

Two-neutrino  $\beta\beta$  decay of  $^{136}\text{Xe}$  to the first excited  $0^+$  state in  $^{136}\text{Ba}$ L. Jokiniemi<sup>a,\*</sup>, B. Romeo<sup>b</sup>, C. Brase<sup>c,d,e</sup>, J. Kotila<sup>f,g,h</sup>, P. Soriano<sup>i,j</sup>, A. Schwenk<sup>c,d,e</sup>, J. Menéndez<sup>i,j</sup><sup>a</sup> TRIUMF, 4004 Wesbrook Mall, Vancouver, BC V6T 2A3, Canada<sup>b</sup> Donostia International Physics Center, 20018 San Sebastián, Spain<sup>c</sup> Technische Universität Darmstadt, Department of Physics, 64289 Darmstadt, Germany<sup>d</sup> ExtreMe Matter Institute EMMI, GSI Helmholtzzentrum für Schwerionenforschung GmbH, 64291 Darmstadt, Germany<sup>e</sup> Max-Planck-Institut für Kernphysik, Saupfercheckweg 1, 69117 Heidelberg, Germany<sup>f</sup> Finnish Institute for Educational Research, University of Jyväskylä, P.O. Box 35, Jyväskylä FI-40014, Finland<sup>g</sup> Department of Physics, University of Jyväskylä, P.O. Box 35, Jyväskylä FI-40014, Finland<sup>h</sup> Center for Theoretical Physics, Sloane Physics Laboratory, Yale University, New Haven, CT 06520-8120, USA<sup>i</sup> Departament de Física Quàntica i Astrofísica, Universitat de Barcelona, 08028 Barcelona, Spain<sup>j</sup> Institut de Ciències del Cosmos, Universitat de Barcelona, 08028 Barcelona, Spain

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## ABSTRACT

We calculate the nuclear matrix element for the two-neutrino  $\beta\beta$  decay of  $^{136}\text{Xe}$  into the first excited  $0^+$  state of  $^{136}\text{Ba}$ . We use different many-body methods: the quasiparticle random-phase approximation (QRPA) framework, the nuclear shell model, the interacting boson model (IBM-2), and an effective field theory (EFT) for  $\beta$  and  $\beta\beta$  decays. While the QRPA suggests a decay rate at the edge of current experimental limits, the shell model points to a half-life about two orders of magnitude longer. The predictions of the IBM-2 and the EFT lie in between, and the latter provides systematic uncertainties at leading order. An analysis of the running sum of the nuclear matrix element indicates that subtle cancellations between the contributions of intermediate states can explain the different theoretical predictions. For the EFT, we also present results for two-neutrino  $\beta\beta$  decays to the first excited  $0^+$  state in other nuclei.

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## 1. Introduction

Two-neutrino double-beta ( $2\nu\beta\beta$ ) decay and two-neutrino double-electron capture ( $2\nu\text{ECEC}$ ) change the atomic number of a nucleus by two via emission or capture of two electrons accompanied by emission of two neutrinos. These second-order weak decays are the rarest processes observed to date, with measured half-lives exceeding  $10^{21}$  years [1]. Hence, detecting them demands monitoring tons of otherwise-stable atomic nuclei over several years.

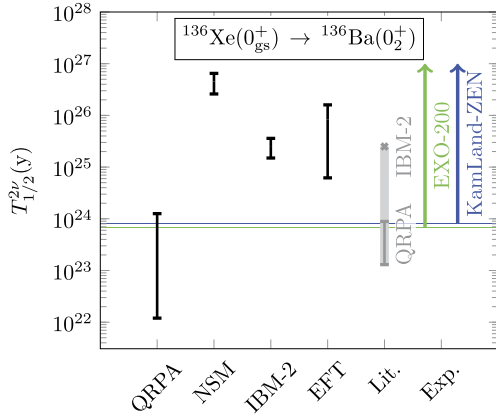
$2\nu\beta\beta$ -decay and  $2\nu\text{ECEC}$  half-lives depend on a nuclear matrix element,  $M^{2\nu}$ , which encodes information on the structure of the involved nuclei [2]. Therefore,  $2\nu\beta\beta$ -decay measurements can test

