Delta\Gamma_q / 2$ , which is rather sizeable for the  $B_s$  system,  $y_s = 0.066 \pm 0.004$  [51], but can be neglected for the  $B_d$  system  $y_d \lesssim 0.005$  [51]. We refer to [50] for definitions and further details.

The three observables are related by  $|C_q^\lambda|^2 + |S_q^\lambda|^2 + |A_q^\lambda|^2 = 1$ . Note that a muon pair  $\mu_\lambda^+ \mu_\lambda^-$  with definite helicity  $\lambda = L, R$  is not a CP eigenstate, but both are related under CP transformation as  $CP(|\mu_L^+ \mu_L^- \rangle) = e^{i\delta_{CP}(\mu^+ \mu^-)} |\mu_R^+ \mu_R^- \rangle$  with a convention-dependent phase  $\delta_{CP}(\mu^+ \mu^-)$ . Thus the observables  $C_q^\lambda$ ,  $S_q^\lambda$  and  $A_q^\lambda$  are not CP asymmetries. The SM predictions based on the LO QED amplitude  $\mathcal{A}_{10}$  alone lead to vanishing  $C_q^\lambda = S_q^\lambda = 0$ . The mass-eigenstate rate asymmetry  $A_q^\lambda = 1$  because only the heavier  $B_q$  mass-eigenstate can decay into leptons. The NLO QED amplitude  $\mathcal{A}_9$  contains in addition to the dominant amplitude involving  $V_{tb} V_{tq}^*$  the term proportional to the CKM element product  $V_{ub} V_{uq}^*$ , and hence a second weak (CP-violating) phase, as well as scattering (CP-conserving) phases through  $C_9^{\text{eff}}$  in (3.10), potentially changing these predictions. For the future it is important to know at which level QED corrections in the SM induce a deviation from  $C_q^\lambda = S_q^\lambda = 0$  and  $A_q^\lambda = 1$  to disentangle them from new physics effects.

The suppression factors  $\alpha_{\text{em}}$  and  $(V_{ub} V_{uq}^*) / (V_{tb} V_{tq}^*)$  in  $\mathcal{A}_9$  suggest that the deviations from the LO SM predictions will be very small. Due to the presence of a scattering phase

we must generalize the results of [50] that were based on the assumption of only additional weak phases. The muon-helicity dependent observables are given as

$$C_q^\lambda = \frac{1 - |\xi_q^\lambda|^2}{1 + |\xi_q^\lambda|^2}, \quad S_q^\lambda = \frac{2 \operatorname{Im} \xi_q^\lambda}{1 + |\xi_q^\lambda|^2}, \quad A_q^\lambda = \frac{2 \operatorname{Re} \xi_q^\lambda}{1 + |\xi_q^\lambda|^2}, \quad (8.16)$$

where

$$\xi_q^\lambda = -\frac{S + \eta_\lambda P}{\bar{S} - \eta_\lambda \bar{P}}, \quad \text{with} \quad \eta_{L/R} = \pm 1. \quad (8.17)$$

The barred quantities  $\bar{P} = P[\varphi_W \rightarrow -\varphi_W]$  and  $\bar{S} = S[\varphi_W \rightarrow -\varphi_W]$  are obtained from the unbarred ones after reverting the signs of all CP-violating phases  $\varphi_W$ . We introduced here

$$P = \frac{A_{10} + A_9 + A_7}{m_\ell m_{B_q} f_{B_q} \mathcal{N}}, \quad S = \beta_\mu \frac{A_9 + A_7}{m_\ell m_{B_q} f_{B_q} \mathcal{N}}. \quad (8.18)$$

The generalized expressions (8.16) in terms of  $P$ ,  $\bar{P}$  and  $S$ ,  $\bar{S}$  can be derived straightforwardly. We find

$$C_q^\lambda = \frac{\bar{B}_\lambda - B_\lambda}{\bar{B}_\lambda + B_\lambda}, \quad S_q^\lambda = \frac{2 \operatorname{Im} \tilde{B}_\lambda}{\bar{B}_\lambda + B_\lambda}, \quad A_q^\lambda = \frac{2 \operatorname{Re} \tilde{B}_\lambda}{\bar{B}_\lambda + B_\lambda}, \quad (8.19)$$

where

$$B_\lambda = |P|^2 + |S|^2 + \eta_\lambda (PS^* + SP^*), \quad (8.20)$$

$$\bar{B}_\lambda = |\bar{P}|^2 + |\bar{S}|^2 - \eta_\lambda (\bar{P}\bar{S}^* + \bar{S}\bar{P}^*), \quad (8.21)$$

$$\tilde{B}_\lambda = P\bar{P}^* - S\bar{S}^* + \eta_\lambda (S\bar{P}^* - P\bar{S}^*). \quad (8.22)$$

The linear combinations  $C_q \equiv \frac{1}{2}(C_q^L + C_q^R)$  and  $S_q \equiv \frac{1}{2}(S_q^L + S_q^R)$  are CP-odd, while  $\Delta C_q \equiv \frac{1}{2}(C_q^L - C_q^R)$  and  $\Delta S_q \equiv \frac{1}{2}(S_q^L - S_q^R)$  are CP-even quantities, see for example the related discussion [66] on time-dependent rates in the  $B^0 \rightarrow \pi^\mp \rho^\pm$  systems.

The assumption of only weak phases implies  $\bar{P} = P^*$  and  $\bar{S} = S^*$ , and leads to significant simplifications as shown in [50]. In the following we do not make this general assumption, but we use that the amplitudes  $A_{10}$  and  $A_7$  are real within the approximations adopted in this paper, i.e. do not contain neither weak nor scattering phases, and further that the amplitudes  $A_{7,9} \ll A_{10}$  are suppressed by a factor  $\alpha_{\text{em}}$ . The expansion in  $\alpha_{\text{em}}$  yields

$$\begin{aligned} C_q^\lambda &= -\frac{\operatorname{Re} [A_9 - \bar{A}_9 + \eta_\lambda (2A_7 + A_9 + \bar{A}_9)]}{A_{10}}, \\ S_q^\lambda &= \frac{\operatorname{Im} [A_9 - \bar{A}_9 + \eta_\lambda (A_9 + \bar{A}_9)]}{A_{10}}, \\ A_q^\lambda &= 1 - \frac{2(A_7)^2 + (1 + \eta_\lambda)|A_9|^2 + (1 - \eta_\lambda)|\bar{A}_9|^2 + 2A_7 \operatorname{Re} [(1 + \eta_\lambda)A_9 + (1 - \eta_\lambda)\bar{A}_9]}{(A_{10})^2}, \end{aligned} \quad (8.23)$$

where we further use  $\beta_\mu \approx 1$  in  $S$ , which is numerically well justified for muons. Note that for  $A_q^\lambda$  the first non-vanishing deviation from unity appears only at  $\mathcal{O}(\alpha_{\text{em}}^2)$ .

The expressions show that in the absence of a weak phase difference (i.e. when  $\overline{A_9} = A_9$ ), the QED corrections imply a modification of the observables

$$C_q^\lambda = -\eta_\lambda \frac{2\text{Re}[A_7 + A_9]}{A_{10}}, \quad S_q^\lambda = \eta_\lambda \frac{2\text{Im}[A_7 + A_9]}{A_{10}}, \quad A_q^\lambda = 1 - 2 \frac{|A_7 + A_9|^2}{(A_{10})^2}. \quad (8.24)$$

from their naive values 0, 0, 1. We added  $\text{Im} A_7 = 0$  in the numerator of  $S_q^\lambda$  to make the result appear in line with  $C_q^\lambda$  and  $A_q^\lambda$ . As expected, the CP-odd observables  $C_q, S_q$  vanish, since there is no CP-violating phase, while  $\Delta C_q = C_q^L, \Delta S_q = S_q^L$  are non-zero though small.  $C_q^\lambda$  and  $S_q^\lambda$  still depend on the muons' helicity through  $\eta_\lambda$ , whereas  $A_q^\lambda$  becomes independent on the helicity. The expressions (8.24) are very good approximations for the case of  $B_s$  mesons, where the  $V_{ub}V_{us}^*$  term in the amplitude is negligible due to the strong suppression  $|(V_{ub}V_{us}^*)/(V_{tb}V_{ts}^*)| \lesssim 0.005$  in (3.10). In consequence [21]<sup>16</sup>

$$C_s^\lambda = +\eta_\lambda 0.6\%, \quad S_s^\lambda = -\eta_\lambda 0.1\%, \quad A_s = 1 - 2.0 \cdot 10^{-5}. \quad (8.25)$$

While the first measurement of  $A_s = 8.2 \pm 10.7$  from LHCb [16] suffers from huge errors, a future deviation from the SM prediction  $A_s = 1$  at LO in QED can be safely attributed to non-standard effects in view of the tiny QED contributions.