theoretical predictions of different nuclear many-body calculations of  $M^{2\nu}$ . This is valuable because the same methods can also predict the nuclear matrix elements of the neutrinoless double-beta ( $0\nu\beta\beta$ ) decay, which has not been observed yet. Detecting  $0\nu\beta\beta$  decay promises to unveil the nature of neutrinos, will establish the violation of lepton-number conservation in the laboratory and in general indicate new physics beyond the Standard Model (BSM) of particle physics [3]. Reliable  $0\nu\beta\beta$ -decay nuclear matrix elements are thus needed to extract BSM physics from measurements. However, various many-body methods currently predict matrix elements that differ by up to a factor of a few [3] and almost all calculations miss an important recently acknowledged two-nucleon operator [4–6]. Since both  $2\nu\beta\beta$  and  $0\nu\beta\beta$  decays share initial and final states, are sensitive to spin and isospin operators, and may have correlated matrix elements [7,8], tests of  $M^{2\nu}$  could help to reduce the theoretical uncertainties of  $0\nu\beta\beta$ -decay nuclear matrix elements.

However, calculating  $M^{2\nu}$  is challenging. In fact, seldom has nuclear theory been able to predict  $2\nu\beta\beta$ -decay half-lives before their measurement; calculations yield shorter half-lives than ex-

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**Fig. 1.**  $^{136}\text{Xe}$   $2\nu\beta\beta$ -decay half-life to the  $^{136}\text{Ba}$   $0_2^+$  state obtained in this work (black bars) with the QRPA, nuclear shell model (NSM), IBM-2, and EFT. The results are compared with literature values [11,15] (in gray) and experimental limits [31,32] (horizontal lines with arrows).

periment and are corrected with an *ad hoc* reduction of  $M^{2\nu}$ , usually known as “quenching” [9–11]. A similar correction is needed in Gamow-Teller (GT)  $\beta$  decays [12–15], except in *ab initio* calculations which include two-body currents and many-body correlations [16]. Unfortunately, the latter are not yet capable of reproducing  $2\nu\beta\beta$  decays, even for the lightest emitter  $^{48}\text{Ca}$  [17,18]. For other methods, if the deficiency is systematic [12–14], the quenching needed for an unmeasured  $2\nu\beta\beta$  decay can be inferred from other GT and  $2\nu\beta\beta$  decays in the same mass region [10]. Another approach is to construct an effective field theory (EFT) for  $\beta$  decays with low-energy couplings (LECs) adjusted to GT transitions, so that  $M^{2\nu}$  is predicted with theoretical uncertainties [19]. These strategies led to the predictions of the  $2\nu\beta\beta$ -decay half-life of  $^{48}\text{Ca}$  by the nuclear shell model [20], and of the  $2\nu\text{ECC}$  rate for  $^{124}\text{Xe}$  by the shell model [21], quasiparticle random-phase approximation (QRPA) [15,22] and EFT [21], in good agreement with subsequent measurements [23,24].

$2\nu\beta\beta$  decays to excited states have already been measured in  $^{100}\text{Mo}$  [1,25] and  $^{150}\text{Nd}$  [1,26]—both without a prior theoretical prediction—and are currently being explored in  $^{76}\text{Ge}$  [27,28],  $^{82}\text{Se}$  [29],  $^{130}\text{Te}$  [30], and  $^{136}\text{Xe}$  [31,32]. In this work, we predict the half-life of the  $2\nu\beta\beta$  decay of  $^{136}\text{Xe}$  to the first  $0_2^+$  excited state in  $^{136}\text{Ba}$  by several different many-body methods, following similar strategies as for  $2\nu\beta\beta$  decays to the  $0_{\text{gs}}^+$  ground state. We provide the first shell-model predictions for this decay using the same Hamiltonians as in previous  $^{136}\text{Xe}$  studies [10,33–36]. We also present EFT results with systematic theoretical uncertainties for decays to the  $0_2^+$  state following Refs. [21,37], thereby also extending the EFT calculations to  $^{136}\text{Xe}$  not included earlier. In addition, we improve previous QRPA results by using larger bases, consistent with  $0\nu\beta\beta$ -decay work [36], and we update the interacting-boson-model (IBM-2) prediction by using refined model parameters [38].