On the other hand, for the  $B_d$  system the expressions (8.23) must be used. Here the weak phase in  $(V_{ub}V_{ud}^*)/(V_{tb}V_{td}^*)$  leads to differences among the two helicities, and we therefore provide

$$\begin{aligned} C_d &= -0.08\%, & S_d &= +0.03\%, & A_d^L &= 1 - 1.4 \cdot 10^{-5}, \\ \Delta C_d &= +0.60\%, & \Delta S_d &= -0.13\%, & A_d^R &= 1 - 2.4 \cdot 10^{-5}. \end{aligned} \quad (8.26)$$

We find similar magnitudes as for the  $B_s$  system, but non-vanishing CP asymmetries  $C_d$  and  $S_d$ , which are suppressed by a factor of a few compared to the CP-conserving quantities  $\Delta C_d, \Delta S_d$ .

In summary we find tiny contributions from the power-enhanced NLO QED amplitudes  $\mathcal{A}_{7,9}$  to the rate asymmetries in  $B_q \rightarrow \mu^+\mu^-$  decays, leaving these observables as “null tests” at the percent level of the SM. The generated CP asymmetries in  $B_d \rightarrow \mu^+\mu^-$  are about  $|C_d|, |S_d| \approx 0.1\%$  and are strongly suppressed in  $B_s \rightarrow \mu^+\mu^-$ . Note that there are other, even smaller higher-order corrections to the rate asymmetries in the SM, such as for example neutral Higgs boson penguin diagrams, which give rise to higher-dimensional operators than dimension six at the electroweak scale, suppressed by  $(m_b/m_W)^2 \sim 10^{-3}$ .

## 9 Summary and conclusions

We have developed a systematic treatment of virtual and real QED effects for the power-enhanced QED contribution to  $B_q \rightarrow \mu^+\mu^-$ , previously reported in [21], for the case when the energy of undetected photons  $\Delta E$  is small compared to the typical scale of the QCD binding energy and the muon mass. The treatment includes the resummation of large QED logarithms from various scales. The effects from the process-specific energy scales set

<sup>16</sup>Note the missing factor  $\eta_\lambda$  in eq. (20) of [21].

by the external kinematics and internal dynamics of  $B_q \rightarrow \mu^+ \mu^-$  are factorized with the help of soft-collinear effective theory (SCET) starting at the hard scale of order of the  $B$ -meson mass  $m_{B_q}$  in a two-step matching. First hard fluctuations on the heavy meson mass scale are decoupled in the matching on SCET<sub>I</sub>, and subsequently hard-collinear modes with virtuality  $m_{B_q} \Lambda$  are decoupled in the matching onto SCET<sub>II</sub>, which contains collinear and soft degrees of freedom of order  $\Lambda$ . Finally we treat the remaining ultrasoft QED interactions in the limit of static heavy leptons.

Due to the double helicity and annihilation suppression of the  $B_q \rightarrow \mu^+ \mu^-$  amplitude in the absence of QED corrections, the power-enhanced amplitude analyzed in this paper is actually an example of subleading-power resummation in SCET. The SCET framework allows us to resum the large QCD and QED logarithmic corrections systematically and reveals a lepton-mass induced operator mixing between so-called A-type and B-type SCET collinear operators as the origin of the leading logarithmic correction. We derive a SCET<sub>II</sub> factorization theorem for the non-radiative amplitude, for the contributions due to the weak semileptonic operators  $Q_9$  and  $Q_{10}$ . The formula includes “structure-dependent” logarithms beyond the standard Yennie-Frautschi-Suura (YFS) exponentiation. After squaring the amplitude, the result is organized such that the standard exponentiated logarithms are factorized and the process-specific terms are made explicit. We provide the relevant operator definitions, which facilitates the reuse of existing results within our formalism avoiding double-counting. We emphasize that the standard YFS approach contains the implicit assumption that the cutoff on virtual photon effects, which should be below the scale of the inverse size of the  $B$ -meson for the latter to be treated as point-like, can be raised to the  $B$ -meson mass scale. Our result justifies this procedure to a certain extent and gives a precise expression for the corrections to this extrapolation.

On the quantitative side, we performed the resummation at the leading-logarithmic level in both, the QCD and the QED coupling. We find that once the standard YFS exponent is extracted, the relevant effect comes from QCD logarithms. For practical purposes it is therefore sufficient to improve the previous one-loop QED calculation [21] by QCD logarithms, which results in an approximately 20% reduction of the power-enhanced amplitude. We updated the  $B_{s,d} \rightarrow \mu^+ \mu^-$  branching fractions and provided an estimate of the present theoretical uncertainty. We also discussed various rate asymmetries, including CP violation at the permille level in the  $B_d$  decay mode that arises entirely from the power-enhanced QED correction. These results quantify the SM background to New Physics searches in what would be “null observables” in the absence of QED.

The derivation of the SCET<sub>II</sub> factorization theorem shows the need of generalizing the concepts of the  $B$ -meson decay constant and light-cone distribution amplitudes (LCDA) in the presence of QED. We establish that even in very simple processes QED corrections are non-universal beyond the leading logarithmic approximation. The coupling of soft photons to final-state charged particles renders the  $B$ -meson matrix elements dependent on the soft Wilson lines, which carry information on the charge and direction of the final-state particles. Upon expansion in the QED coupling, this necessitates the inclusion of hadronic matrix elements, which are non-local time-ordered products. This has to be considered when these quantities are evaluated with nonperturbative methods, such as lattice gauge

theory. The situation becomes even more complicated for exclusive semileptonic decays, where different process-dependent nonperturbative objects have to be defined for different phase-space regions. The number of nonperturbative objects will proliferate, as in this case it will also be necessary to include QED effects for the final-state hadrons.

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## A SCET conventions and results

Throughout we use the definitions

$$g_{\mu\nu}^{\perp} \equiv g_{\mu\nu} - \frac{n_+^{\mu}n_-^{\nu}}{2} - \frac{n_-^{\mu}n_+^{\nu}}{2}, \quad \varepsilon_{\mu\nu}^{\perp} \equiv \varepsilon_{\mu\nu\alpha\beta} \frac{n_+^{\alpha}n_-^{\beta}}{2}, \quad (\text{A.1})$$

and the convention  $\varepsilon_{0123} = -1$  such that

$$\text{Tr}[\gamma_{\mu}\gamma_{\nu}\gamma_{\alpha}\gamma_{\beta}\gamma_5] = -4i\varepsilon_{\mu\nu\alpha\beta}, \quad \sigma_{\mu\nu}\gamma_5 = \frac{i}{2}\varepsilon_{\mu\nu\alpha\beta}\sigma^{\alpha\beta}. \quad (\text{A.2})$$

The running QCD and QED couplings in the  $\overline{\text{MS}}$  scheme are denoted as  $\alpha_s$  and  $\alpha_{\text{em}}$ , respectively. They obey the RG equations

$$\frac{d\alpha_i}{d\ln\mu} = \beta_i(\alpha_s, \alpha_{\text{em}}) = -2\alpha_i \frac{\alpha_i}{4\pi} \beta_{0,i} + \mathcal{O}(\alpha_i^3), \quad i = (s, \text{em}), \quad (\text{A.3})$$

which decouple at the leading order. The one-loop contributions are

$$\beta_0 = \frac{11}{3}N_c - \frac{2}{3}n_f, \quad \beta_{0,\text{em}} = -\frac{4}{3}[N_c(n_u Q_u^2 + n_d Q_d^2) + n_{\ell} Q_{\ell}^2], \quad (\text{A.4})$$

where  $N_c = 3$  and  $n_f = n_u + n_d$  is the total number of active quark flavours. The separate up-type quark ( $Q_u = +2/3$ ), down-type quark ( $Q_d = -1/3$ ) and charged lepton ( $Q_{\ell} = -1$ ) numbers are denoted as  $n_u$ ,  $n_d$  and  $n_{\ell}$ , respectively.

### A.1 Lagrangians

The leading-power Lagrangian of a hard-collinear fermion  $f_C$  in SCET<sub>I</sub> reads [67, 68]

$$\mathcal{L}_f^{(0)} = \bar{f}_C \left( in_- D + i\not{D}_{C\perp} \frac{1}{in_+ D_C} i\not{D}_{C\perp} \right) \frac{\not{n}_+}{2} f_C. \quad (\text{A.5})$$

The capital subscript  $C$  is used in SCET<sub>I</sub> to denote collinear fields with hard-collinear and collinear scaling. The field  $f_C$  represents either the light quark or the lepton fields in table 1. The corresponding anti-hard-collinear field is denoted by  $C \rightarrow \bar{C}$  and its Lagrangian is obtained by the replacement  $n_+ \leftrightarrow n_-$ . The covariant derivatives are

$$in_- D = in_- \partial + eQ_f [n_- A_C + n_- A_s(x_-)] + g_s [n_- G_C + n_- G_s(x_-)], \quad (\text{A.6})$$

$$iD_C = i\partial + eQ_f A_C + g_s G_C, \quad (\text{A.7})$$

where  $A_\mu$  and  $G_\mu = G_\mu^A T^A$  denote the photon and gluon fields, respectively, and their subscripts  $C$  and  $s$  distinguish the hard-collinear and soft fields. The  $Q_f$  denotes the electric charge of  $f$ , whereas the generators of QCD for the fundamental representation are denoted as  $T^A$ . The QED and QCD coupling constants are  $e = \sqrt{4\pi\alpha_{\text{em}}}$  and  $g_s = \sqrt{4\pi\alpha_s}$ , respectively. Fields without argument are taken at position  $x$ . For the soft fields, their multipole expansion in SCET<sub>I</sub> interactions with collinear fields [68] is made explicit by the argument  $x_\mp \equiv (n_\pm x) n_\mp/2$ .

The operators in SCET<sub>I</sub> have to be gauge-invariant under hard-collinear QED and QCD gauge transformations, which is achieved by combining  $f_C$  with appropriate Wilson lines of hard-collinear photons and gluons

$$W_{fC}(x) \equiv \exp \left[ ie Q_f \int_{-\infty}^0 ds n_+ A_C(x + sn_+) \right], \tag{A.8}$$

$$W_C(x) \equiv \mathcal{P} \exp \left[ ig_s \int_{-\infty}^0 ds n_+ G_C(x + sn_+) \right], \tag{A.9}$$

respectively. The Wilson lines  $W_{fC}$  in QED depend on the charge  $Q_f$  of  $f_C$ , whereas the QCD Wilson lines  $W_C$  involve the path-ordering operator  $\mathcal{P}$ . There are analogous anti-hard-collinear Wilson lines  $W_{f\bar{C}}$  and  $W_{\bar{C}}$ , obtained by  $n_+ \rightarrow n_-$ . Depending on  $f_C$ , the following invariant building blocks under hard-collinear gauge transformations appear

$$\text{lepton:} \quad f_C = l_C \quad \rightarrow \quad \ell_C = W_{lC}^\dagger l_C, \tag{A.10}$$

$$\text{quark:} \quad f_C = \xi_C \quad \rightarrow \quad \chi_C = [W_{\xi C} W_C]^\dagger \xi_C, \tag{A.11}$$

with analogous building blocks for anti-hard-collinear leptons  $\ell_{\bar{C}}$  and quarks  $\chi_{\bar{C}}$  that involve  $W_{f\bar{C}}$  and  $W_{\bar{C}}$ . The collinear fields in the main text refer to these collinear-gauge invariant fields including these collinear Wilson lines.

The leading-power Lagrangian of the soft light quark  $q_s$  with mass  $m_q$  and the heavy quark  $h_v$

$$\mathcal{L}_s = \bar{q}_s (i\not{D}_s - m_q) q_s + \bar{h}_v (iv \cdot D_s) h_v \tag{A.12}$$

contains the covariant derivative with soft gauge fields only. It is the same for SCET<sub>I</sub> and SCET<sub>II</sub>.

In addition we need the subleading SCET<sub>I</sub> interaction involving both, the soft and hard-collinear light quarks [31]

$$\mathcal{L}_{\xi q}^{(1)} = \bar{q}_s(x_-) [W_{\xi C} W_C]^\dagger(x) i\not{D}_{C\perp} \xi_C(x) + \text{h.c.} \tag{A.13}$$

and analogously for anti-hard-collinear fields with the replacements  $C \rightarrow \bar{C}$ ,  $n_+ \leftrightarrow n_-$  and  $x_- \rightarrow x_+$ . Further the mass-suppressed Lagrangian [69] for the hard-collinear leptons is needed

$$\mathcal{L}_m^{(1)} = m_\ell \bar{l}_C \left[ i\not{D}_{C\perp}, \frac{1}{in_+ D_C} \right] \frac{\not{n}_+}{2} l_C. \tag{A.14}$$

In SCET<sub>I</sub> the hard-collinear and soft fields are decoupled at leading power by the field redefinitions [70]

$$f_C(x) = Y_{f_+}(x_-)Y_{\text{QCD}^+}(x_-)f_C^{(0)}(x), \quad \bar{f}_C(x) = Y_{f_-}(x_+)Y_{\text{QCD}^-}(x_+)\bar{f}_C^{(0)}(x), \quad (\text{A.15})$$

if  $f_C$  ( $\bar{f}_C$ ) creates an outgoing antiparticle. If it destroys an incoming particle,  $\bar{Y}$  instead of  $Y$  is used. Here the QED Wilson lines with soft gauge fields are defined as follows:

$$\text{outgoing particles: } Y_{f_\pm}^\dagger(x) = \exp\left(+ieQ_f \int_0^\infty ds n_\mp A_s(x + sn_\mp) e^{-\epsilon s}\right), \quad (\text{A.16})$$

$$\text{outgoing antiparticles: } Y_{f_\pm}(x) = \exp\left(-ieQ_f \int_0^\infty ds n_\mp A_s(x + sn_\mp) e^{-\epsilon s}\right), \quad (\text{A.17})$$

$$\text{incoming particles: } \bar{Y}_{f_\pm}(x) = \exp\left(+ieQ_f \int_{-\infty}^0 ds n_\mp A_s(x + sn_\mp) e^{\epsilon s}\right), \quad (\text{A.18})$$

$$\text{incoming antiparticles: } \bar{Y}_{f_\pm}^\dagger(x) = \exp\left(-ieQ_f \int_{-\infty}^0 ds n_\mp A_s(x + sn_\mp) e^{\epsilon s}\right), \quad (\text{A.19})$$

where  $\epsilon$  is introduced to ensure the convergence of the integral. Similarly, for QCD,

$$\text{outgoing particles: } Y_{\text{QCD}^\pm}^\dagger(x) = \mathcal{P} \exp\left(+ig_s \int_0^\infty ds n_\mp G_s(x + sn_\mp) e^{-\epsilon s}\right), \quad (\text{A.20})$$

$$\text{outgoing antiparticles: } Y_{\text{QCD}^\pm}(x) = \bar{\mathcal{P}} \exp\left(-ig_s \int_0^\infty ds n_\mp G_s(x + sn_\mp) e^{-\epsilon s}\right), \quad (\text{A.21})$$

$$\text{incoming particles: } \bar{Y}_{\text{QCD}^\pm}(x) = \mathcal{P} \exp\left(+ig_s \int_{-\infty}^0 ds n_\mp G_s(x + sn_\mp) e^{\epsilon s}\right), \quad (\text{A.22})$$

$$\text{incoming antiparticles: } \bar{Y}_{\text{QCD}^\pm}^\dagger(x) = \bar{\mathcal{P}} \exp\left(-ig_s \int_{-\infty}^0 ds n_\mp G_s(x + sn_\mp) e^{\epsilon s}\right). \quad (\text{A.23})$$

The new hard-collinear fields with superscript (0) do not have interactions with soft fields at leading power, and after the decoupling transformation the superscript is dropped. Further, in the main text we omit the label  $f$  on  $Y_{f_\pm}$ , whenever  $f_C = \ell_C$  is a lepton or anti-lepton, see (4.5).