Fig. 1 summarizes our predictions, compared to previous works [11,15] and current experimental limits [31,32]. While our results are consistent with experiment, only a small part of the QRPA band lies inside the non-excluded region. In contrast, the EFT and IBM-2 favor half-lives almost an order of magnitude longer than the current experimental lower limits. Finally, the shell model suggests that detecting the decay requires improving the current sensitivities by over two orders of magnitude. These diverse predictions indicate that the  $^{136}\text{Xe}$   $2\nu\beta\beta$  decay to the  $0_2^+$  state in  $^{136}\text{Ba}$  will be a very useful test of many-body methods used to calculate  $0\nu\beta\beta$ -decay nuclear matrix elements.

## 2. Nuclear matrix element

The  $2\nu\beta\beta$ -decay and  $2\nu\text{ECC}$  nuclear matrix element is given by [2,15]

$$M^{2\nu} = \sum_k \frac{\langle 0_f^+ | \sum_a \tau_a^- \sigma_a | 1_k^+ \rangle \langle 1_k^+ | \sum_b \tau_b^- \sigma_b | 0_i^+ \rangle}{(E_k - (E_i + E_f)/2)/m_e}, \quad (1)$$

where indices  $a, b$  run over all nucleons, the isospin operator  $\tau^-$  turns neutrons into protons,  $\sigma$  is the spin operator, and the denominator involves the electron mass  $m_e$  and the energies  $E$  of the initial ( $i$ ), final ( $f$ ) and each  $k$ th intermediate  $1_k^+$  state [39,40]. The corresponding half-life

$$(T_{1/2}^{2\nu})^{-1} = G^{2\nu} g_A^4 (M^{2\nu})^2, \quad (2)$$

also depends on a well-known phase-space factor  $G^{2\nu}$  [41,42] and the axial nucleon coupling  $g_A$ . For  $^{136}\text{Xe}$ , the phase space disfavors decays to the  $0_2^+$  with respect to the  $0_{\text{gs}}^+$  by a factor  $\sim 4000$ .

From a measured half-life one can obtain the so-called effective matrix element [1]:

$$M_{\text{eff}}^{2\nu} = g_A^2 M^{2\nu}, \quad (3)$$

which carries all the information to be extracted from nuclear theory. However, our shell-model, QRPA and IBM-2 results require quenching. In these cases, we include a quenching factor  $q$  obtained matching the calculated  $M^{2\nu}$  to the experimentally recommended  $M_{\text{eff}}^{2\nu}$  value [1] for ground-state-to-ground-state decay, so that we effectively use  $M_{\text{eff}}^{2\nu} = q^2 g_A^2 M^{2\nu}$ .

## 3. Many-body methods

### 3.1. Quasiparticle random-phase approximation

The QRPA method considers the  $0^+$  ground states of the initial and final  $\beta\beta$  nuclei as QRPA vacua,  $|QRPA\rangle$ , building nuclear excitations on top of them. The  $\beta\beta$ -decay nuclear matrix elements are computed summing over intermediate states in the odd-odd nucleus [see Eq. (1)], which are obtained by performing proton-neutron (pn) QRPA diagonalizations based on the initial and final states as

$$|J_k^\pi\rangle = \sum_{pn} \left( X_{pn}^{J_k^\pi} [a_p^\dagger a_n^\dagger]_J - Y_{pn}^{J_k^\pi} [a_p^\dagger a_n^\dagger]_J \right) |QRPA\rangle, \quad (4)$$

where  $J_k^\pi$  denotes the spin-parity of the  $k$ th intermediate state with omitted projection quantum number  $M$ ,  $a^\dagger$  ( $\tilde{a}$ ) are nucleon creation (annihilation) operators, and  $X$  and  $Y$  the pnQRPA backward and forward amplitudes.

On the other hand, the first excited  $0_2^+$  state in  $^{136}\text{Ba}$  can be described in the charge-conserving (cc) QRPA formalism as a two-phonon excitation:

$$|0_{2-\text{phonon}}^+\rangle = \frac{1}{\sqrt{2}} [Q^\dagger(2_1^+) Q^\dagger(2_1^+)]_0 |QRPA\rangle, \quad (5)$$

where

$$|2_1^+\rangle = Q^\dagger(2_1^+) |QRPA\rangle, \quad (6)$$

$$Q^\dagger(2_1^+) = \sum_{a \leq b} \mathcal{N}_{ab} (X_{ab}^{2_1^+} [a_a^\dagger \tilde{a}_b^\dagger]_2 - Y_{ab}^{2_1^+} [\tilde{a}_a a_b^\dagger]_2),$$

with normalization factor  $\mathcal{N}_{ab} = 1/\sqrt{1 + \delta_{ab}}$ . We follow Ref. [43] to obtain the transition densities needed to evaluate the matrix

element in Eq. (1) using the multiple-commutator model. This formalism includes a correction to the two-phonon transition density that was omitted in an earlier QRPA study [15].

The pnQRPA is adjusted in the usual way: the particle-hole parameter  $g_{ph}$  reproduces the energy of the GT giant resonance in  $^{136}\text{Cs}$  [44], and the particle-particle parameter  $g_{pp}$  is fitted to the  $2\nu\beta\beta$ -decay half-life to the  $0_{gs}^+$  of  $^{136}\text{Ba}$  [1], following the partial isospin-restoration scheme [45]. We assume a quenching range  $q = (0.47 - 1.00)$ , covering typical values of the effective axial coupling  $g_A^{\text{eff}} = qg_A = (0.6 - 1.27)$  [15]. For the decay to an excited state there are two additional ccQRPA parameters. Due to its nature, the  $2_1^+$  state is mainly sensitive to the particle-hole parameter  $G_{ph}$ , which we adjust so that the calculated  $2_1^+$  energy equals half of the measured energy of the  $0_2^+, 2_2^+, 4_1^+$  two-phonon triplet. Since the three energies are not exactly degenerate, we obtain a range of values for  $G_{ph}$ . For the particle-particle parameter we keep the default value  $G_{pp} = 1.0$  [15,43] because it does not affect much the  $2_1^+$  state.

We consider large no-core single-particle bases consisting of 26 single-particle orbitals [36,44], using a Woods-Saxon and also an adjusted basis. The latter has an increased spin-orbit splitting of the neutron  $0h$  orbitals by 1.5 MeV to better reproduce excitation energies in the neighboring odd-mass nuclei.

### 3.2. Nuclear shell model

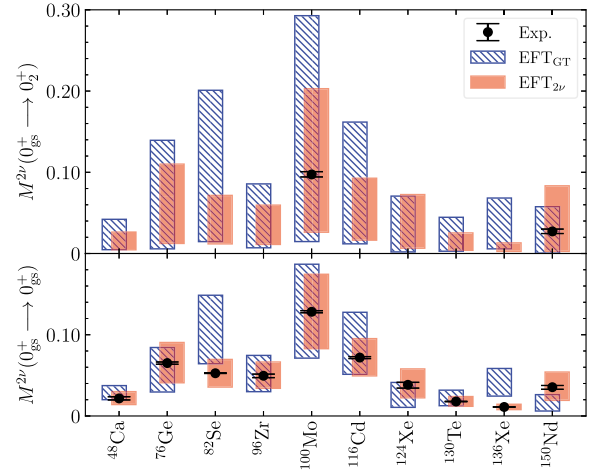
The nuclear shell model is a reference method to describe nuclear structure [46–48], based on the exact diagonalization of nuclear Hamiltonians. While the configuration space is relatively small, all nuclear correlations within the space are fully captured. The shell model has been used extensively to study GT [12–14,49],  $2\nu\beta\beta$  and  $0\nu\beta\beta$  decays [10,33–36,49–54]. In order to describe the  $2\nu\beta\beta$  decay of  $^{136}\text{Xe}$  we use the configuration space that comprises the single-particle orbitals  $1d_{5/2}$ ,  $0g_{7/2}$ ,  $2s_{1/2}$ ,  $1d_{3/2}$ , and  $0h_{11/2}$  for both neutrons and protons, with the GCN5082 [55] and QX [56] interactions. We compute the nuclear states and the  $2\nu\beta\beta$  nuclear matrix elements with the code ANTOINE [46,57].

The low-lying energy spectra of  $^{136}\text{Xe}$  obtained with the GCN5082 interaction agrees very well with experiment [58], and for QX the energies are a little too high (by  $\sim 200$  keV for the  $2_1^+$  state,  $\sim 300$  keV for the  $4_1^+$  and  $6_1^+$  states) but also of good quality. GCN5082 also gives a better overall description for  $^{136}\text{Ba}$ , but the excitation energy of the  $0_2^+$  state agrees with data in a similar way for both interactions: 1.44 MeV (GCN5082) and 1.80 MeV (QX) compared to the experimental 1.58 MeV.