The SCET<sub>II</sub> is obtained from SCET<sub>I</sub> by integrating out the modes with hard-collinear virtuality. The leading-power Lagrangian of a collinear fermion  $f_c$  in SCET<sub>II</sub> now includes its mass  $m_f$  [68, 69],

$$\mathcal{L}_f^{(0)} = \bar{f}_c \left[ in_- D_c + (i\not{D}_{c\perp} - m_f) \frac{1}{in_+ D_c} (i\not{D}_{c\perp} + m_f) \right] \frac{\not{n}_+}{2} f_c. \quad (\text{A.24})$$

The SCET<sub>II</sub> covariant derivative  $iD_c = i\partial + eQ_f A_c + g_s G_c$  does not contain the soft gauge field, in distinction to the case of SCET<sub>I</sub> in (A.6), because the interaction between a single soft mode and collinear modes would necessarily create a mode with hard-collinear virtuality. Thus in SCET<sub>II</sub> there is no need to perform a decoupling transformation to achieve factorization of soft and collinear sectors. The gauge-invariance of the SCET<sub>II</sub> operators under collinear gauge transformations is achieved analogously to the case of

SCET<sub>I</sub> with the help of collinear QED and QCD Wilson lines  $W_{fc}$  and  $W_c$ , respectively, which are obtained from (A.8) and (A.9) by the replacement  $C \rightarrow c$ . The collinear gauge-invariant building block  $\ell_c$  for leptons is then formed analogously to (A.10).

The collinearly gauge-invariant building block

$$\mathcal{A}_{c\perp}^\mu = e \left[ A_{c\perp}^\mu - \frac{i\partial_\perp^\mu n_+ A_c}{in_+\partial} \right], \quad (\text{A.25})$$

of the collinear QED gauge field appears in SCET<sub>II</sub> operators as well as the anti-collinear version defined through the replacements  $c \rightarrow \bar{c}$  and  $n_+ \rightarrow n_-$ . As a consequence of the decoupling of hard-collinear modes, the SCET<sub>II</sub> soft fields are dressed by soft Wilson lines. For the  $\bar{q}_s[\dots]h_v$  bilinear they combine to the finite-distance Wilson line  $Y(x, y)$  introduced in (4.6). The relation of this finite-distance Wilson line  $Y(vn_-, 0)$  that appears in (4.1), (4.2) to the infinite-distance Wilson lines defined above can be seen from the identity

$$\bar{q}_s(vn_-)Y(vn_-, 0)h_v(0) = \left[ \bar{q}_s(vn_-)\bar{Y}_{q^+}(vn_-)\bar{Y}_{\text{QCD}^+}(vn_-) \right] \left[ \bar{Y}_{\text{QCD}^+}^\dagger(0)\bar{Y}_{q^+}^\dagger(0)h_v(0) \right]. \quad (\text{A.26})$$

These soft Wilson lines are necessary to maintain invariance of the non-local soft operators under soft QCD and QED gauge transformations.

## A.2 Renormalization conventions

The convention of the operator renormalization follows [26]. The renormalization condition of the matrix element of an operator  $\mathcal{O}_P$  with a suitable choice of external states denoted by  $\langle \dots \rangle$  is given as

$$\langle \mathcal{O}_P(\{\phi_{\text{ren}}\}, \{g_{\text{ren}}\}) \rangle_{\text{ren}} = \sum_Q Z_{PQ} \prod_{\phi \in Q} Z_\phi^{1/2} \prod_{g \in Q} Z_g \langle \mathcal{O}_{Q, \text{bare}}(\{\phi_{\text{ren}}\}, \{g_{\text{ren}}\}) \rangle. \quad (\text{A.27})$$

Here  $\phi_{\text{ren}}$  and  $g_{\text{ren}}$  denote the renormalized fields and parameters, such as coupling constant or masses, out of which the operator  $\mathcal{O}_Q$  is composed. The mixing of operators  $\mathcal{O}_Q$  into  $\mathcal{O}_P$  is given by the corresponding entries  $Z_{PQ} = (\mathbf{Z})_{PQ}$  of the renormalization matrix of the operators. The anomalous dimension matrix  $\mathbf{\Gamma}$  is defined as

$$\mathbf{\Gamma} = - \left( \frac{d}{d \ln \mu} \mathbf{Z} \right) \mathbf{Z}^{-1} = \mathbf{Z} \frac{d}{d \ln \mu} \mathbf{Z}^{-1}, \quad (\text{A.28})$$

which implies renormalization group equations for the operators and Wilson coefficients as

$$\frac{d}{d \ln \mu} \mathcal{O}_P = - \sum_Q \Gamma_{PQ} \mathcal{O}_Q, \quad \frac{d}{d \ln \mu} C_P = \sum_Q \Gamma_{QP} C_Q. \quad (\text{A.29})$$

Operators in SCET depend on continuous variables such as light-cone positions or their Fourier-conjugates, the momentum fractions. These are included in the index  $P$ . When necessary, the sums in the above equations should therefore be understood as convolutions either in position or in momentum space.

The renormalization condition of the one-loop matrix element of an operator  $\mathcal{O}_P$  is determined from

$$\text{finite} = \langle \mathcal{O}_{P,\text{bare}} \rangle_{1\text{-loop}} + \sum_Q \left[ \delta Z_{PQ} + \delta_{PQ} \left( \frac{1}{2} \sum_{\phi \in P} \delta Z_\phi + \sum_{g \in P} \delta Z_g \right) \right] \langle \mathcal{O}_{Q,\text{bare}} \rangle_{\text{tree}}, \quad (\text{A.30})$$

where at one-loop the renormalization constants are expanded as  $Z_{PQ} = \delta_{PQ} + \delta Z_{PQ}$  and  $Z_i = 1 + \delta Z_i$  for  $i = \phi, g$ .

### A.3 SCET<sub>I</sub> renormalization

The one-loop anomalous dimension of the SCET<sub>I</sub> operators  $\mathcal{O}_{9,10}$  and  $\mathcal{O}_{\bar{9},\bar{10}}$  of section 3.1 can be obtained by adapting [26, 34, 37] to the case of QED. These operators contain two collinear sectors and one soft sector, the latter consisting of the heavy quark field  $h_v$ . For example, in  $\mathcal{O}_{9,10}$ , the collinear sector in  $n_+$  direction comprises the light quark field  $\chi_C$  and the lepton field  $\ell_C$ , thus representing an  $F = 2$  operator of the form considered in [26], whereas the second collinear sector (called anti-collinear) in the  $n_-$  direction contains the single anti-lepton  $\ell_{\bar{C}}$ . The one-loop diagrams that determine the renormalization constant, fall into two classes: *i*) with soft photon exchange between all four external lines<sup>17</sup> and *ii*) from collinear photon exchange that is restricted to each collinear sector separately. The dependence of the soft and collinear contributions on the infrared regulator cancels in their sum.