For the  $M^{2\nu}$  calculations we use Eq. (1) through the explicit computation of  $1^+$  states in  $^{136}\text{Cs}$  using the strength function method [46]. As it is well known, the nuclear shell model overpredicts  $M^{2\nu}$  for the decay to the  $0_{gs}^+$ , and quenching is needed to reproduce experiment:  $q = 0.42$  (GCN2850) [10] and  $q = 0.68$  [33,35] (QX). The same quenching factors are used for the decay to the  $0_2^+$  state.

### 3.3. Microscopic interacting boson model

The IBM-2 [59,60] maps the fermion Hamiltonian onto a boson space [61] and evaluates it with realistic bosonic wave functions. The method is discussed in detail in previous  $\beta\beta$ -decay studies [11,62], which include a calculation of the  $^{136}\text{Xe}$   $2\nu\beta\beta$  decay to the  $0_2^+$  state in  $^{136}\text{Ba}$  [11]. Here we improve the IBM-2 calculation using reassessed single-particle and -hole energies and interaction strengths which lead to single-particle occupancies in better agreement with nucleon-removal experiments [38]. We fit the IBM-2 parameters to reproduce the spectroscopic data of the low-lying energy states for  $^{136}\text{Xe}$ , and for  $^{136}\text{Ba}$  we take the parameters from



**Fig. 2.** EFT  $2\nu\beta\beta$ -decay nuclear matrix elements ( $2\nu\text{EFT}$  for  $^{124}\text{Xe}$ ) with theoretical uncertainties at leading order, obtained with LECs fitted to GT data as in Ref. [21] (hatched blue bars) [67–74] and to  $2\nu\beta\beta$ -decay half-lives to the  $0_{gs}^+$ , the strategy of this work (solid red bars) [1,24]. The nuclear matrix elements are compared to empirical values (black circles) [1,24]. Bottom:  $0_{gs}^+$  to  $0_{gs}^+$  decays. Top:  $0_{gs}^+$  to  $0_2^+$  decays.

Ref. [63]. The IBM-2 configuration space is the same as for the shell model:  $1d_{5/2}$ ,  $0g_{7/2}$ ,  $2s_{1/2}$ ,  $1d_{3/2}$ , and  $0h_{11/2}$  for both neutrons and protons. One should note that the IBM-2 matrix elements to the  $0_2^+$  state are sensitive to the so-called IBM-2 Majorana parameters: In Ref. [64] it was found that the corresponding  $^{150}\text{Nd}$   $0\nu\beta\beta$ -decay matrix element doubled when adjusting them to new data on  $^{150}\text{Sm}$  scissors-mode transitions to excited  $0^+$  and  $2^+$  states. Unfortunately, similar measurements for  $^{136}\text{Ba}$  are not available.

The IBM-2 calculations assume the closure approximation, replacing the energies of the intermediate states in Eq. (1) with an average energy  $\langle E_k \rangle$ , and then summing analytically over intermediate states. Thus, Eq. (1) is simplified to

$$M_{\text{IBM}}^{2\nu} = \frac{\langle 0_f^+ | \sum_{a,b} \tau_a^- \tau_b^- \sigma_a \cdot \sigma_b | 0_i^+ \rangle}{(\langle E_k \rangle - (E_i + E_f)/2)/m_e}, \quad (7)$$

where  $\langle E_k \rangle = 11.32$  MeV for  $^{136}\text{Cs}$ . Like in the nuclear shell model, the  $2\nu\beta\beta$ -decay matrix element obtained using Eq. (7) is overestimated, and a quenching factor  $q = 0.31$  is used to agree with the empirical value [1]. We assume the same  $q$  for the decay to the  $0_2^+$  state. In addition, for this decay we estimate the sensitivity to parameter changes and model assumptions, including quenching and the closure approximation, to be  $\pm 21\%$ , as discussed in detail in Ref. [65].

### 3.4. Effective field theory

The EFT is formulated in terms of nucleon and phonon degrees of freedom coupled to a spherical even-even core. Once the LECs are fitted to experiment (e.g., to  $\beta$  decays), the EFT predicts processes dictated by the same operator (e.g.,  $2\nu\beta\beta$  decay). In addition to nuclear properties, the EFT systematically provides the associated theoretical uncertainties based on its power counting [66]. The EFT describes well GT transitions to excited states and  $2\nu\beta\beta$  decays [19], including the prediction of the  $2\nu\text{EFT}$  half-life of  $^{124}\text{Xe}$  [21]. Recently it has been applied to  $0\nu\beta\beta$  decay as well [37].