The SCET<sub>I</sub> operators do not mix due to their particular Dirac structure. Besides the cusp part of the anomalous dimension given in (3.12), the remainder of the QED part of the one-loop anomalous dimension in (3.14) reads

$$\begin{aligned} \gamma_i(x, y) = & \delta(x - y) \left[ Q_\ell^2(4 \ln x - 6) + Q_\ell Q_q 4 \ln \bar{x} + Q_q^2(4 \ln \bar{x} - 5) \right] \\ & + 4Q_\ell Q_q \left[ \theta(x - y) \frac{\bar{x}}{y} \left( \left[ \frac{1}{x - y} \right]_+ - 1 \right) + \theta(y - x) \frac{x}{y} \left( \left[ \frac{1}{y - x} \right]_+ - 1 \right) \right]. \end{aligned} \quad (\text{A.31})$$

We use bar-notation for momentum fractions,  $\bar{x} \equiv 1 - x$  etc. The plus distribution is defined as

$$[f(x, y)]_+ = f(x, y) - \delta(x - y) \int_0^1 dz f(z, y). \quad (\text{A.32})$$

This result can be obtained from [26]. However, there the  $N$ -jet operator does not contain soft fields. Introducing the heavy quark field as a possible building block modifies the soft, but not the collinear one-loop contribution. Since soft loops do not change the momentum fraction of the collinear fields, only the part proportional to  $\delta(x - y)$  is modified. For diagrams with soft photon exchange with the soft heavy quark the coefficient of the cusp logarithm is one half of that for soft loops connecting two different collinear directions, and one has to replace  $s_{ij} \rightarrow \mu(n-v)(n+p_j)$ . In addition, the colour generators are replaced by appropriate electric charge factors and one has to contract spinor indices according to

<sup>17</sup>Soft photon exchange within a single collinear direction vanishes.

the definition of the operator. It is permitted to use four-dimensional identities to reduce the basis of Dirac matrices. We also checked this procedure by explicit computation of the anomalous dimension of the SCET<sub>I</sub> operators without using results of [26].

The general solution of the RGE (3.11) of the hard functions due to the cusp anomalous dimension, neglecting the running of  $\alpha_{\text{em}}$ , can be written as

$$\frac{H_i(u, \mu)}{H_i(u, \mu_b)} = \left(\frac{m_{B_q}}{\mu_b}\right)^{a_\Gamma} \exp \left[ - \int_{\alpha_s(\mu_b)}^{\alpha_s(\mu)} d\alpha_s \frac{\Gamma_{\text{cusp}}^I(\alpha_s, \alpha_{\text{em}})}{\beta_s(\alpha_s)} \int_{\alpha_s(\mu_b)}^{\alpha_s} \frac{d\alpha'_s}{\beta_s(\alpha'_s)} \right], \quad (\text{A.33})$$

with

$$a_\Gamma = \int_{\alpha_s(\mu_b)}^{\alpha_s(\mu)} d\alpha_s \frac{\Gamma_{\text{cusp}}^I(\alpha_s, \alpha_{\text{em}})}{\beta_s(\alpha_s)} \quad (\text{A.34})$$

and  $\beta_s(\alpha_s)$  the beta function of the strong coupling as defined in (A.3).

#### A.4 SCET<sub>II</sub> renormalization

The regularization of UV and IR divergences in SCET<sub>II</sub> is not as simple as in SCET<sub>I</sub>. First we note that the presence of the lepton mass in the collinear and anti-collinear contributions in figure 4(b) and figure 4(c) does not regularize all IR divergences. We therefore use an IR regulator inspired by introducing an off-shellness for external lines in corresponding diagrams in SCET<sub>I</sub> before performing the decoupling transformation. In the diagrams with soft photon exchange in figure 4(a), the IR regulator has to be introduced by modifying the  $i0^+$  prescription in a manner consistent with SCET<sub>I</sub>, i.e. the appropriate Wilson lines are regularized by a parameter related to the off-shell momentum  $p_\ell^2$  of the original hard-collinear fields before the decoupling of the soft modes. This implies a regulator in the propagators that originate from soft Wilson lines  $Y_\pm$  in the following way:

$$\begin{aligned} \text{diagram 3 :} & \quad [n_+\ell - i0^+] \rightarrow [n_+\ell - \delta_{\bar{\ell}} - i0^+] \\ & \quad [n_-\ell - i0^+] \rightarrow [n_-\ell - \delta_\ell - i0^+] \\ \text{diagram 4 :} & \quad [n_+\ell + i0^+] \rightarrow [n_+\ell + \delta_{\bar{\ell}} + i0^+] \\ & \quad [n_-\ell + i0^+] \rightarrow [n_-\ell + \delta_\ell + i0^+] \\ \text{diagram 5 :} & \quad [n_+\ell - i0^+] \rightarrow [n_+\ell - \delta_{\bar{\ell}} - i0^+] \\ \text{diagram 6 :} & \quad [n_+\ell - i0^+][n_-\ell + i0^+] \rightarrow [n_+\ell - \delta_{\bar{\ell}} - i0^+][n_-\ell + \delta_\ell + i0^+], \end{aligned} \quad (\text{A.35})$$

where  $\ell$  denotes the loop momentum, and  $\delta_\ell \equiv p_\ell^2/n_+p_\ell$  and  $\delta_{\bar{\ell}} \equiv p_{\bar{\ell}}^2/n_-p_{\bar{\ell}}$ . The cancellation of the IR regulators then takes place between the soft-photon exchange diagrams 3, 4 and 5 of figure 4(a). Another cancellation occurs between diagram 6 of figure 4(a) and the collinear/anti-collinear diagrams in figure 4(b) and figure 4(c).

The results for the renormalization constants entering (4.14) are

$$\begin{aligned} Z_s^{\text{QED}}(\omega, \omega') &= \delta(\omega' - \omega) \left[ Q_q^2 \left( \frac{1}{\epsilon^2} + \frac{1}{\epsilon} \ln \frac{\mu^2}{\omega^2} - \frac{1}{\epsilon} \frac{5}{2} \right) + 2Q_\ell Q_q \left( \frac{1}{\epsilon^2} + \frac{1}{\epsilon} \ln \frac{\mu^2}{\omega^2} \right) \right] \\ &\quad - Q_q(Q_q + Q_\ell) \frac{2}{\epsilon} F(\omega, \omega') \end{aligned} \quad (\text{A.36})$$

for the QED contribution, while the universal QCD part coincides with the well-known expression [38]

$$Z_s^{\text{QCD}}(\omega, \omega') = \delta(\omega' - \omega) C_F \left[ \frac{1}{\epsilon^2} + \frac{1}{\epsilon} \ln \frac{\mu^2}{\omega^2} - \frac{1}{\epsilon} \frac{5}{2} \right] - \frac{2C_F}{\epsilon} F(\omega, \omega'). \quad (\text{A.37})$$

The common function  $F$  is

$$F(\omega, \omega') \equiv \left[ \frac{\theta(\omega - \omega')}{(\omega - \omega')} + \frac{\omega \theta(\omega' - \omega)}{\omega'(\omega' - \omega)} \right]_+. \quad (\text{A.38})$$

The plus distribution for the soft convolutions is defined as

$$\int_0^\infty d\omega' [f(\omega, \omega')]_+ g(\omega') = \int_0^\infty d\omega' f(\omega, \omega') [g(\omega') - g(\omega)]. \quad (\text{A.39})$$