In the EFT,  $M^{2\nu}$  can be calculated using the single-state-dominance approximation [19]. Previous EFT studies fitted the LECs to  $\beta$  decay or GT strengths [19,21], which for  $^{136}\text{Xe}$  are limited to the GT strength from the  $^{136}\text{Xe}(^3\text{He},t)^{136}\text{Cs}$  charge-exchange reaction [73]. We label this matching EFT<sub>GT</sub>. The bottom

**Table 1**

$^{136}\text{Xe}$   $2\nu\beta\beta$ -decay half-life to the  $0_2^+$  state of  $^{136}\text{Ba}$ , in units of the scale of the decay to the ground state,  $T_{1/2}^{2\nu} = 2.2 \cdot 10^{21}$  y [1]. We give ranges for the QRPA with Woods-Saxon (WS) and adjusted (adj.) bases, the nuclear shell model (NSM) with the GCN5082 and QX interactions, our IBM-2 results, the EFT with its leading-order uncertainties, as well as previous QRPA and IBM-2 results.

Method	Reference	$T_{1/2}^{2\nu}$ ( $10^{21}$ y)
QRPA (adj.)	This work	$(0.14 - 2.9) \cdot 10^2$
QRPA (WS)	This work	$(0.47 - 13) \cdot 10^2$
QRPA	[15]	$(1.3 - 8.9) \cdot 10^2$
NSM (GCN)	This work	$(2.5 - 2.9) \cdot 10^5$
NSM (QX)	This work	$(5.8 - 6.6) \cdot 10^5$
IBM-2	This work	$(1.5 - 3.6) \cdot 10^4$
IBM-2	[11]	$2.5 \cdot 10^4$
EFT $_{2\nu}$	This work	$(0.62 - 16) \cdot 10^4$

panel of Fig. 2 (blue bands) shows that, even though this strategy works very well for most of the measured decays within uncertainties [19,21], it overpredicts the  $^{136}\text{Xe}$   $2\nu\beta\beta$  nuclear matrix element to the  $0_{gs}^+$ .

We attribute this deficiency to the fact that the low-energy spectrum of  $^{136}\text{Xe}$  does not exhibit the properties of a spherical collective system [39]. In contrast,  $^{136}\text{Ba}$  shows a one-phonon excited state at approximately half of the energy of the two-phonon excitations ( $\sim 818/1565$  keV) and typical ps lifetimes for the collective excitations [39]. However this nucleus cannot be used to fit the LECs due to lack of GT data. For  $^{48}\text{Ca}$  and  $^{96}\text{Zr}$  the EFT also better describes the final nuclei, but in these cases there is GT information available involving  $^{48}\text{Ti}$  and  $^{96}\text{Mo}$  to fit the LECs. Fig. 2 shows that for these decays the EFT agrees well with experiment, but the agreement is lost (for  $^{48}\text{Ca}$ ) or worsens (for  $^{96}\text{Zr}$ ) if the LECs are only fitted to GT data from the initial nuclei, as in  $^{136}\text{Xe}$ . Finally, the EFT does not describe well the  $^{150}\text{Nd}$   $2\nu\beta\beta$  decay to the  $0_{gs}^+$ , probably due to its deformation.

Therefore, we here follow an alternative strategy, labeled EFT $_{2\nu}$ , in contrast to the previous EFT $_{GT}$ . In the EFT with single-state-dominance approximation the matrix element for the decays into the  $0_2^+$  state and  $0_{gs}^+$  can be related by [19]

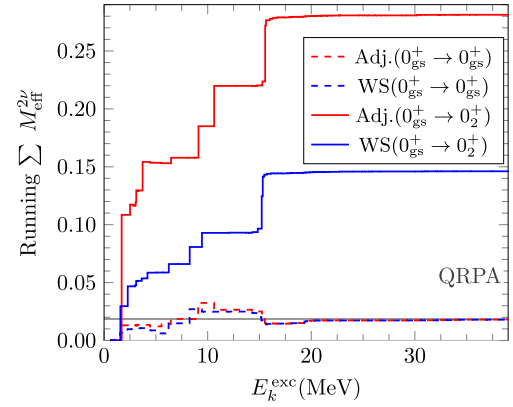
$$M_{\text{EFT}}^{2\nu}(0_{gs}^+ \rightarrow 0_2^+) \approx \left(1 + \frac{D_{10_2^+}}{D_{20_2^+}} + \frac{D_{10_2^+}}{D_{30_2^+}}\right) \frac{D_{10_{gs}^+}}{D_{10_2^+}} \frac{\sqrt{2}}{3} M_{\text{EFT}}^{2\nu}(0_{gs}^+ \rightarrow 0_{gs}^+), \quad (8)$$

where  $D_{kf} = E_k - (E_i + E_f)/2$ . The analytical expression of the associated uncertainty is given by Eq. (44) in Ref. [19]. We then fit the LECs directly to measured  $2\nu\beta\beta$  decays effectively through its  $M_{\text{EFT}}^{2\nu}$  matrix element, assigning an EFT uncertainty that includes the single-state-dominance approximation and the uncertainties in  $M_{\text{EFT}}^{2\nu}$  from the EFT truncation and the experimental values. By construction, the EFT $_{2\nu}$  reproduces the empirical data for the decay to the  $0_{gs}^+$ , see bottom panel in Fig. 2 (red bands).

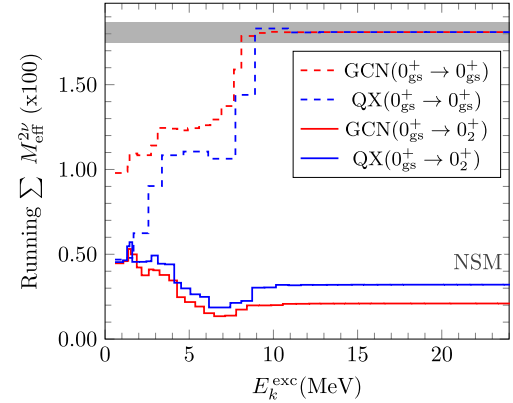
Then, we use Eq. (8) to predict the decays to the  $0_2^+$  excited state in the EFT. The top panel in Fig. 2 shows that this approach agrees well with the two measured decays in  $^{100}\text{Mo}$  and  $^{150}\text{Nd}$ . Therefore, we use the EFT $_{2\nu}$  with its leading order uncertainties as recommended values in this work for  $^{136}\text{Xe}$ . Note that for the decay to the  $0_2^+$  state the EFT $_{2\nu}$  and the EFT $_{GT}$  are consistent within uncertainties.

#### 4. Results and discussion

Table 1 gives the predicted half-life of the  $^{136}\text{Xe}$   $2\nu\beta\beta$ -decay to the  $0_2^+$  state of  $^{136}\text{Ba}$  obtained with the QRPA, nuclear shell model,



**Fig. 3.**  $M_{\text{eff}}^{2\nu}$  running sum as a function of the excitation energy of the intermediate state,  $E_k^{\text{exc}}$ , for the  $^{136}\text{Xe}$   $2\nu\beta\beta$  decay to the  $0_{gs}^+$  (dashed lines) and  $0_2^+$  states (solid lines) in  $^{136}\text{Ba}$ . QRPA results obtained with Woods-Saxon (WS, blue) and adjusted (adj., red) bases and  $g_A^{\text{eff}} = 1.0$  ( $q = 0.79$ ). The horizontal gray band shows the empirical value [1].



**Fig. 4.** Same as Fig. 3 (y-axis scale multiplied by 100) comparing nuclear shell-model (NSM) results obtained with the GCN5082 [55] (red) and QX [56] (blue) interactions.

IBM-2, and EFT. Fig. 1 shows the combined ranges for each many-body method. All of them have been adjusted, either via LECs or a quenching factor, to reproduce the known  $2\nu\beta\beta$  decay to the  $0_{gs}^+$ . For the QRPA, the uncertainty range for each basis is dominated by the  $G_{\text{ph}}$  and quenching ranges considered. In the shell model, the band for each Hamiltonian is simply given by the empirical  $M_{\text{eff}}^{2\nu}$  [1] used to obtain  $q$ . The estimated IBM-2 and calculated EFT $_{2\nu}$  uncertainties are explained in Secs. 3.3 and 3.4, respectively.

The dispersion of the theoretical predictions is striking: except for the IBM-2 and EFT $_{2\nu}$ —which are fully consistent within uncertainties—different many-body methods predict inconsistent half lives ranging more than four orders of magnitude. Thus, in the extremes, the shell-model and QRPA matrix elements can disagree by up to a factor 100. This difference is much more pronounced than the one for  $0\nu\beta\beta$ -decay matrix elements [3], which does not exceed a factor five or so.