The collinear renormalization constants in (4.41) and (4.42) are

$$Z_{\bar{c}}^{(1)} = -\frac{\alpha_{\text{em}}}{4\pi} Q_\ell^2 \left[ \frac{1}{\epsilon^2} + \frac{1}{\epsilon} \ln \frac{-\mu^2}{(n_- p_{\bar{c}})(n_+ p_c)} + \frac{3}{2\epsilon} \right], \quad (\text{A.40})$$

$$Z_{m_\chi}^{c,(1)} = -\frac{\alpha_{\text{em}}}{4\pi} Q_\ell^2 \left[ \frac{1}{\epsilon^2} + \frac{1}{\epsilon} \ln \frac{-\mu^2}{(n_- p_{\bar{c}})(n_+ p_c)} - \frac{3}{2\epsilon} \right], \quad (\text{A.41})$$

$$Z_{\mathcal{A}_\chi}^{c,(1)}(w, w') = -\frac{\alpha_{\text{em}}}{4\pi} Q_\ell^2 \left\{ \left[ \frac{1}{\epsilon^2} + \frac{1}{\epsilon} \ln \frac{-\mu^2}{w^2(n_- p_{\bar{c}})(n_+ p_c)} + \frac{3}{2\epsilon} \right] \delta(w - w') + \frac{1}{\epsilon} \gamma_{\mathcal{A}_\chi, \mathcal{A}_\chi}(w, w') \right\}. \quad (\text{A.42})$$

We note that  $Z_{m_\chi}^{c,(1)}$  receives also a contribution due to the renormalization of the lepton mass appearing in the definition  $\mathcal{J}_{m_\chi}^{A1}$ . The anomalous dimension  $\gamma_{\mathcal{A}_\chi, \mathcal{A}_\chi}$ ,

$$\frac{\gamma_{\mathcal{A}_\chi, \mathcal{A}_\chi}(w, w')}{2} = -w + \theta[w' - \bar{w}] \frac{w' - \bar{w}}{w'} + \theta[\bar{w} - w'] \frac{1}{w'} \left( \frac{w'}{\bar{w}} - w - w' \right), \quad (\text{A.43})$$

can be extracted from the results given in [26, 37], and is due to the two diagrams in figure 4(c) with  $A_{c\perp}$  in the loop.

## B SCET operators

In this appendix, we discuss the construction of the SCET<sub>II</sub> operator basis for the power-enhanced correction to  $B_q \rightarrow \mu^+ \mu^-$ . We first note that classifying the operators in SCET<sub>I</sub>  $\rightarrow$  SCET<sub>II</sub> matching is substantially more complicated than classifying SCET<sub>I</sub> operators in the matching of the effective weak Hamiltonian to SCET<sub>I</sub>. The reason is that although SCET<sub>I</sub> operators are non-local, the non-locality of collinear fields is related to the  $\mathcal{O}(1)$  inverse derivative  $1/(in_+ \partial)$ . For a SCET<sub>I</sub> operator that scales as  $\lambda^n$ , the power of  $n$  can therefore never be smaller than the scaling of the products of fields contained in the operator. In SCET<sub>I</sub>  $\rightarrow$  SCET<sub>II</sub> matching, however, integrating out the hard-collinear modes leads to non-locality of soft fields related to  $1/(in_- \partial_s) \sim 1/\lambda^2$  from the hard-collinear propagators, hence the above statement does not hold. Part of the construction

of the operator basis therefore consists in constraining the number of times such inverse derivatives can occur in the operator. A systematic procedure for constructing the SCET<sub>II</sub> operator basis when there is a single collinear and a soft sector, has been described in [25]. We adapt this procedure developed for heavy-to-light form factors to the present situation. Here we are interested in SCET<sub>II</sub> operators with the scaling  $\lambda^{10}$  of the power-enhanced  $B_q \rightarrow \mu^+ \mu^-$  amplitude, which can arise in the matching of  $Q_{9,10}$  and  $Q_7$ .

We first consider the possibility of a SCET<sub>I</sub> operator without hard-collinear or hard-anti-collinear fields. Since the collinear, anti-collinear and soft fields do not interact, such operators must have the flavour quantum numbers of the external state to have non-vanishing overlap. The operator with the smallest  $\lambda$  scaling is  $\bar{q}_s \Gamma_s h_v \bar{\ell}_c \Gamma \ell_{\bar{c}} \sim \lambda^{10}$ . However, the chiral structure of the effective weak Hamiltonian implies that the operator has overlap with a pseudoscalar  $B$  meson only after a chirality flip by the lepton mass term. Since in matching to SCET<sub>I</sub> the  $\lambda^2$ -suppression cannot be compensated by  $1/(in_- \partial_s) \sim 1/\lambda^2$ , this results in a  $\lambda^{12}$  operator, which is, in fact, the operator (7.2) that contributes to the standard non-enhanced  $B_q \rightarrow \mu^+ \mu^-$  amplitude in the absence of QED effects. We conclude that any SCET<sub>I</sub> operator relevant to the power-enhanced amplitude must contain at least one hard-collinear field, which leads to non-trivial SCET<sub>I</sub>  $\rightarrow$  SCET<sub>II</sub> matching.

Any relevant SCET<sub>I</sub> operator must contain the heavy quark field  $h_v$ , at least one hard-collinear field as concluded above, and at least one anti-collinear or anti-hard-collinear field. As always, the corresponding operators with (hard-) collinear and anti(-hard)-collinear modes interchanged also exist. The following discussion is phrased for the first case and holds with obvious modifications for the second. With the power counting of fields as given in table 1, the field content of the operators with the smallest  $\lambda$  scaling is

$$\begin{aligned}
 \lambda^5 : & \quad \bar{\chi}_{hc} h_v \mathcal{A}_{\bar{hc}\perp}, \\
 \lambda^6 : & \quad 1) \bar{\chi}_{hc} h_v \bar{\ell}_{hc} \ell_{\bar{hc}}, \quad 2) \bar{\chi}_{hc} h_v \mathcal{A}_{\bar{hc}\perp}, \quad 3) \bar{\chi}_{hc} h_v \mathcal{A}_{\bar{c}\perp}, \\
 & \quad 4) \bar{\chi}_{hc} h_v \mathcal{A}_{\bar{hc}\perp} \times \{ \mathcal{A}_{hc\perp}, \mathcal{A}_{\bar{hc}\perp} \},
 \end{aligned}
 \tag{B.1}$$

and so on. For every operator there is another operator with (hard-) collinear and anti (hard-) collinear fields exchanged. We then need to determine the  $\lambda$  suppression factors incurred when the hard-collinear fields convert into soft and collinear fields through SCET<sub>I</sub> time-ordered products. From the discussion below it will become clear that operators that scale as  $\lambda^7$  cannot match to SCET<sub>II</sub> operators with  $\lambda^{10}$  scaling.

We begin with the derivation of the SCET<sub>II</sub> operator basis for the matching of the semi-leptonic operators  $Q_{9,10}$  in the effective weak Hamiltonian, which is the case discussed in the main text. We first note that the operator with field content  $\bar{\chi}_{hc} h_v \mathcal{A}_{\bar{hc}\perp}$  cannot be generated at  $\mathcal{O}(\lambda^5)$  from the operators  $Q_{9,10}$ . To obtain  $\bar{\chi}_{hc} h_v \mathcal{A}_{\bar{hc}\perp}$  from hard matching to SCET<sub>I</sub> the lepton fields in  $Q_{9,10}$  must be contracted and a anti-hard-collinear photon field attached. For the vectorial operators  $Q_{9,10}$  this results in  $\partial^\mu A_\mu$  or  $m_l \sigma^{\mu\nu} F_{\mu\nu}$ , which both lead to SCET<sub>I</sub> operator that count as  $\lambda^7$ . We will come back to the  $\mathcal{O}(\lambda^5)$  operator below, where we briefly discuss the operator basis in the matching of  $Q_7$ , but for now we proceed with the  $\mathcal{O}(\lambda^6)$  operators in the above list.

The operators 2) and 3) can immediately be discarded, since the collinear (case 2)) or anti-collinear (case 3)) field content does not have the correct lepton flavour number to overlap with the  $\ell^+\ell^-$  state. For example, the collinear antiquark field  $\bar{\chi}_c$  can never turn into a collinear state with lepton number one. In case of operator 4) adding more fields to the  $\mathcal{O}(\lambda^5)$  operator does not allow one to overcome the above suppression. This leaves the operator  $\bar{\chi}_{hc} h_v \bar{\ell}_{hc} \ell_{\bar{c}}$  as the only candidate  $\mathcal{O}(\lambda^6)$  SCET<sub>I</sub> operator.

It is easy to see that this operator does contribute at  $\mathcal{O}(\lambda^{10})$  to the amplitude in question. At tree-level, this involves the SCET<sub>I</sub>  $\rightarrow$  SCET<sub>II</sub> matching relations

$$\ell_{hc} \xrightarrow{\lambda} \ell_c, \quad \ell_{\bar{hc}} \xrightarrow{\lambda} \ell_{\bar{c}}, \quad \chi_{hc} \xrightarrow{\lambda^2} \frac{1}{in_{-\partial}} Q_q e A_{c\perp} \frac{\eta_{-}}{2} q_s \quad (\text{B.2})$$

and their hermitian conjugates. The last relation is taken from section 3.2.1 of [25], and describes the splitting of the hard-collinear quark field into a collinear photon and a soft quark.<sup>18</sup> The power of  $\lambda$  indicated above the arrow gives the  $\lambda$  suppression from the left- to the right-hand side as a consequence of SCET<sub>I</sub>  $\rightarrow$  SCET<sub>II</sub> matching. Thus, the  $\mathcal{O}(\lambda^6)$  SCET<sub>I</sub> operator turns into a  $\mathcal{O}(\lambda^{10})$  SCET<sub>II</sub> operator with the field content of  $\tilde{\mathcal{J}}_{A\chi}^{B1}$  defined in (4.2). The present discussion in fact corresponds to the matching shown in the SCET<sub>I</sub> column of figure 3 and shows that one must obtain an inverse derivative factor  $1/in_{-\partial} \sim 1/\lambda^2$ , which corresponds to the factor  $1/\omega$  in the tree-level matching coefficient (4.53). Another way to obtain a  $\mathcal{O}(\lambda^{10})$  SCET<sub>II</sub> operator consists of

$$\ell_{hc} \xrightarrow{1} \ell_{hc}, \quad \ell_{\bar{hc}} \xrightarrow{\lambda} \ell_{\bar{c}}, \quad \chi_{hc} \xrightarrow{\lambda} \frac{1}{in_{-\partial}} Q_q e A_{hc\perp} \frac{\eta_{-}}{2} q_s \quad (\text{B.3})$$

followed by the fusion

$$\bar{\ell}_{hc} + A_{hc\perp} \xrightarrow{\lambda^2} m_\ell \bar{\ell}_c \quad (\text{B.4})$$

through a hard-collinear one-loop diagram.

To find the basis of the SCET<sub>II</sub> operators, these considerations have to be generalized to arbitrary loop order. To this end we follow [25] and classify all possible building blocks according to their scaling in  $\lambda$ , canonical dimension  $d$  and transformation property under type-III reparametrization transformations

$$n_{-} \rightarrow \alpha n_{-}, \quad n_{+} \rightarrow \alpha^{-1} n_{+}, \quad (\text{B.5})$$

of the reference vectors, which must be preserved in the matching due to reparametrization symmetry of SCET. Accounting for the flavour quantum numbers of the initial and final state in the  $B_q \rightarrow \ell^+\ell^-$  process, we can write the possible SCET<sub>II</sub> operators in the form

$$\mathcal{O}^{(\alpha)} = [\text{objects}] \times (\bar{q}_s \Gamma_s^{(\alpha)} h_v) (\bar{\ell}_c \Gamma_c \ell_{\bar{c}}), \quad \alpha = \pm 1, 0 \quad (\text{B.6})$$

with Dirac structures given in table 4. The ‘‘objects’’ can be chosen from the factors, operators and field products listed in table 5.

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<sup>18</sup>For simplicity of notation, all collinear and soft Wilson lines are set to unity here. They can be restored unambiguously by making the operator invariant under the SCET<sub>II</sub> gauge symmetries.

Class	Elements	$\alpha$
$\Gamma_c$	$1, \gamma_5, \gamma_\perp^\mu$	0
$\Gamma_s^{(0)}$	$1, \gamma_5, \gamma_\perp^\mu, \gamma_\perp^\mu \gamma_5, \sigma_\perp^{\mu\nu}, \not{n}_+ \not{n}_-$	0
$\Gamma_s^{(+1)}$	$\not{n}_-, \not{n}_- \gamma_5, \not{n}_- \gamma_\perp^\mu$	1
$\Gamma_s^{(-1)}$	$\not{n}_+, \not{n}_+ \gamma_5, \not{n}_+ \gamma_\perp^\mu$	-1

**Table 4.** Dirac matrices and their scaling  $\alpha^n$  under “boost” or type-III RPI transformations.

$\mathcal{O}_A$	Object	$\lambda$	$\alpha$	$d$
I	$(n_- \partial_s)^{-1}$	-2	-1	-1
II	$(n_+ \partial_c)^{-1}$	0	+1	-1
III	$\partial_\perp, A_{c\perp}, A_{s\perp}, m_\ell, m_q$	2	0	1
IV	$n_+ \partial_s, n_+ A_s$	2	-1	1
V	$n_- \partial_c, n_- A_c$	4	+1	1
VI	$\bar{f}_c \frac{\not{n}_\pm}{2} \Gamma_c f_c$	4	-1	3
VII	$\bar{f}_s \Gamma_s^{(-1)} f_s$	6	-1	3
VIII	$\bar{f}_s \Gamma_s^{(+1)} f_s$	6	+1	3
IX	$\bar{f}_s \Gamma_s^{(0)} f_s$	6	0	3

**Table 5.** Building blocks that can be added to (B.6). The column  $\lambda$  denotes the  $\lambda$  scaling of the object,  $\alpha$  its scaling under type-III reparametrizations, and  $d$  its canonical dimension. The Dirac matrices  $\Gamma$  are defined in table 4. The counting refers to matching of the hard-collinear sector.

The SCET<sub>I</sub> operator  $\bar{\chi}_{hc} h_v \bar{\ell}_{hc} \ell_{\bar{hc}}$ , which is generated in the matching of  $Q_{9,10}$  to SCET<sub>I</sub>, has  $d = 6$  and boost scaling  $\alpha = 0$ . Requiring these to match those of (B.6), allows us to solve for  $n_1$  and  $n_2$ , the number of times the objects I and II appear in (B.6), in the form

$$n_1 = \frac{n_3 + \alpha}{2} + n_5 + n_6 + n_7 + 2n_8 + \frac{3}{2}n_9, \tag{B.7}$$

$$n_2 = \frac{n_3 - \alpha}{2} + n_4 + 2n_6 + 2n_7 + n_8 + \frac{3}{2}n_9. \tag{B.8}$$

Eliminating  $n_1, n_2$  in the expression for the  $\lambda$  scaling  $[\lambda]$  of (B.6), we obtain

$$[\lambda] = 10 - \alpha + n_3 + 2n_4 + 2n_5 + 2n_6 + 4n_7 + 2n_8 + 3n_9 \tag{B.9}$$

in terms of the number of times  $n_i$  the objects III to IX appear in (B.6). Since we are looking for solutions with  $[\lambda] = 10$ , the following cases arise:

- $\alpha = -1$ : since all  $n_i$  are positive and non-negative integers, solutions to (B.9) have at least  $[\lambda] = 12$ . This case does not contribute to the power-enhanced amplitude.

- $\alpha = 0$ : all  $n_i$  must be zero for a  $[\lambda] = 10$  solution.
- $\alpha = +1$ : the solution  $[\lambda] = 9$ , and  $n_i = 0$  for all  $n_i$  is excluded, because  $n_{1,2}$  must be integer. This leaves the  $[\lambda] = 10$  solution with  $n_3 = 1$ ,  $n_i = 0$  for  $i = 4, \dots, 9$ . In this case further  $n_1 = 1$ ,  $n_2 = 0$ .