In order to understand these results, Figs. 3 and 4 show the running sum of the effective matrix element  $M_{\text{eff}}^{2\nu}$  as a function of the excitation energy of the intermediate  $1^+$  states in  $^{136}\text{Cs}$  for the QRPA and shell model, respectively. References [35,75] performed a similar analysis limited to the decay to the  $0_{gs}^+$ . Fig. 3 shows that for the QRPA, for the decay to the  $0_2^+$  state all intermediate states contribute with the same sign, contrary to the decay to the  $0_{gs}^+$  where there are some cancellations at high energies  $E \sim 10$  MeV, as previously pointed out in Ref. [75]. In addition, the individual contributions to the decay to the excited state are also larger, es-

pecially in the case of the adjusted basis; here the contribution of  $1^+$  states around 2 MeV exceeds the summed contribution of all intermediate states for the ground-state decay. Therefore, the QRPA matrix element is much larger for the decay to the  $0_2^+$  state, leading to a relatively short half-life. This is especially the case for the adjusted basis, whose prediction is in strong tension with experimental limits, see Table 1 and Fig. 1.

In contrast, Fig. 4 shows that for the shell model there are no cancellations between contributions to  $M_{\text{eff}}^{2\nu}$  for the decay to the  $0_{\text{gs}}^+$ , while intermediate states add up with different signs in the decay to the  $0_2^+$  state. The qualitative behavior of the two running sums is similar for the two Hamiltonians. As a consequence, the shell-model matrix element is significantly smaller for the decay to the  $0_2^+$  state, and the corresponding half-life becomes very long. Note that while Fig. 3 shows that QRPA matrix elements receive contributions up to intermediate states with energies  $E \sim 15$  MeV, the shell-model matrix elements in Fig. 4 are converged at  $E \sim 10$  MeV. This suggests that the shell model could be missing contributions from high-energy states due to its limited configuration space. If these potential contributions had positive sign, like in the QRPA, they would shorten the half-life of the decay to the  $0_2^+$  state predicted by the shell model.

On the other hand, the EFT with single-state dominance does not allow for strong cancellations in the matrix elements, since possible cancellations are just part of the theoretical uncertainty. This is in contrast with our analysis of the QRPA (decay to the  $0_{\text{gs}}^+$ ) or shell-model (decay to the  $0_2^+$  state) running sums in Figs. 3 and 4. This qualitative difference in the running sums cannot be assessed in the lower-resolution EFT using the single-state dominance approximation. For instance, high-energy  $1^+$  states which are relevant for the cancellations of the QRPA and shell-model running sums may not be fully captured by the EFT. Nonetheless, the agreement with measured decays to  $0_2^+$  states shown in Fig. 2 suggests that the EFT theoretical uncertainties at least in part capture the uncertainty associated with this approximation. Due to absence of explicit cancellations, the EFT-computed decays to the  $0_{\text{gs}}^+$  and  $0_2^+$  states can be expected to be relatively similar. The IBM-2 matrix elements for the two decay branches obtained in the closure approximation are also similar. This naturally leads to the EFT and IBM-2 intermediate half-lives in Table 1 and Fig. 1, about  $10^3 - 10^4$  times longer than the decay to the  $0_{\text{gs}}^+$  as dictated by the different phase-space factors.

## 5. Summary

In summary, we have predicted the half-life of the  $^{136}\text{Xe}$   $2\nu\beta\beta$  decay to the  $0_2^+$  state of  $^{136}\text{Ba}$  using four different many-body methods that are also used to calculate  $0\nu\beta\beta$ -decay nuclear matrix elements. Our results indicate a large uncertainty from nuclear theory: while the QRPA prediction is close to current limits, the nuclear shell model indicates a half-life more than two orders of magnitude longer. The IBM-2 and EFT results lie in between. We have provided error estimates for all four methods, but only the EFT ones can be considered as systematic theoretical uncertainties. Our findings thus highlight that further experimental searches of this decay are very useful tests of theoretical models aiming to predict  $0\nu\beta\beta$ -decay nuclear matrix elements. Finally, for the EFT we have also presented results for  $2\nu\beta\beta$  decays to the excited  $0_2^+$  state in other nuclei, which agree well within uncertainties for the two measured cases.

## Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

## Data availability

Data will be made available on request.

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