Thus we identified the following two  $\mathcal{O}(\lambda^{10})$  SCET<sub>II</sub> operators:

$$\mathcal{O}^{(0)} = [\bar{q}_s \Gamma_s^{(0)} h_v] [\bar{\ell}_c \Gamma_c \ell_{\bar{c}}], \tag{B.10}$$

$$\mathcal{O}^{(+1)} = \frac{\mathcal{O}_{\text{III}}}{in_- \partial_s} [\bar{q}_s \Gamma_s^{(+1)} h_v] [\bar{\ell}_c \Gamma_c \ell_{\bar{c}}]. \tag{B.11}$$

Note that this analysis implicitly assumed that in the anti-collinear direction the SCET<sub>I</sub>  $\rightarrow$  SCET<sub>II</sub> matching was trivial,  $\ell_{hc}^- \rightarrow \ell_{\bar{c}}^-$ . This is justified, since any non-trivial matching would lead to further  $\lambda$  suppression.

At this point we have to invoke helicity conservation, which implies that for  $\mathcal{O}^{(0)}$  only  $\Gamma_c = \gamma_{\perp}^{\mu}$  has a non-vanishing matching coefficient to any order in  $\alpha_{\text{em}}$ . However, this Dirac structure cannot contribute to the decay rate of a (pseudo-) scalar  $B$ -meson, which excludes this operator.

In the case of  $\mathcal{O}^{(+1)}$ , the helicity structure of the lepton current depends on  $\mathcal{O}_{\text{III}}$ . For  $\mathcal{O}_{\text{III}} = m_{\ell}$  helicity conservation implies  $\Gamma_c \in \{1, \gamma_5\} \equiv \Gamma^{S/P}$ , and the operator has a non-vanishing matrix element for the  $B_q \rightarrow \ell^+ \ell^-$  transition. For all other members of object class III, only  $\Gamma_c = \gamma_{\perp}^{\mu}$  is allowed by helicity conservation. However, only  $\mathcal{O}_{\text{III}} = A_{c\perp}$  has a non-vanishing matrix element for the (pseudo-) scalar  $B$ -meson decay. In summary, we find the following SCET<sub>II</sub> operators with  $\lambda^{10}$  suppression:

$$\mathcal{O}_{-}^{A1} = m_{\ell} \left[ \frac{1}{in_- \partial_s} \bar{q}_s \not{\ell}_- \Gamma^{S/P} h_v \right] [\bar{\ell}_c \Gamma^{S/P} \ell_{\bar{c}}], \tag{B.12}$$

$$\mathcal{O}_{-}^{B1} = T_{\mu\nu} \left[ \frac{1}{in_- \partial_s} \bar{q}_s \not{\ell}_- \Gamma^{S/P} h_v \right] [\mathcal{A}_{c\perp}^{\nu} \bar{\ell}_c \gamma_{\perp}^{\mu} \ell_{\bar{c}}], \tag{B.13}$$

with  $\Gamma^{S/P} \in \{1, \gamma_5\}$  and  $T_{\mu\nu} \in \{g_{\mu\nu}^{\perp}, \varepsilon_{\mu\nu}^{\perp}\}$ . When the Wilson lines and non-localities are restored these two operators correspond to (4.1) and (4.2) in the main text. The present analysis also implies that to any order in the matching, only a single power of  $1/in_- \partial_s$  can appear, modified by logarithms, together with the leading-twist  $B$ -meson LCDA. Hence, similar to the case of heavy-to-light form factors discussed in [25], the power-enhanced correction from the operators  $Q_{9,10}$  can be expressed in terms of the inverse moment  $\lambda_B$  and the logarithmic moments, (8.1) and (8.2), respectively. Upon exchanging the collinear and anti-collinear sectors, we obtain the corresponding two operators

$$\mathcal{O}_{+}^{\overline{A1}} = m_{\ell} \left[ \frac{1}{in_+ \partial_s} \bar{q}_s \not{\ell}_+ \Gamma^{S/P} h_v \right] [\bar{\ell}_c \Gamma^{S/P} \ell_{\bar{c}}], \tag{B.14}$$

$$\mathcal{O}_{+}^{\overline{B1}} = T_{\mu\nu} \left[ \frac{1}{in_+ \partial_s} \bar{q}_s \not{\ell}_+ \Gamma^{S/P} h_v \right] [\mathcal{A}_{\bar{c}\perp}^{\nu} \bar{\ell}_c \gamma_{\perp}^{\mu} \ell_{\bar{c}}]. \tag{B.15}$$

The all-order analysis of the  $\mathcal{O}(\lambda^5)$  operator  $\bar{\chi}_{hc} h_v \mathcal{A}_{\bar{hc}\perp}$  from (B.1), which arises in SCET<sub>I</sub> from the tree-level matching of  $Q_7$ , is substantially more complicated. This is because the operator involves a hard-collinear quark and an anti-hard-collinear photon field,

which both must undergo not-trivial SCET<sub>I</sub> → SCET<sub>II</sub> matching to obtain an operator that overlaps with the external state of the  $B_q \rightarrow \ell^+ \ell^-$  decay. Since the collinear and anti-collinear sector interact with the same soft fields, the analysis above must be extended to keep track from which sector a soft field and its corresponding non-localities arises. In particular, since the collinear final state must have lepton number +1, and the hard-collinear sector of the SCET<sub>I</sub> does not carry lepton number, likewise for the anti-collinear direction, the SCET<sub>II</sub> operator must necessarily contain a soft lepton pair, which is generated in SCET<sub>I</sub> → SCET<sub>II</sub> matching. We are therefore led to consider operators of the form

$$\mathcal{O}(s, t, u) = [\text{objects}] \times [\bar{q}_s(s n_{\pm}) \Gamma_s h_v(0)] [\bar{\ell}_s(t n_+) \Gamma'_s \ell_s(u n_-)] [\bar{\ell}_c(0) \Gamma_c \ell_{\bar{c}}(0)], \quad (\text{B.16})$$

where here we kept the position arguments to indicate the non-locality of the fields. Not counting the “objects”, this operator has dimension  $d = 9$  and  $[\lambda] = 16$ , while the initial operator has  $d = 5$ . For this operator to contribute to the power-enhanced amplitude, it must contain a number of inverse derivatives  $1/(in_- \partial_s)$  acting on soft fields that arise from hard-collinear matching, or  $1/(in_+ \partial_s)$  from the matching of the anti-hard-collinear sector.

That this possibility is indeed realized can be seen from tree-level matching. The relevant splitting of the hard-collinear quark field is (B.3) above, followed by

$$A_{hc\perp}^\mu \xrightarrow{\lambda^2} \frac{Q_\ell e}{(in_+ \partial_c)(in_- \partial_s)} \left\{ \bar{\ell}_c \gamma_\perp^\mu \ell_s + \text{h.c.} \right\} \quad (\text{B.17})$$

from section 3.2.2 of [25]. For the splitting of the anti-hard-collinear photon, (B.17) adapted to the anti-collinear sector applies. All together this provides a  $\lambda^5$  suppression of the initial operator, resulting in  $[\lambda] = 10$  and the correct dimension. Putting everything together, we obtain

$$\bar{\chi}_{hc} h_v \mathcal{A}_{hc\perp}^- \rightarrow \bar{q}_s i \overleftarrow{in}_- \partial_s^{-1} h_v \left[ \frac{1}{(in_+ \partial_c)(in_- \partial_s)} \bar{\ell}_c \ell_s \right] \left[ \frac{1}{(in_- \partial_{\bar{c}})(in_+ \partial_s)} \bar{\ell}_s \ell_{\bar{c}} \right]. \quad (\text{B.18})$$

The QED one-loop calculation of the matrix element of  $Q_7$  performed in [21] corresponds to evaluating the one-loop SCET<sub>II</sub> matrix element of this operator, obtained by contracting the soft lepton fields. The endpoint divergence in the one-loop integral found there is a consequence of the large number of inverse derivative operators in (B.18). We performed a general analysis of SCET<sub>I</sub> → SCET<sub>II</sub> also for  $Q_7$  and find that the above operator together with those discussed above for  $Q_{9,10}$  are the only SCET<sub>II</sub> operators relevant to the power-enhanced  $B_q \rightarrow \ell^+ \ell^-$  amplitude.

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